

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2010**

*Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)*

<b>1. Person Reporting (last name, first, middle initial)</b>  Wilkinson, III, James H.	<b>2. Court or Organization</b>  4th US Circuit Court of Appeals	<b>3. Date of Report</b>  05/06/2011
<b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b>  US Circuit Court Judge	<b>5a. Report Type (check appropriate type)</b> <input type="checkbox"/> Nomination,                      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b>  01/01/2010 to 12/31/2010
<b>7. Chambers or Office Address</b>  255 W. Main Street Room 230 Charlottesville, VA 22902	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	
<b>IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</b>		

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	
2.	
3.	
4.	
5.	

**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. to date	I will receive no salary from my former employer, the University of Virginia Law School. There will be no continuing participation (contd Part VIII)
2.	
3.	

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

**A. Filer's Non-Investment Income**

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1.		
2.		
3.		
4.		

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

**IV. REIMBURSEMENTS** *- transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. The Federalist Society	November 17-18, 2010	Washington, DC	Panel	Lodging/Dinner
2.				
3.				
4.				
5.				

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

1. Trust #1	A. Description of Assets (including trust assets)		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period					
	Place "(X)" after each asset exempt from prior disclosure		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)	
			Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)	
2.	Albemarle Corp Common Stock		A	Dividend	L	T						
3.	Altria Group Inc Common Stock		A	Dividend				Sold	09/07/10	J	D	
4.	Philip Morris Intl Common Stock		A	Dividend				Sold	09/07/10	K	E	
5.	AT&T Common Stock		D	Dividend	M	T						
6.	Deere & Co Common Stock		C	Dividend	N	T		Sold (part)	09/07/10	L	F	
7.	Dupont E I De Nemours & Co Common Stock		C	Dividend	M	T						
8.	Duke Energy Corp Common Stock		C	Dividend	L	T						
9.	General Electric Co Common Stock		C	Dividend	M	T						
10.	Hewlett Packard Common Stock		B	Dividend	M	T						
11.	Johnson & Johnson Common Stock		B	Dividend	K	T						
12.	Kraft Common Stock		A	Dividend	J	T						
13.	Lily Ely & Co Common Stock		C	Dividend	K	T						
14.	Media General Inc. Common Stock			None				Sold	09/07/10	J	C	
15.	Proctor & Gamble Co Common Stock		D	Dividend	N	T		Sold (part)	09/07/10	K	E	
16.	Providence & Worcester RR Common Stock		A	Dividend	L	T						
17.	Royal Dutch Petroleum Shell Co Common Stock		D	Dividend	M	T						

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	18. Merck & Co Comon Stock	C	Dividend	L	T				
19. Spectra Energy Corp Common Stock	B	Dividend	L	T					
20. SunTrust Bank Inc Common Stock	A	Dividend	K	T					
21. Pfizer & Co Common Stock	B	Dividend	K	T					
22. Ridgeworth Fd - Large Cap Core Equity	A	Dividend	J	T					
23. Ridgeworth Fd - Sm Cap Val Equty	A	Dividend	K	T	Buy (add'l)	09/02/10	K		
24. Ridgeworth Fd - Mid-Cap Core Eqty	A	Dividend	M	T					
25. Ridgeworth Fd - Intl Equity	C	Dividend	N	T	Buy	09/02/10	N		
26. Ridgeworth Fd - Intl Equity Index	A	Dividend			Sold	09/02/10	N		
27. Ridgeworth Fd - Va Inter Mun Bond	E	Interest	P1	T	Buy (add'l)	01/21/10	L		
28.					Buy (add'l)	09/02/10	L		
29. Ridgeworth Fd-Sm Cap Growth (Y)									
30. Ridgeworth Fd - Large Cap Grth Stk (Y)									
31. Ridgeworth Fd -Agressive Growth (Y)									
32. FederatedFD-Va Muni MMKT(formerlyRidgeworthFd-VaTaxFree MMKT	A	Interest	M	T					
33.									

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000  
 (See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 H=\$1,000,001 - \$5,000,000 I2=More than \$5,000,000

2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000  
 (See Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000  
 P3=\$25,000,001 - \$50,000,000 P4=More than \$50,000,000

3. Value Method Codes Q=Appraisal R=Cost (Real Estate Only) S=Assessment T=Cash Market  
 (See Column C2) U=Book Value V=Other W=Estimated

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NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	34. IRA #1								
35. Ridgeworth Fd - Mid Cap Value Equity	A	Dividend	J	T					
36. Ridgeworth Fd - Large Cap Growth	A	Dividend	K	T	Sold (part)	07/23/10	J		
37. Ridgeworth Fd - Large Cap Value Equity	A	Dividend	K	T	Sold (part)	07/23/10	J		
38. Ridgeworth Fd - Emerging Growth	A	Dividend	J	T					
39. Ridgeworth Fd - Intl 130/30	A	Dividend	J	T					
40. Ridgeworth Fd - Intl Equity Index	A	Dividend	J	T					
41. Ridgeworth Fd -Agressive Growth (Y)									
42. FederatedFD-PrimeObligMMKT(frmlly RidgeworthFd-PrimeQualMMKT)	A	Interest	J	T					
43.									
44. Trust #2									
45. Albemarle Corp Common Stock	A	Dividend	K	T					
46. AT&T Common Stock	B	Dividend	K	T					
47. Dupont E I De Nemours & Co Common Stock	A	Dividend	K	T					
48. Duke Energy Corp Common Stock	A	Dividend	J	T					
49. Hewlett Packard Common Stock	A	Dividend	L	T					
50. Johnson & Johnson Common Stock	B	Dividend	L	T					

1. Income Gain Codes: A=\$1,000 or less; B=\$1,001 - \$2,500; C=\$2,501 - \$5,000; D=\$5,001 - \$15,000; E=\$15,001 - \$50,000  
 (See Columns B1 and D4) F=\$50,001 - \$100,000; G=\$100,001 - \$1,000,000; H=\$1,000,001 - \$5,000,000; I2=More than \$5,000,000

2. Value Codes: J=\$15,000 or less; K=\$15,001 - \$50,000; L=\$50,001 - \$100,000; M=\$100,001 - \$250,000  
 (See Columns C1 and D3) N=\$250,001 - \$500,000; O=\$500,001 - \$1,000,000; P1=\$1,000,001 - \$5,000,000; P2=\$5,000,001 - \$25,000,000  
 P3=\$25,000,001 - \$50,000,000; P4=More than \$50,000,000

3. Value Method Codes: Q=Appraisal; R=Cost (Real Estate Only); S=Assessment; T=Cash Market  
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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
		Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
51.	Lincoln Natl Corp Common Stock	A	Dividend	M	T					
52.	Royal Dutch Petroleum Shell Co Common Stock	B	Dividend	K	T					
53.	Merck & Co Common Stock	B	Dividend	K	T					
54.	Spectra Energy Corp Common Stock	A	Dividend	J	T					
55.	Pfizer & Co Common Stock	A	Dividend	J	T					
56.	Ridgeworth Fd -Agressive Growth	A	Dividend	K	T					
57.	Ridgeworth Fd - Va Inter Mun Bond	D	Interest	M	T					
58.	FederatedFD-Va Muni MMKT(formerlyRidgeworthFd-VaTaxFree MMKT	A	Interest	K	T					
59.										
60.	Deposit Accounts									
61.	SunTrust Interest Checking #1	A	Interest	J	T					
62.	SunTrust Checking #2		None	J	T					
63.	SunTrust Checking #3		None	J	T					
64.										
65.	Trust #3									
66.	Ridgeworth Fd - Large Cap Core Equity	B	Dividend	M	T					

- |                                                  |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|--------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3. Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
		Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
67.	Ridgeworth Fd - Small Cap Growth Stock	A	Dividend	J	T					
68.	Ridgeworth Fd - Intl Equity	B	Dividend	L	T					
69.	Ridgeworth Fd - Intl 130/30	A	Dividend	J	T					
70.	Ridgeworth Fd - Mid Cap Core Equity	A	Dividend			Sold	12/01/10	J		
71.	Ridgeworth Fd - Large Cap Growth Stock	A	Dividend	L	T					
72.	Ridgeworth Fd - Agressive Growth Stock	A	Dividend	K	T					
73.	Ridgeworth Fd - Emerging Growth Stock	A	Dividend	K	T					
74.	Ridgeworth Fd - Large Cap Value Equity	A	Dividend	K	T					
75.	Ridgeworth Fd - Mid Cap Value Equity	A	Dividend	K	T					
76.	Ridgeworth Fd - Va Inter Mun Bond	D	Interest	M	T					
77.	FederatedFD-Va Muni MMKT(formerlyRidgeworthFd-VaTaxFree MMKT	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

Part II: Agreements.

RE: I will rec, contd.... in any benefit plan provided by the University, although past pension benefits are vested. My mortgage was provided at a favorable rate available to faculty members and had to be refinanced within 6 months of leaving the University. The mortgage was paid in full on 02/09/1985.

Part VII. Investments and Trusts

Trust #1

My Revocable Trust

IRA

My current IRA -  
Account is invested entirely in SunTrust Bank mutual funds, named Ridgeworth Funds.

Trust #2

██████████ Revocable Trust

Trust #3

Irrevocable GST Trust ██████████

This trust was created from ██████████ Trust (her Revocable Trust became Irrevocable Interim Trust - after her death) which was split into two shares, after IRS approval was received ██████████ My share later became this trust. When it was initially funded, it held only cash, but it has now been fully invested. The overall asset allocation now includes various asset classes represented by a diverse group of SunTrust Bank mutual funds (Ridgeworth Funds).

Lines 32,42,58 and 77.

Federated Funds purchased the Ridgeworth money market funds in 2010. All Ridgeworth money market funds were converted to Federated money market funds.

Lines 29,30,31 and 41 Ridgeworth Funds (Y).

Each of these funds was inadvertently marked as "Sold (part)" in 2009 in the respective accounts. The correct reporting should have been "Sold."

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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ James H. Wilkinson, III**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544