

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2007

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Hartz, Harris L	2. Court or Organization Tenth Circuit Court of Appeals	3. Date of Report 04/26/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge Active	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	6. Reporting Period 01/01/2007 to 12/31/2007
	5b. <input type="checkbox"/> Amended Report	
7. Chambers or Office Address 201 Third St. NW, #1870 Albuquerque, NM 87102	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust No. 1
2.		
3.		
4.		
5.		

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 FINANCIAL DISCLOSURE OFFICE

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		My judicial pension from the State of New Mexico has vested and will be distributed when I am age-eligible
2.		
3.		

FINANCIAL DISCLOSURE REPORT
Page 2 of 10

Name of Person Reporting

Hartz, Harris L

Date of Report

04/26/2008

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	University of Cincinnati College of Law	4/13-15	Cincinnati, OH	Moot Court	transportation, lodging, food
2.	The Aspen Institute	11/8-11	Wye Woods, MD	Educational Seminar	transportation, lodging, food
3.					
4.					
5.					

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

Hartz, Harris L

Date of Report

04/26/2008

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting Hartz, Harris L	Date of Report 04/26/2008
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Trust 1	G	Div. And int	P1	T					
2. --BRKA									
3. --OIB									
4. --NUV									
5. --PNW									
6. --SPI					sold	3/13	J	C	
7. --SO									
8. --COP									
9. --ATF									
10. --TWCUX									
11. --LCEIX									
12. --JANSX									
13. --NGUAX									
14. --NPRTX									
15. --AMOAX									
16. --GLBAX									
17. --TGRAX									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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18. --USGBX									
19. --DINAX									
20. --Morgan Stanley Bank Acc't									
21. --San Juan County, NM, real estate									
22. Parcel 1 Unimproved real estate in San Juan County, NM	B	Rent	M	W					
23. EUGBX	A	Dividend	J	T					
24. GLBAX	A	Dividend	K	T					
25. UTLQX	B	Dividend	K	T					
26. BRKB		None	M	T					
27. "					Partial buy	1/26	J		
28. "					Partial buy	3/21	J		
29. "					Partial buy	8/31	K		
30. "					Partial buy	9/19	K		
31. OIA	A	Dividend	J	T					
32. Wells Fargo Bank Account	B	Interest	L	T					
33. State of Israel Bonds	A	Interest			Redeemed	12/14	J		
34. US Savings Bonds	B	Interest	L	T					

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FINANCIAL DISCLOSURE REPORT

Page 6 of 10

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Hartz, Harris L

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04/26/2008

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35. Tennis Club of Albuquerque debenture	A	Interest	J	T					
36. Promissory Note--Kozimor Trust	B	Interest	J	T					
37. 403B account		None	J	T					
38. --PGRWX									
39. State Retirement Account	C	Interest	L	T					
40. Individual Retirement Annuity	D	Dividend	N	T					
41. --ING Fixed Account									
42. --ING Balanced VP									
43. IRA Account	C	Dividend	M	T					
44. --Gov't Nat'l Mtge Ass'n Pool									
45. --MSDW Liquid Asset Fd, mutual fund									
46. --US Treasury Bonds									
47. --AMODX					partial sale	10/16	J	A	
48. --GLBAX									
49. --MSACX					Partial sale	1/18	J	A	
50. - "					Partial sale	4/18	J	A	
51. "					Partial sale	7/18	J	A	

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52. --MSSGX									
53. --MPEGX									
54. --MPSCX									
55. --MDFDX									
56. --MS Spectrum Select									
57. --MS Spectrum Tech									
58. --MS Spectrum Currency									
59. --Morgan Stanley Bank Acc't									
60. --DHG									
61. --Morgan Stanley CPI/Commodity note									
62. --ACSDX									
63. Annuity	A	Dividend	J	T					
64. --ING VP Inter Bond Portfolio									
65. Allstate Life Ins. Co. annuity	A	Interest	K	T					
66. CM Life Ins. Policy	B	Interest	L	T					
67. MONY Life Ins. Policy	A	Interest	K	T					
68. Security Connecticut Life Ins. Policy #1	A	Interest	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 10

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69. Security Connecticut Life Ins. Policy #2	A	Interest	K	T					
70. TETSX	E	Dividend	M	T					
71. EVGFX	A	Dividend	J	T					
72. OPPAX	C	Dividend	L	T					
73. SCINX	A	Dividend	K	T					
74. AMOBX		None	J	T					
75. DIVBX	D	Dividend	K	T					
76. Morgan Stanley Bank Acc't	A	Interest	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Hartz, Harris L

Date of Report

04/26/2008

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

1. Item on line 18: USGAX was converted to USGBX on 4/27.
2. Item on line 25: UTLAX was converted to UTLQX on 4/30.
3. Item on line 75: DIVAX was converted to DIVBX on 4/30.

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Hartz, Harris L

Date of Report

04/26/2008

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature _____



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544