AO 10 Rev. 1/2007	**FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006**	*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Mattice, Harry S	**2. Court or Organization** U.S. District Court - EDTN	**3. Date of Report** 05/07/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. District Judge - Active	**5a. Report Type (check appropriate type)** ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 01/01/2006 to 12/31/2006
7. Chambers or Office Address Joel W. Solomon Federal Bldg. 900 Georgia Avenue, Room 104 Chattanooga, TN 37402	**8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.** **Reviewing Officer**__________ **Date**______	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee	Trust # 1
2.		
3.		
4.		
5.		

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II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of instructions.)*

☐ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1. 1984-2006	Miller & Martin Profit Sharing Plan (former law firm - no control)
2. 2001-2006	Baker, Donelson, Bearman & Caldwell, P.C. Retirement Savings Plan (fomer law firm - no control)
3.	

Name of Person Reporting	Date of Report
Mattice, Harry S	05/07/2007

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)*

A. Filer's Non-Investment Income

[X] NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

[ ] NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2006	Associates in Communications Therapies, P.C. - Salary
2. 2006	The Speech Language Reading Center, PLLC - Salary
3.	
4.	
5.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

[X] NONE *(No reportable reimbursements.)*

SOURCE	DESCRIPTION
1.	
2.	
3.	
4.	
5.	

Name of Person Reporting	Date of Report
Mattice, Harry S	05/07/2007

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*

[X] NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*

[ ] NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Mass Mutual Life Insurance	Policy Loan	J
2.	Northwestern Mutual Life Ins.	Policy Loan	J
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Mattice, Harry S	05/07/2007

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Morgan Stanley Active Assets Money Trust	B	Dividend	K	T					
2. Morgan Stanley Bank	B	Interest	L	T	Buy	4/21	K		
3.					Buy	7/14	K		
4.					Buy	8/22	J		
5. MS Global Advantage Fund A Mutal Fund (FKA Fund B)	A	Dividend			Sell	7/14	J		
6. AT&T Corporation (new) Common Stock	A	Dividend			Sell	4/21	J		
7. Boeing Company Common Stock	A	Dividend			Sell	4/21	J	B	
8. Charter Communication Corporation Class A Common Stock	A	Dividend			Sell	4/21	J		
9. Comcast Corp. (new) Class A Common Stock	A	Dividend			Sell	4/21	J		
10. Hewlett Packard Corporation Common Stock	A	Dividend			Sell	4/21	J		
11. Lockheed Martin Corp. Common Stock	A	Dividend			Sell	4/21	J	B	
12. Microsoft Corporation Common Stock	A	Dividend			Sell	4/21	J	C	
13. Morgan Stanley & Company Common Stock	A	Dividend			Sell	4/21	J	C	
14. Pfizer, Inc. Common Stock	A	Dividend			Sell	4/21	J		
15. MSDW Dividend Growth Securities Fund A (FKA Fund B)	B	Dividend			Sell	7/14	J		
16. Templeton Global Opportunity Fund A	A	Dividend			Sell	8/22	J	C	
17. Focus Growth Fund A (FKA MS American	A	Dividend			Sell	7/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Mattice, Harry S	05/07/2007

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	Opportunities Fund A)									
18.	MSDW Liquid Asset Fund Exch B (FKA MSDW U.S. Government	A	Interest			Sell	7/14	J		
19.	Money Market Trust)									
20.	IRA: MSDW S&P 500 Index Fund B	A	None	J	T					
21.	Miller & Martin Profit Sharing (widely held & diversified)	A	None			Rollover	3/3	O		
22.	Mass Mutual Life Insurance Whole Life (2)	A	Interest	L	T					
23.	Northwestern Mutual Life Variable Comp Life Agg Growth & Ind	A	None	L	T					
24.	Baker, Donelson, Bearman & Caldwell 401(k) Retire MSF Fxd Fd	A	None			Rollover	2/1	J		
25.	Trust #1:									
26.	SunTrust TN Reserve Fund M/M	B	Interest	K	T	Buy	4/10	K		
27.						Sell	8/10	K		
28.						Buy	8/11	K		
29.						Sell	9/15	K		
30.						Buy	11/17	K		
31.						Sell	12/5	K		
32.	United States Treasury Note (1)	B	Interest	K	T					
33.	SunTrust Investments Classic Fnd Intl Eqty	B	Dividend	L	T	Sell	4/10	J	A	

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Index Fnd									
34. (FKA Intl Eqty-Index Trust Shares)					Sell	8/11	J	A	
35. SunTrust Investments Classic Small Cap Growth Stock Fund	A	Dividend	K	T	Sell	4/10	J	B	
36. (FKA Small Cap Grth Trust Shares)					Sell	8/11	J	A	
37. SunTrust Investments Classic Fnd-Mid Cap Equity Fund (FKA	A	Dividend	K	T	Sell	4/10	J	B	
38. Mid Cap Equity Tr Shares)					Sell	8/11	J		
39. SunTrust Investment Classic Fnd-Large Cap Value Equity	B	Dividend	M	T					
40. (FKA Value Income Tr Shares)									
41. SunTrust Investments Classic - Large Cap Relative Fund	A	Dividend	K	T					
42. Federal Home Loan Bank Bond - 11/17/16	A	Interest	K	T	Buy	11/17	K		
43. Federal Home Loan Bank Bond - 12/05/16	A	Interest	K	T	Buy	12/5	K		
44. Federal National Mortgage Assn. Note - 9/15/11	A	Interest	K	T	Buy	9/15	K		
45. Federal Home Loan Bank Bond - 8/17/07	A	Interest			Buy	8/10	K		
46.					Redemption	11/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Par III. A. Non-Investment Income. All income earned during reporting period was salary as employee of U.S. Government.

Part VII. Investments and Trusts. Trust Assets listed as numbers 25 to 46 are a part of an Irrevocable Insurance Trust created by [redacted] member.

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting	Date of Report
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature [redacted] **Date** 5/7/2007

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND [redacted] FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544