



FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Mattice, Harry S.	2. Court or Organization U.S. District Court - EDTN	3. Date of Report 05/01/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. District Judge - Active	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	6. Reporting Period 01/01/2008 to 12/31/2008
	5b. <input type="checkbox"/> Amended Report	
7. Chambers or Office Address Joel W. Solomon Federal Bldg. 900 Georgia Avenue, Room 104 Chattanooga, TN 37402	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust # 1
2.	
3.	
4.	
5.	

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FINANCIAL DISCLOSURE OFFICE

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2008	The Speech Language Reading Center, PLLC - Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. Tennessee Law Institute	2008 Annual Review Seminar (fee waiver)	\$450.00
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Mass Mutual Life Insurance	Policy Loan	J
2. Northwestern Mutual Life Ins.	Policy Loan	J
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Morgan Stanley Active Assets Money Trust	A	Dividend			Sold (part)	1/28	J		
2.					Sold	4/11	J		
3. Morgan Stanley Bank	B	Interest	L	T	Sold (part)	4/11	J		
4.					Sold (part)	4/25	J		
5.					Sold (part)	6/16	J		
6.					Sold (part)	8/6	J		
7.					Sold (part)	8/21	J		
8.					Sold (part)	12/11	J		
9. Mass Mutual Life Insurance Whole Life (2)	B	Interest	L	T					
10. Northwestern Mutual Life Variable Comp Life		None	L	T					
11. Trust #1:									
12. SunTrust TN Reserve Fund M/M	B	Interest	L	T					
13. RidgeWorth (f/k/a STI Cl. Fnd) Intl Eqty. Index Fund	B	Dividend			Sold	10/8	K		
14. RidgeWorth (f/k/a STI Cl Fnd) - Mid Cap Core Equity Fund	A	Dividend	K	T					
15. RidgeWorth (f/k/a STI Cl Fnd) Large Cap Value Equity	C	Dividend	M	T	Buy	11/14	K		
16. RidgeWorth Mid-Cap Value Eqty. Fund	A	Dividend	K	T	Buy	3/10	J		
17.					Buy	11/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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18.					Buy	12/12	J		
19. Dreyfus/Laurel Prem. Small-Cap Val. Fnd.	A	Dividend			Buy	3/10	J		
20.					Buy	6/11	J		
21.					Sold	11/14	J		
22. Dreyfus Boston Co. Small-Cap Tax Sens. Fund	A	Dividend	J	T	Buy	3/10	J		
23.					Buy	6/11	J		
24. Goldman Sachs Tr. Emerging Markets Eq. Fund	A	Dividend			Buy	3/18	J		
25.					Buy	6/11	J		
26.					Sold	10/8	J		
27. Allianz Fnds. NFJ - Small Cap Value Fund	A	Dividend	J	T	Buy	11/14	J		
28.					Buy	12/12	J		
29. Federal Home Loan Bank Bond - 11/17/16	B	Interest	K	T					
30. Federal National Mortgage Assn. Note - 9/15/11	A	Interest			Redeemed	9/15	K		
31. Federal Home Loan Bank Bond - 2/8/22	A	Interest	K	T					
32. Federal Farm Credit Bank Bond - 6/21/17	A	Interest	K	T					

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2. Value Codes (See Columns C1 and D3)	Q=Appraisal U=Book Value	R=Cost (Real Estate Only) V=Other	S=Assessment W=Estimated	T=Cash Market	
3. Value Method Codes (See Column C2)					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Par III. A. Non-Investment Income. All income earned during reporting period was salary as employee of U.S. Government.

Part VII. Investments and Trusts. Trust Assets listed as numbers 12 to 32 are a part of an Irrevocable Insurance Trust created by deceased [REDACTED]

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature _____

A large black rectangular redaction box covers the signature area.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544