

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2006**

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) LOKEN, JAMES B	2. Court or Organization EIGHTH CIRCUIT	3. Date of Report 05/15/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) CIRCUIT JUDGE (Active)	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address U.S. Courthouse, Suite 11W 300 South Fourth Street Minneapolis, MN 55415	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Past President	Federal Bar Association, Minnesota Chapter
2.	Member	American Law Institute
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income** NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.			
2.			
3.			
4.			
5.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)* NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.		
2.		
3.		
4.		
5.		

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)* NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	Pace University Law School	Judge, Feb. 25, 2006, Pace National Environmental Law Moot Court Competition (Transportation, Meals, Room)
2.		
3.		
4.		
5.		

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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	_____	_____	_____
2.	_____	_____	_____
3.	_____	_____	_____
4.	_____	_____	_____
5.	_____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	_____	_____	_____
2.	_____	_____	_____
3.	_____	_____	_____
4.	_____	_____	_____
5.	_____	_____	_____

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. STOCKS (All Common)									
2. Allstate Corp.	A	Dividend	K	T					
3. Verizon Communications	A	Dividend	J	T					
4. Idearc		None	J	T	Spinoff	11/17	J		Spinoff by Verizon
5. Bristol-Myers Squibb	A	Dividend	J	T					
6. Zimmer Holdings, Inc.		None	J	T					
7. Target Corp	A	Dividend	L	T					
8. Emerson Electric	C	Dividend	M	T					
9. Exxon Corp.	A	Dividend	K	T					
10. Wachovia Corp.	C	Dividend	M	T					
11. Gabelli Equity Trust	D	Dividend	M	T					
12. Gabelli Global Multimedia Trust	A	Dividend	J	T					
13. Gabelli Utility Trust	B	Dividend	K	T					
14. General Motors	A	Dividend	J	T					
15. IBM	B	Dividend	L	T					
16. XCel Energy, Inc.	C	Dividend	L	T					
17. CenterPoint Energy, Inc.	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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18. AT&T Inc. (formerly SBC Communications)	B	Dividend	K	T					
19. Pactiv Corp.		None	J	T					
20. TXU (Texas Utilities)	A	Dividend	K	T					
21. ASM International		None			Sell	8/1	J	C	
22. Consol. Delivery & Logistics		None			Sell	9/11	J		
23. Cypress Semicond. Corp.		None	K	T					
24. FSI International, Inc.					Part Sell	8/1	J	C	
25. FSI International, Inc.		None	K	T	Part Sell	11/30	K	C	
26. Digi International		None	J	T					
27. LSI Logic Corp.					Part Sell	3/27	K	D	
28. LSI Logic Corp.					Part Sell	4/27	J	B	
29. LSI Logic Corp.		None			Sell	8/1	J	B	
30. Hewlett-Packard Co.	A	Dividend			Sell	9/7	K	E	
31. Communications Systems	A	Dividend	K	T					
32. Nektar Therapeutics		None	J	T					
33. Vishay Intertechnology		None	J	T	Part Sell	12/29	J		
34. ADC Telecommunications					Buy	6/21	J		

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35. ADC Telecommunications		None	L	T	Buy	11/30	J		
36. Amkor Technology					Buy	1/25	J		
37. Amkor Technology		None	K	T	Part Sell	11/30	K	B	
38. Conexant Systems		None	J	T					
39. Atmel Corp.		None			Sell	8/1	J	B	
40. Select Comfort Corp.					Part Sell	2/6	J	D	
41. Select Comfort Corp.		None	K	T	Buy	9/7	K		
42. Nokia Corp.	A	Dividend	J	T					
43. Oracle Corp.		None	K	T					
44. Skyworks Solutions, Inc.					Buy	6/21	J		
45. Skyworks Solutions, Inc.		None	K	T	Part Sell	12/29	J	A	
46. Synovis Life Technologies, Inc.		None	K	T	Buy	11/20	J		
47. Cyberoptics Corp.					Buy	1/25	J		
48. Cyberoptics Corp.		None	K	T	Buy	12/29	J		
49. Seagate Technology		None			Sell	1/25	J	B	
50. Microsoft Corp.	A	Dividend	J	T					
51. Mesa Air Group, Inc.		None			Sell	6/21	J	C	

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52. August Technology Inc.					Buy	1/25	J		
53. August Technology Inc.		None			Sell	2/28	J	A	Plus exchange for Rudolph
54. Rudolph Technology		None	J	T	Exchange	2/28	J		For August stock
55. Intrawest					Buy	2/2	J		
56. Intrawest	A	Dividend			Sell	6/21	J	A	
57. Aetrium Inc.		None	J	T	Buy	3/27	J		
58. Dow Chemical	A	Dividend	J	T	Buy	3/27	J		
59. Cemex S.A.					Buy	4/20	J		
60. Cemex S.A.	A	Dividend	J	T	Buy	6/21	J		
61. Chesapeake Energy	A	Dividend	J	T	Buy	8/1	K		
62. Valero Energy					Buy	8/1	K		
63. Valero Energy	A	Dividend	K	T	Buy	11/30	J		
64. Metro Health Network					Buy	11/30	J		
65. Metro Health Network		None	J	T	Buy	12/29	J		
66. Boston Scientific Corp		None	J	T	Buy	12/29			
67. MUTUAL FUNDS									
68. Columbia Acorn Fund	E	Dividend	N	T					

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69. Fidelity Equity Income Fund II	D	Dividend	L	T					
70. Fidelity Mn. Tax Free Fund	B	Dividend	L	T					
71. Fidelity Emerging Markets Fund	A	Dividend	J	T					
72. Fidelity Japan Fund	A	Dividend	J	T					
73. Fidelity Spartan Muni Income Fund	D	Dividend	N	T					
74. Fidelity Real Estate Fund	D	Dividend	N	T	Gift	12/11	N		
75. Harbor International Fund	D	Dividend	M	T					
76. Investment Co Amer Fund	D	Dividend	M	T					
77. Mutual Beacon Fund	E	Dividend	N	T					
78. New Perspective Fund	C	Dividend	L	T					
79. Oppenheimer Capital Inc Fund	D	Dividend	M	T					
80. Oppenheimer Strategic Income	D	Dividend	M	T					
81. Vanguard Fixed Inc Fund S-T	C	Dividend	L	T					
82. Vanguard Fixed Inc Fund GNMA	D	Dividend	M	T					
83. Washington Mutual Fund	D	Dividend	M	T					
84. Vanguard Windsor Fund	D	Dividend	L	T					
85. Vanguard Hi-Yield Corp. Fund	C	Dividend	K	T					

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86. Vanguard Prime MM Fund	B	Dividend	K	T					
87. Vanguard Short Term Corp. Fund	A	Dividend	K	T					
88. Vanguard Long Term Tax Exempt Fund	B	Dividend	K	T					
89. Vanguard Wellington Fund	C	Dividend	K	T					
90. Vanguard Capital Value Fund	C	Dividend	K	T					
91. Artisan International Fund	D	Dividend	M	T					
92. Leuthold Core Inv. Fund	D	Dividend	N	T					
93. Selected American Shares Fund	B	Dividend	M	T					
94. Amer Century Equity Fund	D	Dividend	M	T					
95. Chase Growth Fund	C	Dividend	L	T					
96. Dreyfus Minn Muni Fund	B	Dividend	K	T					
97. Icon Health Care Fund	C	Dividend	L	T					
98. Matthews Pacific Tiger Fund	B	Dividend	L	T					
99. Muhlenkamp Fund	A	Dividend	M	T					
100. MISC.									
101. Wells Fargo Bank IRA	A	Dividend	J	T					
102. F&B Building Partnership		None	J	W					

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103. Vanguard Variable Annuity Plan		None	M	T					
104. Tamarack Investment Tax Free Fund	A	Dividend	J	T					
105. Schwab Advance Cash Reserve Fund	A	Dividend	J	T					
106. Wells Fargo Bank	A	Interest	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

May 15, 2007

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544