UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF NORTH CAROLINA
310 NEW BERN AVENUE
RALEIGH, NORTH CAROLINA 27601

JAMES C. DEVER, III
UNITED STATES DISTRICT JUDGE

TELEPHONE: (919) 645-6570
[redacted]

July 11, 2008

VIA FACSIMILE and U.S. MAIL

The Honorable Ortrie D. Smith
Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

Re: Your letter of June 23, 2008

Dear Judge Smith:

Thank you for your letter of June 23, 2008. I am writing to confirm that in part VII, page 4, lines 11 and 12, and page 5, lines 21-22, and 31-32, the income amount code for each should be "A" (i.e., $1,000 or less) and the type for each should be dividend. As for column C concerning those assets, the value method is T and the value code for lines 11 and 12 is K. The value code for lines 31 and 32 is J.

As for part VII, page 4, lines 14-17, and page 5, lines 18, 20-28, and 30-32, you note that my report lists "two Northwestern Mutual Life Policies and the mutual fund investments associated with those policies." Your letter states that "the filing instructions require that [I] disclose the full name of each mutual fund, which includes both the specific fund and the fund family." Your letter then states, "Please disclose the name of the company that sponsors each fund, e.g., Vanguard Index 500 Stock Fund."

I have contacted my insurance agent. He advised me that Northwestern Mutual does not invest the assets in the life insurance policies in mutual funds. Rather, Northwestern Mutual invests the money in portfolios. The portfolios are run similar to mutual funds, but there is no stock symbol or mutual fund symbol. The particular portfolios are only available to Northwestern Mutual annuity and variable life policy holders. Moreover, the portfolios and the entities which oversee the investments in the items listed in part VII, lines 15-22, and lines 25-32 are as follows:

Index 500 Stock (lines 15 and 25)	Mason Street Advisors
Aggressive Growth Stock (lines 16 and 26)	Mason Street Advisors
Index 400 Stock (lines 17 and 27)	Mason Street Advisors and Alliance Bernstein
Small Cap Growth Stock (lines 18 and 28)	Mason Street Advisors
T. Rowe Small Cap Value (lines 19 and 29)	Mason Street Advisors and T. Rowe Price
Cap Guard Domestic (lines 20 and 30)	Mason Street Advisors and Capital Guardian
International Equity (lines 21 and 31)	Mason Street Advisors and Franklin Templeton
Equity Income (lines 22 and 32)	Mason Street Advisors and T. Rowe Price

I hope that this letter answers all of your questions. If not, please contact me.

Very truly yours,

[redacted]

James C. Dever III

AO 10 Rev. 1/2008

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2007

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Dever, James C	U.S. District Court, EDNC	05/09/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	5a. Report Type (check appropriate type)	6. Reporting Period
U.S. District Judge- Active	☐ Nomination, Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	01/01/2007 to 12/31/2007
7. Chambers or Office Address	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.	
U.S. District Court 310 New Bern Ave. Raleigh, NC 27601	Reviewing Officer________ Date______	

***IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Adjunct Law Professor	Campbell University, N.A. Wiggins School of Law
2.	Director	North Wake County Baseball Association
3.		
4.		
5.		

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II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2007	Agreement to teach as an adjunct law professor at Campbell University
2.	2007	Maupin Taylor, P.A. Profit Sharing Plan (Maupin Taylor P.A. is my former law firm.)
3.		

Name of Person Reporting	Date of Report
Dever, James C	05/09/2008

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2007	Campbell University (wages)	$ 6,760
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*
(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2007	Substitute teacher, Our Lady of Lourdes Catholic School, Raleigh, North Carolina (wages)
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Duke University School of Law	April 12-13, 2007	Durham, NC	Moot Court Judge	Meals
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Dever, James C	05/09/2008

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Dever, James C	05/09/2008

VII. INVESTMENTS and TRUSTS *– income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Maupin Taylor, P.A. Profit Sharing Plan									
2. - Money Market Fund, Wachovia Securities	A	Int.			sell	7/07	K		
3. - AFIBX Fundamental Invs. Inc. - B	D	Dividend	L	T					
4. - BFABX Bond Fund of Am. - B	B	Dividend			sell	7/07	K		
5. - IHCAX Hartford Cap Apprec Fund - B	D	Dividend	L	T					
6. - NYVBX Davis NY Venture - B	B	Dividend	K	T					
7. - OGLBX Oppenheimer GLBL FD - B	B	Dividend	K	T					
8. - PVTBX Putnam Vista FD - B	A	Dividend	K	T					
9. - TEDBX Mutual Sec FD Discover - B	B	Dividend	K	T					
10. - MBAGX MFS Aggressive GR Allo - B	A	Dividend	K	T					
11. Capital Income Bldr Fd CIBBX					buy	7/07	K		
12. Mutual Series FD Inc Discovery FD TEDBX					buy	7/07	K		
13.									
14. Northwestern Mutual Life Policy									
15. - Index 500 Stock	B	Div.	J	T	part sale	8/07	J		
16. - Aggressive Growth Stock	A	Div.			sale	8/07	J		
17. - Index 400 Stock	A	Div.	J	T	part sale	8/07	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Dever, James C	05/09/2008

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - Small Cap Growth Stock	A	Div.	J	T	part sale	8/07	J		
19. - T Rowe Small Cap Value	A	Div.	J	T	part sale	8/07	J		
20. - Cap Guard Domestic Eq	B	Div.	J	T	part sale	8/07	J		
21. - International Equity					buy	8/07	J		
22. -Equity Income					buy	8/07	J		
23.									
24. Northwestern Mutual Life Policy									
25. - Index 500 Stock	A	Div.	J	T	part sale	8/07	J		
26. - Aggressive Growth Stock	A	Div.			sell	8/07	J		
27. - Index 400 Stock	A	Div.	J	T	part sale	8/07	J		
28. - Small Cap Growth Stock	A	Div.	J	T	part sale	8/07	J		
29. - T Rowe Small Cap Value	A	Div.	J	T	part sale	8/07	J		
30. - Cap Guard Domestic	A	Div.	J	T	part sale	8/07	J		
31. -International Equity					buy	8/07	J		
32. -Equity Income					buy	8/07	J		
33.									
34. RBC Centura Bank Account	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Dever, James C	05/09/2008

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Name of Person Reporting	Date of Report
Dever, James C	05/09/2008

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signa[redacted]

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544