

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2010**

1. Person Reporting (last name, first, middle initial) Gritzner, James E.	2. Court or Organization U.S. District Court SDIA	3. Date of Report 05/13/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address U.S. Courthouse 123 East Walnut Street Des Moines, Iowa 50309	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Dakota Wesleyan University
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.					
2.					
3.					
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Brokerage Acct. #1									
2. -USB Cash Reserves Fund	A	Dividend	J	T					
3. -Columbia Acorn Tr. Fd. A	B	Dividend	K	T					
4. -Euro Pac Growth Fd. Cl. F	A	Dividend	J	T					
5. -1st Eagle Global Fd	A	Dividend	J	T					
6. -Ivy Asset Strategy	A	Dividend	K	T	Buy	01/07/10	J		
7. -Goldman Sachs Fltg Rate	A	Dividend	J	T	Buy	01/07/10	J		
8. -JP Morgan Strategic Inc Oppty	A	Dividend	K	T	Buy	01/07/10	K		
9. -Templeton Global Total Return	A	Dividend	K	T	Buy	01/07/10	K		
10. IRA #1	D	Dividend	O	T					
11. -USB Liquid Assets Fd									
12. -Alliance Bernstein Sm/Md Value									
13. -American Funds Capital World Growth & Inc.									
14. -American Funds Euro Pac Growth Fd Cl F									
15. -Fidelity New Insights Fd A									
16. -Fidelity Adv Midcap									
17. -1st Eagle Global Fd									

1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000
 (See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000
 2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000
 (See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000
 P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000
 3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market
 (See Column C2) U = Book Value V = Other W = Estimated

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NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code I (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code I (A-H)	Identity of buyer/seller (if private transaction)
18. -Franklin Templeton Mut Ser Fd Cl A					Sold	08/02/10	J		
19. -Franklin Value Invs Tr									
20. -MFS Ser Tr lx Research A					Sold	11/01/10	J		
21. -Black Rock Global Allocation Fd									
22. -Fidelity Strategic Inc									
23. -Loomis Sayles Bond									
24. -TCW Galileo Total Return Bond									
25. Brokerage Acct. #2	C	Dividend	O	T					
26. -USB Bank Dep Acct	A	Dividend	J	T					
27. -GE Money Bank CD	A	Interest		T					
28. -Compass Bank CD	B	Interest		T					
29. -Goldman Sachs CD	B	Interest		T					
30. -Capital One CD	B	Interest		T					
31. -Legg Mason Short Duration Muni Income Fd	A	Dividend			Sold	03/08/10	L	C	
32. -Legg Mason Intermediate Term Fd	A	Dividend			Sold	03/08/10	K	C	
33. -AIM Real Est Inc FD	A	Dividend			Buy	03/12/10	J		
34.					Sold	08/24/10	J	A	

- | | | | | | |
|--|--|--|--|--|-------------------------|
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(See Columns B1 and D4) | A = \$1,000 or less
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J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000 | D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | Q = Appraisal
U = Book Value | R = Cost (Real Estate Only)
V = Other | S = Assessment
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52.					Buy	04/22/10	J		
53. -Merrill Lynch Pref.	A	Dividend	J	T	Buy	04/24/10	J		
54. -MFS Emerging Mkts Bond	A	Dividend	J	T	Buy	03/12/10	J		
55. -Morgan Stanley CD	A	Dividend	J	T	Buy	08/02/10	J		
56. -Oppenheimer Dev. Mkts	A	Dividend	J	T	Buy	03/12/10	J		
57. -Permanent Portfolio FD	A	Dividend	J	T	Buy	05/21/10	J		
58. -PIMCO Unconstrained Bond FD	A	Dividend	J	T	Buy	03/12/10	J		
59.					Buy	10/21/10	J		
60. -PIMCO Total Return	A	Dividend	J	T	Buy	06/18/10	J		
61. -TCW Total Return Bond	A	Dividend			Buy	08/04/10	J		
62.					Buy	09/21/10	J		
63.					Sold	12/17/10	J		
64. -Templeton Global Total Return	A	Dividend	J	T	Buy	03/12/10	J		
65.					Buy	04/22/10	J		
66. -Thornburg Inc Bldr.	A	Dividend			Buy	03/12/10	J		
67.					Sold	05/14/10	J		
68. -Tortoise MLP FD	A	Dividend	J	T	Buy	08/02/10	J		

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P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
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| 2. Value Codes
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| 3. Value Method Codes
(See Column C2) | | | | | |

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)	
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)	
69. -US Bank CD	A	Dividend			Buy	03/12/10	J			
70.					Sold	09/03/10	J	A		
71. -Wells Fargo CD	A	Dividend			Buy	03/12/10	J			
72.					Sold	04/22/10	J			
73. West Des Moines State Bank	A	Interest	K	T						

- | | | | | | |
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII: IRA#2 and IRA#3 on the prior report were managed accounts which were liquidated in 2009 and the broker journaled all the proceeds into IRA#1.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ James E. Gritzner**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544