

AO 10  
Rev. 1/2010

## FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

*Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)*

|   |  |  |
|---|--|--|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>Carr, James G.   | <b>2. Court or Organization</b><br><br>N.D. Ohio, Western Division   | <b>3. Date of Report</b><br><br>5/13/2010                        |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>Article III Judge active  | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination,      Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><br>5b. <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2009<br>to<br>12/31/2009 |
| <b>7. Chambers or Office Address</b><br><br>210 U. S. Courthouse<br>1716 Spielbusch Avenue<br>Toledo, Ohio 43604  | <b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b><br><br>Reviewing Officer _____ Date _____                                   |  |
| <b>IMPORTANT NOTES:</b> <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</i> |  |  |

**I. POSITIONS.** *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE (No reportable positions.)

|    | <u>POSITION</u> | <u>NAME OF ORGANIZATION/ENTITY</u> |
|----|-----------------|------------------------------------|
| 1. |                 |                                    |
| 2. |                 |                                    |
| 3. |                 |                                    |
| 4. |                 |                                    |
| 5. |                 |                                    |

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**II. AGREEMENTS.** *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE (No reportable agreements.)

| <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|-------------|--------------------------|
|             |                          |
| 2.          |                          |
| 3.          |                          |

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Name of Person Reporting  
Carr, James G.

Date of Report  
5/13/2010

**III. NON-INVESTMENT INCOME.** (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

**A. Filer's Non-Investment Income**

NONE (No reportable non-investment income.)

|    | <u>DATE</u> | <u>SOURCE AND TYPE</u> | <u>INCOME</u><br>(yours, not spouse's) |
|----|-------------|------------------------|--|
| 1. |             |                        |  |
| 2. |             |                        |  |
| 3. |             |                        |  |
| 4. |             |                        |  |

**B. Spouse's Non-Investment Income** - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

NONE (No reportable non-investment income.)

|    | <u>DATE</u> | <u>SOURCE AND TYPE</u> |
|----|-------------|------------------------|
| 1. |             |                        |
| 2. |             |                        |
| 3. |             |                        |
| 4. |             |                        |

**IV. REIMBURSEMENTS** - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

NONE (No reportable reimbursements.)

|    | <u>SOURCE</u>                          | <u>DATES</u> | <u>LOCATION</u> | <u>PURPOSE</u>                   | <u>ITEMS PAID OR PROVIDED</u>  |
|----|--|--------------|-----------------|----------------------------------|--------------------------------|
| 1. | Yeshiva University, Cardozo Law School | 1/5 - 1/9    | New York, NY    | taught a class                   | transportation, lodging, meals |
| 2. | Northwestern University                | 5/4 - 5/5    | Chicago, IL     | Judicial Symposium               | transportation                 |
| 3. | American Conference Institute          | 6/16         | Boston, MA      | participated in panel discussion | transportation, lodging, meals |
| 4. | American Conference Institute          | 6/25         | New York, NY    | participated in panel discussion | transportation, lodging, meals |
| 5. | Wecht Institute                        | 9/11         | Pittsburgh, PA  | taught at seminar                | transportation, lodging, meals |
| 6. | American Conference Institute          | 9/23         | Phoenix, AZ     | participated in panel discussion | transportation, lodging, meals |

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| Name of Person Reporting<br>Carr, James G. | Date of Report<br>5/13/2010 |
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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

| <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|---------------|--------------------|--------------|
| 1. _____      | _____              | _____        |
| 2. _____      | _____              | _____        |
| 3. _____      | _____              | _____        |
| 4. _____      | _____              | _____        |
| 5. _____      | _____              | _____        |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

| <u>CREDITOR</u> | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|-----------------|--------------------|-------------------|
| 1. _____        | _____              | _____             |
| 2. _____        | _____              | _____             |
| 3. _____        | _____              | _____             |
| 4. _____        | _____              | _____             |
| 5. _____        | _____              | _____             |

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Name of Person Reporting  
Carr, James G.

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

| 1.  | A.<br>Description of Assets<br>(including trust assets)<br><br>Place "X" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |   | C.<br>Gross value at end<br>of reporting period |   | D.<br>Transactions during reporting period      |                         |                                 |                                |   |
|-----|---|---|---|---|---|---|-------------------------|---------------------------------|--------------------------------|---|
|     |   | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
|     |   |   |   |   |   |   |                         |                                 |                                |   |
| 2.  |   |   |   |   |   |   |                         |                                 |                                |   |
| 3.  |   |   |   |   |   |   |                         |                                 |                                |   |
| 4.  |   |   |   |   |   |   |                         |                                 |                                |   |
| 5.  |   |   |   |   |   |   |                         |                                 |                                |   |
| 6.  |   |   |   |   |   |   |                         |                                 |                                |   |
| 7.  |   |   |   |   |   |   |                         |                                 |                                |   |
| 8.  |   |   |   |   |   |   |                         |                                 |                                |   |
| 9.  |   |   |   |   |   |   |                         |                                 |                                |   |
| 10. |   |   |   |   |   |   |                         |                                 |                                |   |
| 11. |   |   |   |   |   |   |                         |                                 |                                |   |
| 12. |   |   |   |   |   |   |                         |                                 |                                |   |
| 13. |   |   |   |   |   |   |                         |                                 |                                |   |
| 14. |   |   |   |   |   |   |                         |                                 |                                |   |
| 15. |   |   |   |   |   |   |                         |                                 |                                |   |
| 16. |   |   |   |   |   |   |                         |                                 |                                |   |
| 17. |   |   |   |   |   |   |                         |                                 |                                |   |

|  |  |  |  |  |                         |
|--|--|--|--|--|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000<br>J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000<br>K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000<br>L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000<br>M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | Q = Appraisal<br>U = Book Value  | R = Cost (Real Estate Only)<br>V = Other   | S = Assessment<br>W = Estimated  | T = Cash Market  |                         |

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|---|------------------------------------|
| <b>Name of Person Reporting</b><br>Carr, James G. | <b>Date of Report</b><br>5/13/2010 |
|---|------------------------------------|

**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |   | C.<br>Gross value at end<br>of reporting period |   | D.<br>Transactions during reporting period      |                         |                                 |                                |   |
|---|---|---|---|---|---|-------------------------|---------------------------------|--------------------------------|---|
|   | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 18. [REDACTED]  | <input type="checkbox"/>                | [REDACTED]                                    | <input type="checkbox"/>                        | <input type="checkbox"/>                  |   |                         |                                 |                                |   |
| 19. [REDACTED]  | <input type="checkbox"/>                | [REDACTED]                                    | <input type="checkbox"/>                        | <input type="checkbox"/>                  |   |                         |                                 |                                |   |
| 20.   |   |   |   |   |   |                         |                                 |                                |   |
| 21.   |   |   |   |   |   |                         |                                 |                                |   |
| 22.   |   |   |   |   |   |                         |                                 |                                |   |
| 23.   |   |   |   |   |   |                         |                                 |                                |   |

|  |   |  |   |  |                         |
|--|---|--|---|--|-------------------------|
| <b>1 Income Gain Codes.</b><br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| <b>2 Value Codes</b><br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| <b>3 Value Method Codes</b><br>(See Column C2)         | Q = Appraisal<br>U = Book Value   | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated   | T = Cash Market  |                         |

|   |
|---|
| <b>Name of Person Reporting</b><br>Carr, James G. |
|---|

|                                    |
|------------------------------------|
| <b>Date of Report</b><br>5/13/2010 |
|------------------------------------|

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

[Redacted content]

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|--------------------------|----------------|
| Name of Person Reporting | Date of Report |
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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature \_\_\_\_\_

[Signature area]

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544