

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2008**

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

<b>1. Person Reporting</b> (last name, first, middle initial)  Dennis, James L.	<b>2. Court or Organization</b>  U.S. 5th Cir. Court of Appeals	<b>3. Date of Report</b>  04/30/2009
<b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)  U.S. Circuit Judge, Active	<b>5a. Report Type</b> (check appropriate type) <input type="checkbox"/> Nomination,                      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b>  01/01/2008 to 12/31/2008
<b>7. Chambers or Office Address</b>  John Minor Wisdom Building 600 Camp Street, Room 219 New Orleans, LA 70130	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	
<p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</p>		

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member, Advisory Committee	Geo. W. & Jean H. Pugh Institute for Justice, a fund held by the L.S.U. Foundation for the benefit of L.S.U. Law School
2.	
3.	
4.	
5.	

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**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 10/02/95	LA State Employees' Retirement System. No control; fully vested 10/31/94. See La.R.S. 11:551
2.	
3.	

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**III. NON-INVESTMENT INCOME.** (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

**A. Filer's Non-Investment Income**

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2008	LA State Employees' Retirement System - Pension	\$58,000.00
2.		
3.		
4.		

**B. Spouse's Non-Investment Income -** If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2008	Teachers' Retirement System of Louisiana - pension
2.	
3.	
4.	

**IV. REIMBURSEMENTS** – transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Texas Employment Lawyers Association	4/26/08	Dallas, TX	Speaking engagement	Transportation
2.				
3.				
4.				
5.				

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Alliance Worldwide Privatization Fund, Class A	A	Dividend	K	T					
2. Hospitality Properties	A	Dividend			Sold	06/11	J	A	
3. Municipal Mortgage & Equity	A	Dividend	J	T					
4. Charter Communications	A	Dividend	J	T					
5. Teppco Partners L.P.	B	Dividend	J	T					
6. American Cap Ltd. (FKA American Cap Strategies)	B	Dividend	J	T					
7. Qualcomm, Inc.	A	Dividend			Sold	07/25	J	A	
8. Bank Deposit Program (FKA Centennial Money Market)	A	Interest	K	T					
9. First Trust Value Line	A	Dividend			Sold	04/25	K	A	
10. Rayonier, Inc.	A	Dividend	J	T					
11. Novartis Ag	A	Dividend	J	T					
12. Inergy LP	A	Dividend	J	T					
13. Anchor Nat'l Life Polaris Choice II Variable Annuity (FKA An	A	Dividend	L	T					
14. Crosstex Energy L.P.	A	Dividend	J	T					
15. Energy Transfer Equity	B	Dividend	K	T					
16. Hiland Holdings	B	Dividend	J	T					
17. Regency Energy Partners	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Missouri 3.65 Ser. A Bond	B	Int./Div.	K	T					
19. Alpine Global Premier	B	Dividend			Sold	12/16	J	A	
20. Alpine Total Dynamic	B	Dividend			Sold	12/16	J	A	
21. Amgen	A	Dividend	J	T					
22. Appollo Inv. Corp	A	Dividend	J	T					
23. Encore Energy Partners	B	Dividend	J	T					
24. Energy Transfer Partners	A	Dividend	J	T					
25. Enterprise Prods partners	A	Dividend	J	T					
26. Illinois 5% Ambac	A	Interest			Sold	09/12	K	A	
27. Kinder Morgan Energy (FKA Kinder Morgan)	A	Dividend	J	T					
28. Penn West Energy Trust	A	Dividend	J	T					
29. Plains All American Pipeline	B	Dividend	J	T					
30. Quest Energy Partners	A	Dividend	J	T					
31. Targa Resources Partners	A	Dividend	J	T					
32. Travel Centers of America	A	Dividend	J	T					
33. Vanguard Natural Resource	A	Dividend	J	T					
34. Euroseas Ltd	A	Dividend	J	T					

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35. Teekay Tankers	A	Dividend	J	T					
36. Massachusetts Educational	A	Interest	L	T	Buy	1/23	J		
37. Massachusetts Educational	A	Interest			Redeemed	10/16	J	A	
38. Missouri State Environmental	A	Interest	K	T	Buy	1/23	K		
39. Missouri State Environmental	A	Interest			Redeemed	9/15	K	A	
40. Visa	C	Dividend	J	T	Buy	3/19	J		
41. American Water Works	C	Dividend	J	T	Buy	4/23	J		
42. Whiting	D	Dividend	J	T	Buy	4/24	J		
43. Western Gas Partners	D	Dividend	J	T	Buy	5/14	J		
44. Genesis Energy	D	Dividend	J	T	Buy	6/11	J		
45. MBIA	C	Dividend	J	T	Buy	9/17	J		
46. Centurytel	D	Dividend	J	T	Buy	11/3	J		
47. Monroe Louisiana Sales Tax Bond	E	Interest	K	T	Buy	12/2	K		
48. Monroe Louisiana Sales Tax Bond	E	Interest	K	T	Buy	12/4	K		
49. Proshares Trust	D	Dividend	J	T	Buy	12/23	J		

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544