

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2008**

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

<b>1. Person Reporting</b> (last name, first, middle initial)  Graham, James L.	<b>2. Court or Organization</b>  U.S. District Court, SD/OH	<b>3. Date of Report</b>  05/09/2009
<b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)  U.S. District Judge-Senior	<b>5a. Report Type</b> (check appropriate type) <input type="checkbox"/> Nomination,                      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b>  01/01/2008 to 12/31/2008
<b>7. Chambers or Office Address</b>  169 Joseph P. Keinney U.S. CH 85 Marconi Boulevard Columbus, Ohio 43215	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	
<b>IMPORTANT NOTES:</b> <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</i>		

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	_____	_____
2.	_____	_____
3.	_____	_____
4.	_____	_____
5.	_____	_____

**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	_____	_____
2.	_____	_____
3.	_____	_____

RECEIVED  
 2009 MAY 28 A 11: 06  
 FINANCIAL  
 DISCLOSURE OFFICE

**FINANCIAL DISCLOSURE REPORT**

Page 2 of 9

Name of Person Reporting

Graham, James L.

Date of Report

05/09/2009

**III. NON-INVESTMENT INCOME.** (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

**A. Filer's Non-Investment Income**

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

**B. Spouse's Non-Investment Income** - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

**IV. REIMBURSEMENTS** -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

**FINANCIAL DISCLOSURE REPORT**

Page 3 of 9

Name of Person Reporting

Graham, James L.

Date of Report

05/09/2009

**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Farm Credit of Mid America FLCA	Mortgage on Farm land-owned by [REDACTED] Partnership-Item 3-Part VII	L
2.		
3.		
4.		
5.		

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting

Graham, James L.

Date of Report

05/09/2009

**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Apt Bldgs-Gahanna, OH (Franklin Co)Acq.-4/5/02 Cost \$425865	F	Rent	O	R					
2. ██████████ P/S Farm land ██████████ -Franklin Co. Ohio	D	Rent	O	Q					
3. Huntington National Bank-Checking & Savings Accounts	A	Interest	J	T					
4. Gold & Silver Coins		None	K	T	Sold (part)	10/10	J	D	
5. Merrill Lynch Bank USA	A	Interest			Closed	0725	J		
6. ML Institutional Tax Exempt(Merrill Lynch Bk & Tr)	A	Interest			Transferred (to line 7)				
7. Evergreen Muni Money Market Fund	B	Dividend	L	T	Transferred (from line 6)	07/25	L		
8. Gahanna Jefferson LSD-4.75% due 12/01/21	B	Interest	K	T	Buy	03/19	K		
9. Ohio St Ser A-5% due 06/15/09	C	Interest	M	T	Buy	01/08	M		
10. Ohio ST Common Schools - 5% Due 06/15/09	C	Interest	M	T					
11. Ohio St Bldg Auth Adult Corr - 5.25% Due 10/01/18	B	Interest	K	T					
12. Ohio St Bldg At Adult - 5.5% Due 10/01/09	D	Interest	M	T					
13. Clark County Ohio Bond-3.75%-Due 12/01/09	B	Interest	L	T					
14. South-Western City Sch-4.75%-Due 12/01/09	A	Interest	K	T					
15. Ohio St Infrastructure - 5.75% Due 02/01/14	B	Interest	K	T					
16. Ohio ST Rev Major Infrastructure-5.00%-Due 06/15/10	A	Interest	J	T					
17. Wayne County OH -Due12/01/10-4%	C	Interest	L	T	Buy	12/02	L		

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

**FINANCIAL DISCLOSURE REPORT**

Page 5 of 9

Name of Person Reporting <b>Graham, James L.</b>	Date of Report 05/09/2009
---	------------------------------

**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Ohio St Site Dev - 3.4% Due 05/01/11	C	Interest	L	T					
19. Ohio St Hwy Cap Imp-4% due 05/01/11	A	Interest	K	T	Buy	04/28	K		
20. Cincinnati Water SYS-5.00%-Due 12/01/20	C	Interest	M	T					
21. Ohio St WTR DEV Auth - 5.25% Due 06/01/11	C	Interest	L	T					
22. Toledo OH CAP IMPR D - 4% Due 12/01/18	B	Interest	K	T					
23. Capital One Bond-Due09/24/09-3.5%	B	Interest	L	T	Buy	09/18	K		
24. Western Asset Managed Muns Fd-Formerly Managed Muny Port.	C	Interest	L	T					
25. Bank of America-6.204%-Series D PFD	B	Interest	K	T	Buy	07/11	K		
26. Ohio ST Site Dev - 3.35% Due 05/01/08	C	Interest			Matured	05/01	L		
27. Olentangy Local SD - 3.25% Due 12/01/08	C	Interest			Matured	12/01	L		
28. Evergreen Muni Money Market Fund	A	Dividend	J	T	Buy	12/31	J		
29. Eaton Vance OH Tax Exempt Mutual Fund	A	Interest	K	T	Sold (part)	09/24	J		
30. CMA OH Muni Cash Fd	A	Interest			Transferred (to line 26)	07/25			
31. Evergreen Muni Money Market Fund	A	Dividend	J	T	Transferred (from line 26)	07/25			
32. Clark County Ohio Bond-3.75%-Due 12/01/09	C	Interest	M	T					
33. CD-GE Money Bk-3.5% due 09/25/09	B	Interest	L	T	Buy	09/18	L		
34. Merrill Lynch Bank USA Money Market Account	A	Interest	J	T	Transferred (to line 29)	07/25			

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

# FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

Graham, James L.

Date of Report

05/09/2009

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Wachovia Money Market Fund	B	Dividend	L	T	Transferred (from line 28)	07/25			
36. Astropower, Inc.		None	J	T					
37. Ballard Power Systems, Inc.		None	J	T					
38. Capstone Turbine Corp		None	J	T					
39. Electrosources, Inc.		None	J	T					
40. Energy Conversion Device		None	J	T					
41. Plug Power, Inc.		None	J	T					
42. Powershares QQQ ETF-Series 1-Formerly N ASDAQ 100 Mutual Fund	A	Dividend	J	T					
43. Red Hat, Inc.		None	J	T					
44. Sourceforge-Formaly VA software Corp.		None	J	T					
45. DaimlerChrysler NA Hldg - 6.1% Due 07/1 5/09	C	Interest	K	T					
46. HSBC Finance Corp - 5.7% Due 07/15/09	C	Interest	L	T					
47. Genl Motors Accept Corp - 5% Due 09/15/ 09	B	Interest	K	T					
48. Ford Motor Credit Co - 5% Due 09/21/09	B	Interest	K	T					
49. Federal Natl Mtg Assoc - Various Int. rates - Due 03/24/11	C	Interest			Matured	01/14	K		
50. Federal Natl Mtg Assoc - Various Int. rates - Due 12/30/09	C	Interest			Matured	01/10	L		
51. Fannie Mae-4%-due 07/23/15	B	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

# FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

Graham, James L.

Date of Report

05/09/2009

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. CD GMAC BK Midvale UT - 5% Due 04/09/09	C	Interest	L	T					
53. CD BMW BK of North America - 5.35% Due 07/06/09	B	Interest	K	T					
54. CD Capital One-4.15% due 07/23/09	B	Interest	L	T	Buy	01/14	K		
55. CD Washington Mutual BK-4.35%-Due 12/11/09	C	Interest	L	T					
56. CD Acadia Ded SB-4.3%-Due 12/14/09	B	Interest	K	T					
57. CD Bank Hapoalim BM-5%-Due 05/14/15	B	Interest	K	T	Buy	04/24	K		
58. Bank of America Bd-6.204% -Due 09/15/11	B	Interest	K	T	Buy	07/1	K		
59. DN Rabobank Natl Assoc - 5% Due 10/19/09	C	Interest			Redeemed	04/21	L		
60. Merrill Lynch Bank USA Money Market Fund	B	Interest			Transferred (to line 55)	07/25	J		
61. Wachovia Money Market Fund	A	Dividend	J	T	Transferred (from line 28)	07/25	J		
62. Premier Bk Cd - 4.95% Due 09/02/08	B	Interest			Matured	09/02	K		
63. CD-Pacific Cap Bk-4.25% due 03/14/11	B	Interest	K	T	Buy	03/14	K		
64. CD-Wachovia Bk-4.55% due 09/12/11	B	Interest	K	T	Buy	09/12	K		
65. CD-Wachovia Bk-4.75% due 09/12/12	B	Interest	K	T	Buy	09/12	K		
66. AIM Constellation Class A Mutual Fund	A	Dividend	J	T					
67. Note Receivable-Benevolent Landlord	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

**FINANCIAL DISCLOSURE REPORT**

Page 8 of 9

Name of Person Reporting

Graham, James L.

Date of Report

05/09/2009

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

Part VII, page 1, line 3: The date of the appraisal was November 30, 2007 with an valuation date of December 20, 2007.



**FINANCIAL DISCLOSURE REPORT**

Page 9 of 9

Name of Person Reporting

Graham, James L.

Date of Report

.05/09/2009

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



11-08

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544