

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2004

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) ROTH, JANE R	2. Court or Organization THIRD CIRCUIT	3. Date of Report 8/10/2005
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. CIRCUIT JUDGE (Active)	5. Report Type (check appropriate type) <input type="radio"/> Nomination, <input type="radio"/> Date <input type="radio"/> Initial <input checked="" type="radio"/> Annual <input type="radio"/> Final	6. Reporting Period 1/1/2004 to 12/31/2004
7. Chambers or Office Address 844 King Street, Lock Box 12 U.S. Courthouse Wilmington, DE 19801	8. On the basis of the information contained in this report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

NONE - (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Board of Consultants	Villanova Univ. School of Law
2. Board of Overseers	Widener Univ. School of Law
3. Director	World Affairs Council of Wilmington
4. Director	Foundation for Research on Economics and the Environment

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

NONE - (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	

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# FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

ROTH, JANE R

Date of Report

8/10/2005

### III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

#### A. Filer's Non-Investment Income

NONE - (No reportable non-investment income.)

DATE

SOURCE AND TYPE

GROSS INCOME

(yours, not spouse's)

1.

### IV. REIMBURSEMENTS - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

NONE - (No such reportable reimbursements.)

SOURCE

DESCRIPTION

1. FREE

July 6-11 - Bozeman, MT, Conference Transportation, Meals, Room

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

NONE - (No such reportable gifts.)

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

NONE - (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Wells Fargo (Mortgage formerly held by Wilmington Trust Co.)	Mortgage, Seeley Lake, MT	N

**FINANCIAL DISCLOSURE REPORT**  
Page 1 of 2

Name of Person Reporting  
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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets)  Please "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div, rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
<input type="checkbox"/> NONE (No reportable income, assets, or transactions)									
1. Wilmington Trust Money Market Acct.	A	Interest	L	T					
2. Wilmington Trust Checking Acct	A	Interest	K	T					
3. TRUST WITH WILM. TRUST									
4. BP PLC	A	Dividend	K	T					
5. Exxon Common Stock	B	Dividend	L	T					
6. Johnson & Johnson Common	C	Dividend	M	T					
7. Schering-Plough Common	A	Dividend	L	T					
8. Pepsico Common Stock	C	Dividend	M	T					
9. Proctor & Gamble Common	B	Dividend	M	T					
10. Minnesota M&M Common	B	Dividend	L	T					
11. Hewlett-Packard Common	B	Dividend	L	T					
12. Air Prod & Chem Common	C	Dividend	M	T					
13. Wilm Trust Corp Common	C	Dividend	M	T		N	G		
14. WTC Common Funds	D	Dividend	O	T					
15. Wilm. Prime Money Market	A	Interest	J	T					
16. [REDACTED] Trust									
17. Municipal Bond Fund	B	Interest	K	T					
18. Wilm Trust Corp. Common	D	Dividend	N	T					

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000  
(See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000

2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000  
(See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000  
P3 = \$25,000,001-\$50,000,000 P4 = \$50,000,001 or more

3. Value Method Codes: Q = Appraisal R = Cost (R at Estate Only) S = Assessment T = Cash/Marker  
(See Column C2) U = Book Value V = Other W = Estimated

**FINANCIAL DISCLOSURE REPORT**  
Page 2 of 2

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**VII. INVESTMENTS and TRUSTS** – Income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-F)	(2) Type (e.g. div, rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
19. WTC Common Funds	A	Dividend	K	T					
20. Wilm Prime Money Market	A	Interest	J	T					
21. IRA - WITC Retirement Certificate	A	Interest	K	T					
22. Wilm Trust Cap Advantage Acct	A	Interest	K	T					
23. Wilm Trust IRA									
24. Scudder Fund, Managed Cash	A	Interest	K	T					
25. Fidelity Equity Fund Real Estate	B	Interest	M	T					
26. WT Mutual Fund - Small Cap		None	L	T					
27. T Rowe Price High Yield Fund	D	Interest	M	T					
28. Guidance Cap Blue T.E. Fund		None	N	T					
29. IShares Trust Lehman Fund	B	Interest	K	T	Bought	12/29			
30. Pimco Real Return Strategy Fund	D	Interest	M	T	Bought	12/8			
31. Wilm Trust Internat'l Strategic Fund	B	Interest	M	T	Bought	12/8			
32. Wilm Trust Mutual Fund - Midcap	A	Interest	M	T	Bought	12/8			

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,900	C = \$2,901-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and B4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and C3)	N = \$250,000-\$500,000	Q = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$ 5,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

**FINANCIAL DISCLOSURE REPORT**

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS**

(Indicate part of Report.)

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

Aug. 10, 2005

NOTE: A [REDACTED] AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

### FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544