AO 10
Rev 1/2008

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2007

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) ARTERTON, JANET B	2. Court or Organization U.S. DISTRICT COURT	3. Date of Report 05/02/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) ARTICLE III JUDGE/ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2007 to 12/31/2007
7. Chambers or Office Address U.S. DISTRICT COURT 141 CHURCH STREET NEW HAVEN, CT 06510	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer______________ Date__________	

***IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

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II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	05/13/95	Garrison, Levin-Epstein, Chimes & Richardson, P.C. - Profit Sharing Plan/401k Plan (No control.)
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2007	Salary-George Washington University
2.	2007	Royalties-Rowman and Littlefield Publishers
3.	2007	Consultant fee-Bertlesmann Foundation
4.	2007	Honorarium-Al Hurra Network
5.	2007	Honorarium-Capurro Consulting

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children, see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	OPDAT, Dept. of Justice/State	4/21 - 4/28	Latvia	Human trafficking program	Transportation and per diem for food, lodging and expenses.
2.	Indiana University	6/28 - 6/30	Indianapolis, IN	Democracy Consortium	Transportation and per diem for food, lodging and expenses.
3.	U.S. Army War College	6/3 - 6/8	Carlisle, PA	Annual Strategy Seminar	Food and lodging provided.
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
ARTERTON, JANET B	05/02/2008

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Janus Global and International	A	Dividend	M	T					
2. Wells Fargo Adv. Opp. Fund (Formerly Strong Opp. Fund)	A	Dividend	M	T					
3. Third Avenue Value Fund	A	Dividend	M	T					
4. Vanguard Muni Bond Fund (Money Market Account)	B	Dividend	M	T					
5. Garrison, et al Profit Sharing Plan/401k: American Funds	A	Dividend	O	T					
6. Vanguard Windsor Fund	A	Dividend	L	T					
7. TIAA/CREF Growth Fund	A	Dividend	L	T	Buy	Month	J		
8. Excelsior Value & Restructuring	A	Dividend	L	T					
9. Vanguard Morgan Growth Fund	A	Dividend	L	T					
10. Vanguard Capital Growth	B	Dividend	L	T	Buy	04/07	L		
11. Vanguard 500 Index Fund	A	Dividend	L	T					
12. AYALA	A	Dividend			Sell	08/07	J		
13. People's Bank (CD)	A	Interest	J	T					
14. Gartmore GVIT Emerging Market III Fund	B	Dividend			Sell	04/07	K		
15. Nationwide Annuity	E	Distribution			Sell	04/07	N		
16. Vanguard Mid Cap Index	B	Dividend	L	T	Buy	04/07	L		
17. Wells Fargo Adv. Opp. Fund	A	Dividend			Sell	04/07	M		

1. Income Gain Codes (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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ARTERTON, JANET B	05/02/2008

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Neuberger Berman Partners Portfolio	A	Dividend			Sell	04/07	L		
19. Vanguard Small Company Growth	B	Dividend	L	T	Buy	04/07	L		
20. Vanguard International Value Fund	A	Dividend	M	T			L		
21. CT Higher Ed Trust	A	Dividend	J	T					
22. Vanguard Muni Bond Fund	A	Interest	K	T					
23. Vanguard Small Cap Growth	A	Dividend	K	T					
24. People's Bank CD	A	Interest	J	T	Buy	06/07	J		
25. Gartmore GVIT Int'l GR III	B	Dividend			Sell	04/07	K		
26. Vanguard International	B	Dividend	L	T	Buy	04/07	L		

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Sig[redacted]

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FAL[redacted] REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544