AO 10 Rev. 1/2011

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2010

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
HALL, JANET C.	U.S. District Court (D.CT)	5/10/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address U.S. District Court 915 Lafayette Blvd. Bridgeport, CT 06604	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer______________ Date________	

***IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee	St. Francis Home for Children
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
HALL, JANET C.	5/10/2011

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

[✓] NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

[ ] NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2010	Self-employed attorney
2.		
3.		
4.		

IV. REIMBURSEMENTS *– transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

[ ] NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Federal Bar Council	5/4/2010	New York, New York	FBC Law Day Event	Dinner for myself and my spouse
2.	Federal Bar Council	11/24/2010	New York, New York	Thanksgiving luncheon for the Federal Judiciary	Luncheon expense
3.					
4.					
5.					

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HALL, JANET C.	5/10/2011

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	U.S. Dept. Education	Student Loan	L
2.	Citizens Bank	Line of Credit	M
3.	Citizens Bank	Student Loan	L
4.	Sallie Mae	Student Loan	L
5.			

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	State of Israel Bond - Exp. 1/01/13	B	Interest	K	T					
2.	Templeton Dragon Fund	B	Dividend	K	T					
3.	Templeton Global Small Cos Growth Fund	A	Dividend	J	T					
4.	Mutual Quest Fund	D	Dividend	M	T					
5.	Chartlinks, L.P.		None	J	W					
6.	Strips-tprp Pmt on 10.625 2015 T/ Bond-8/15/2015	A	Interest	J	T					
7.	Strips Tint-US Treasury Mat. 11/15/20	A	Interest	J	T					
8.	Vanguard Windsor II Fund	B	Dividend	L	T	Sold (part)	09/27/10	K	A	
9.	Mutual Discovery Fund CL2	A	Dividend	K	T					
10.	[redacted] P.C. (Note)		None	M	W					
11.	Partnership Interest in Whitney-Humphrey Assc. (Note)		None	L	W					
12.	U.S. Savings Bonds	A	Interest	J	T					
13.	MetLife Ins. Co. of CT (universal life)	B	Interest	K	T					
14.	AXA Equitable Life Ins. Co. (life policy)	B	Interest	L	T					
15.	Columbia Value Rest. Fund	A	Dividend	J	T					
16.	Schwab US Treas Money Fund	A	Interest	K	T	Buy	09/29/10	N		
17.						Buy	10/01/10	N		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	 (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	 (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	 (2) Date mm/dd/yy	 (3) Value Code 2 (J-P)	 (4) Gain Code 1 (A-H)	 (5) Identity of buyer/seller (if private transaction)
18.					Sold (part)	10/26/10	O		
19. Sound Shore Fund	B	Dividend	M	T					
20. Thornburg Value Fund CL.A	A	Dividend	M	T					
21. Westport Select Cap.CL.R		None	M	T					
22. Schwab Value Advantage Money Fund	A	Dividend	J	T	Sold (part)	09/27/10	J		
23.					Sold (part)	11/01/10	J		
24. Citizens Bank	A	Interest	L	T					
25. Muhlenkamp Fund		None			Sold	08/18/10	L	A	
26. AMR Corp.		None	J	T					
27. Artisan Int'l Small Cap	A	Dividend	M	T					
28. Calamos Growth Fund - A		None	M	T	Sold (part)	09/27/10	K	B	
29. Third Ave. Real Est. Value Fund	A	Dividend	J	T					
30. Third Ave. International Value Fund	A	Dividend	K	T					
31. Buffalo Sm. Cap Fund		None	J	T					
32. T. Rowe Price MidCap Growth	A	Dividend	J	T					
33. T. Rowe Price Cap.App.Fund	C	Dividend	M	T	Buy	10/28/10	K		
34. Marsico Growth Fund	A	Dividend	M	T	Sold (part)	09/27/10	L		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
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HALL, JANET C.	5/10/2011

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
35. iShare MSCI Canada Index Fund (Note)	A	Dividend	J	T	Buy	10/27/10	J		
36. Fidelity Cash Acct.	A	Interest	J	T					
37. Bank of America	A	Interest	J	T					
38. Schwab Bank	A	Interest	J	T					
39. Davis NT Venture Fd - A	B	Dividend	M	T					
40. Artisan Mid-Cap Value FD	C	Dividend	M	T	Sold (part)	09/23/10	L	E	
41.					Buy (add'l)	10/22/10	K		
42.					Buy (add'l)	11/08/10	K		
43.					Buy (add'l)	11/16/10	J		
44.					Buy (add'l)	12/02/10	J		
45.					Buy (add'l)	12/13/10	J		
46. Dodge & Cox Int'l. Stock	B	Dividend	L	T	Buy	10/27/10	J		
47.					Buy	11/08/10	J		
48.					Buy	11/16/10	J		
49.					Buy	12/02/10	J		
50. Vanguard Strategic Equity	A	Dividend	J	T					
51. Wells Fargo Advantage Value Fund	A	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
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52. Storage Inn Ltd.		None	L	W					
53. Arcadia Wind Farms Energies Ltd		None	L	W					
54. Limited Partner, Cummings Drive L.P.	B	Dividend	L	T					
55. Marisco International		None			Sold	09/27/10	L	D	
56. iShares INC MSCI EMU Index Fund		None	J	T					
57. Plug Power Inc.		None	J	T					
58. T. Rowe Price Group A	A	Dividend	J	T					
59. Buffalo Mid-Cap		None	J	T					
60. Pax World Balance Fund	A	Dividend	J	T					
61. Marisco Focus Fund		None	L	T					
62. Fairholme Fund	D	Dividend	M	T	Buy (add'l)	08/19/10	L		
63.					Buy (add'l)	10/27/10	K		
64.					Buy (add'l)	11/08/10	J		
65.					Buy (add'l)	11/16/10	K		
66.					Buy (add'l)	12/13/10	K		
67.					Buy (add'l)	12/16/10	K		
68. Intrepid Small Cap Fund	B	Dividend	K	T	Sold (part)	09/27/10	L	D	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
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69. Matthews Asia Pacific		None			Sold	09/27/10	L	D	
70. Schwab S&P 500 Index Fund	A	Dividend	K	T	Sold (part)	09/29/10	L	D	
71. Schwab EFTS Int'l Equity ETF (Note)	A	Dividend			Sold	09/27/10	J	A	
72. Schwab ETFS - US Large Cap ETF	A	Dividend	K	T	Sold (part)	09/29/10	J	B	
73. Petrobank Energy & Res LTDF		None	J	T					
74. iShares TR Russell 3000	B	Dividend			Sold	09/27/10	M	E	
75. Schwab Total Stock Market	A	Dividend	K	T					
76. Conoco Philips	A	Dividend	K	T					
77. Greenspring Fund	A	Dividend	K	T					
78. Nicholas II Fund	A	Dividend	K	T					
79. Nicholas Ltd Edition Fund	A	Dividend	K	T					
80. Schwab Investor Money Fund		None			Sold	11/01/10	J		
81. Schwab Advantage Cash		None			Sold (part)	09/27/10	J		
82.					Sold	11/01/10	J		
83. Vanguard Russell 2000 Growth Index Fund	A	Dividend	J	T	Buy	10/27/10	J		
84. Vanguard Russell 2000 Value Index Fund	A	Dividend	J	T	Buy	10/27/10	J		
85. Vanguard REIT ETF	A	Dividend	J	T	Buy	10/27/10	J		

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86. Vanguard Russell 3000 Index Fund	A	Dividend	J	T	Buy	10/27/10	J		
87. Vanguard Emerging Markets	A	Dividend	K	T	Buy	10/28/10	K		
88. SPDR S&P Emerging Asia	A	Dividend	K	T	Buy	10/28/10	K		
89. CMG Focus Fund		None	L	T	Buy	10/27/10	K		
90.					Buy	11/01/10	J		
91. CMG Realty Fund	A	Dividend	K	T	Buy	11/01/10	J		
92. Schwab Advantage Cash Reserve Premium	A	Dividend	L	T	Buy	10/26/10	O		
93.					Sold (part)	10/28/10	M		
94.					Sold (part)	11/09/10	L		
95.					Sold (part)	11/17/10	M		
96.					Sold (part)	12/03/10	K		
97.					Sold (part)	12/14/10	L		
98. Royce Value Fund	A	Dividend	L	T	Buy	10/27/10	K		
99.					Buy	11/08/10	K		
100.					Buy	11/16/10	J		
101.					Buy	12/13/10	K		
102. TR Price Growth Fund	A	Dividend	L	T	Buy	10/27/10	K		

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103.					Buy	11/08/10	J		
104.					Buy	11/16/10	K		
105.					Buy	12/13/10	K		
106. Vanguard Small Cap Fund	A	Dividend	J	T	Buy	11/01/10	J		
107. Thornberg Int'l Value Fund	A	Dividend	L	T	Buy	10/27/10	J		
108.					Buy (add'l)	11/08/10	J		
109.					Buy (add'l)	11/16/10	J		
110.					Buy (add'l)	12/02/10	J		
111.					Buy (add'l)	12/13/10	J		
112. Vanguard Emerging Markets	A	Dividend	J	T	Buy	03/09/10	J		
113. Vanguard Wellesley Fund	A	Dividend	J	T	Buy	03/09/10	J		
114. Vanguard GNMA Fund	A	Dividend	J	T	Buy	03/09/10	J		
115.									
116.									

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII:

Line 10: Passive income from sub-chapter S corporation.

Line 11: The appraisal date is 4/2008.

Line 35: In the 2009 Report (Line 49), Column C(1) was mistakenly reported as "K." It should have been "J."

Line 71: In the 2009 Report (Line 78), Column D(4) was mistakenly reported at "K." It should have been "J."

Name of Person Reporting	Date of Report
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ JANET C. HALL

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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