

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2010**

*Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)*

<b>1. Person Reporting (last name, first, middle initial)</b>  Zainey, Jay C.	<b>2. Court or Organization</b>  USDC, Eastern District of LA	<b>3. Date of Report</b>  05/15/2011
<b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b>  Article III Judge - active	<b>5a. Report Type (check appropriate type)</b> <input type="checkbox"/> Nomination,      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b>  01/01/2010 to 12/31/2010
<b>7. Chambers or Office Address</b>  500 Poydras Street C-455 New Orleans, La 70130	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	
<b>IMPORTANT NOTES:</b> <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</i>		

**I. POSITIONS.** *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board Member	American Red Cross
2. Active Participant	God's Special Children
3. Active Participant	Homeless Experience Legal Protection (HELP) Program
4. Board Member	Judge John Boutall Inn of Court
5. President	St. Andrew's Village
6. Chairman	Pro Bono Project
7. Board Member	Cafe Reconcile

**II. AGREEMENTS.** *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

Zainey, Jay C.

Date of Report

05/15/2011

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

**A. Filer's Non-Investment Income**

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1. 2010	United States Judges	\$161,232.74
2.		
3.		
4.		

**B. Spouse's Non-Investment Income** - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

**IV. REIMBURSEMENTS** - *transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Louisiana State Bar Association	06/10/2010-06/13/2010	Sandestin, FL	Seminar Lecture	Travel
2.	American Bar Association	08/02/2010-08/06/2010	San Francisco, CA	Seminar Lecture	Travel
3.					
4.					
5.					

**FINANCIAL DISCLOSURE REPORT**

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)* NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Tom Benson	2 New Orleans Saints Tickets for Opening Game	\$340.00
2.			
3.			
4.			
5.			

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)* NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Whitney National Bank	Continuing Guarantee regarding loan to St. Andrew's Village	M
2.			
3.			
4.			
5.			

**FINANCIAL DISCLOSURE REPORT**

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (I-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Rental Property #1, Metairie, LA	E	Rent	N	W					
2. Rental Property #2, New Orleans, LA	D	Rent	M	W					
3. Rental Property #3, New Orleans, LA	E	Rent	M	W					
4. JP Morgan Accounts	A	Interest	J	T					
5. Capital One Accounts		None	J	T					
6. First NBC Bank	D	Interest	N	T					
7. Hibernia Investments LLC		None	J	T					
8. Sun Life Finanacial - Life Insurance Policy #1	C	Interest	M	T					
9. Sun Life Financial - Life Insurance Policy #2	A	Interest	J	T					
10. Sun Life Financial - Life Insurance Policy #3	A	Interest	J	T					
11. Transamerica Life Ins Co	D	Interest	N	T					
12. Sun Life Assurance Company - Life Insurance Policy #1	C	Interest	L	T					
13. Jackson National Life Ins. - Retirement Annuity #1	B	Interest	L	T					
14. Jackson National Life Ins. - Retirement Annuity #2	B	Interest	K	T					
15. Jackson National Life Ins. - Retirement Annuity #3	B	Interest	K	T					
16. Jackson National Life Ins. - Retirement Annuity #4	B	Interest	K	T					
17. Jackson National Life Ins. - Retirement Annuity #5	A	Interest	K	T					

1. Income Gain Codes: A = \$1,000 or less  
(See Columns B1 and D4) F = \$50,001 - \$100,000  
B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000  
C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000  
D = \$5,001 - \$15,000 E = \$15,001 - \$50,000  
H2 = More than \$5,000,000

2. Value Codes J = \$15,000 or less  
(See Columns C1 and D3) N = \$250,001 - \$500,000  
K = \$15,001 - \$50,000 L = \$50,001 - \$100,000  
M = \$100,001 - \$250,000  
O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000  
P2 = \$5,000,001 - \$25,000,000  
P3 = \$25,000,001 - \$50,000,000  
P4 = More than \$50,000,000

3. Value Method Codes Q = Appraisal  
(See Column C2) R = Cost (Real Estate Only)  
S = Assessment T = Cash Market  
U = Book Value V = Other  
W = Estimated

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting <b>Zainey, Jay C.</b>	Date of Report <b>05/15/2011</b>
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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Jackson National Life Ins. - Retirement Annuity #6	A	Interest	K	T					
19. Jackson National Life Ins. - Retirement Annuity #7	A	Interest	K	T					
20. Jackson National Life Ins. - Retirement Annuity #8	B	Interest	K	T					
21. Jackson National Life Ins. - Retirement Annuity #9	A	Interest	J	T					
22. IRA #1 - State Street Bank and Trust - JP Morgan	C	Interest	K	T					
23. IRA #2- State Street Bank and Trust - JP Morgan	B	Interest	J	T					
24. Sun Life Financial - Common Stock	A	Dividend	K	T					
25. Reliance Group Holdings, Inc. - Common Stock		None	J	T					
26. United States Antigen Corporation		None	J	W					
27. Khoder International Brokerage		None	J	W					

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000  
 (See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 H1=\$1,000,001 - \$5,000,000 H2=More than \$5,000,000

2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000  
 (See Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000  
 P3=\$25,000,001 - \$50,000,000 P4=More than \$50,000,000

3. Value Method Codes Q=Appraisal R=Cost (Real Estate Only) S=Assessment T=Cash Market  
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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

Zainey, Jay C.

Date of Report

05/15/2011

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Jay C. Zainey**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544

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*Report Required by the Ethics  
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**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.*

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1.	Rental Property #1, Metairie, LA	E	Rent	N	W					
2.	Rental Property #2, New Orleans, LA	D	Rent	M	W					
3.	Rental Property #3, New Orleans, LA	E	Rent	M	W					
4.	JP Morgan Accounts (5)	A	Interest	J	T					
5.	Capital One Accounts (3)		None	J	T					
6.	First NBC Bank (3)	D	Interest	N	T					
7.	Hibernia Investments LLC		None	J	T					
8.	Sun Life Finacial - Life Insurance Policy #1	C	Interest	M	T					
9.	Sun Life Financial - Life Insurance Policy #2	A	Interest	J	T					
10.	Sun Life Financial - Life Insurance Policy #3	A	Interest	J	T					
11.	Transamerica Life Ins Co	D	Interest	N	T					
12.	Sun Life Assurance Company - Life Insurance Policy #1	C	Interest	L	T					
13.	Jackson National Life Ins. - Retirement Annuity #1	B	Interest	L	T					
14.	Jackson National Life Ins. - Retirement Annuity #2	B	Interest	K	T					
15.	Jackson National Life Ins. - Retirement Annuity #3	B	Interest	K	T					
16.	Jackson National Life Ins. - Retirement Annuity #4	B	Interest	K	T					
17.	Jackson National Life Ins. - Retirement Annuity #5	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	



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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

Amending report in response to letter of inquiry dated July 22, 2011.

Changed Part III, A., Line 1. Removed compensation for current employment by the United States since it is not required to be disclosed.

In Part VII, page 5, line 27, "Khoder International Brokerage, LLC" is not a stock brokerage company. It is an LLC formed by several potential partners. There is no business, no assets, no debt, no employees and has never been active. This company remains inactive.

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I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Jay C. Zainey**

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