

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2004**

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

Person Reporting (Last name, First name, Middle initial) Sutton, Jeffrey	Sixth Circuit Court of Appeals	3. Date of Report 4/13/2005
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time)	<input type="radio"/> Nomination, <input type="radio"/> Initial <input checked="" type="radio"/> Annual <input type="radio"/> Final	6. Reporting Period 1/1/2004 to 12/31/2004
8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable law Reviewing Officer _____ Date _____		

**IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

**POSITIONS.** (Reporting individual only; see pp. 14-16 of filing instructions)  
 (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Adjunct Faculty	The Ohio State University College of Law
2. Visiting Faculty	Vanderbilt University Law School

**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of filing instructions)

**NONE** - (No reportable agreements.)

DATE	PARTIES AND TERMS
1. 1995	Ohio Public Employee's Retirement System; vested retirement account.
2. 1997	Ohio State Teacher's Retirement System; vested retirement account.

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Name of Person Reporting

Sutton, Jeffrey S

Date of Report

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**III. NON-INVESTMENT INCOME.** (Reporting individual and spouse, see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income** **NONE** - (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u> (yours, not spouse's)
1.	2004	The Ohio State University College of Law	17,112
2.	2004	The Vanderbilt University Law School	2,100

**B. Spouse's Non-Investment Income** - (If you were married during any portion of the reporting year, please complete this section. Dollar amount not required except for honoraria.) **NONE** - (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	2004	The Columbus Academy - teacher
2.	2004	The Columbus School for Girls - substitute teacher

**IV. REIMBURSEMENTS** - ~~transportation, lodging, food, entertainment~~

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

 **NONE** - (No such reportable reimbursements.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>
1.		

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**V. GIFTS.** (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

**NONE** - (No such reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		

**VI. LIABILITIES.** (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

**NONE** - (No reportable liabilities.)

CREATOR	DESCRIPTION	VALUE CODE
1.		

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## VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets)  Place "XX" after each asset exempt from price disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g. div. rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Buyer/seller (if private transaction)
<input checked="" type="checkbox"/> NONE (No reportable income, assets, or transactions)									
1. Bank One checking account	A	Interest	J	T					
2. Fidelity cash reserves account	A	Interest	J	T					
3. Ohio deferred comp plan/S&P 500 Index	A	Dividend	K	T					
4. Ohio deferred comp plan - Bond Fund	A	Interest	J	T					
5. Fidelity Investment Grade Bond Fund (IRA)	A	Interest	J	T					
6. Fidelity Cash Reserves Mutual Fund (IRA)	A	Interest	J	T					
7. Fidelity Select Health Care Mutual Fund (IRA)	A	Dividend	J	T					
8. Fidelity Spartan 500 Index Mutual Fund (IRA)	A	Dividend	K	T					
9. Fidelity Int'l Bond Fund (Strategic Bond Fund) (IRA)	A	Interest	J	T					
10. Fidelity Cash Reserves Mutual Fund (IRA)	A	Interest	J	T					
11. Fidelity Magellan Mutual Fund (IRA)	A	Dividend	J	T					
12. Fidelity Overseas Mutual Fund (IRA)	A	Dividend	J	T					
13. Fidelity Blue Chip Mutual Fund	A	Dividend	J	T					
14. Fidelity Growth & Income Mutual Fund	A	Dividend	J	T					
15. Vanguard Ohio Tax-Exempt Money Market Fund	C	Interest	M	T					
16. Vanguard S&P 500 Index Fund (IRA)	C	Dividend	N	T					
17. Trust									
18. AT&T bonds	A	Interest	J	T					

1. Income Codes: A = \$1,000 or less; B = \$1,001-\$5,000; C = \$5,001-\$50,000; D = \$50,001-\$150,000; E = \$150,001-\$500,000; F = \$500,001-\$1,000,000; G = \$1,000,001-\$5,000,000; H = \$5,000,001-\$25,000,000; I = More than \$25,000,000

2. Value Codes: J = \$15,000 or less; K = \$15,001-\$50,000; L = \$50,001-\$100,000; M = \$100,001-\$250,000; N = \$250,001-\$500,000; O = \$500,001-\$1,000,000; P1 = \$1,000,001-\$5,000,000; P2 = \$5,000,001-\$25,000,000; P3 = More than \$25,000,000

3. Value Method Codes: Q = Appraisal; R = Cost (Real Estate Only); S = Assessment; T = Cash/Markets; U = Book Value; V = Other; W = Estimated

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Sutton, Jeffrey S

Date of Report  
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## VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-37 of filing instructions.)

A. Description of Assets (including trust assets)  Place "00" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	if not exempt from disclosure			
						(2) Date: Month- Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
19. Household Finance Corporation bonds	A	Interest	J	T					
20. US Treasury bills	B	Interest	K	T					
21. Vanguard S&P 500 Index Fund	B	Dividend	M	T					
22. Admiral Treasury Money Market	B	Interest	L	T					

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$60,001-\$100,000	G = \$100,001-\$1,000,000	H = \$1,000,001-\$5,000,000	I = \$5,000,001-\$25,000,000	J = More than \$25,000,000
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	N = \$250,001-\$500,000
(See Columns C1 and D3)	O = \$500,001-\$1,000,000	P = \$1,000,001-\$5,000,000	Q = \$5,000,001-\$25,000,000	R = \$25,000,001-\$50,000,000	S = More than \$50,000,000
3. Value Method Codes:	Q = Appraisal	R = Real Estate Only	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting

Swanson, Jeffrey S

Date of Report

4/13/2005

**VII. ADDITIONAL INFORMATION OR EXPLANATIONS**

(Indicate part of Report.)

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Sutton, Jeffrey S

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## DECLARATION

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

4/13/05

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

### FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544