

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2010**

1. Person Reporting (last name, first, middle initial) Gottschall, Joan B.	2. Court or Organization U.S. District Court, NDIL	3. Date of Report 05/03/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge--active status	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address 219 South Dearborn Street Room 2356 Chicago, IL 60604	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member	Visiting Committee to the Divinity School, University of Chicago
2. Member, Board of Directors	Illinois Humanities Council
3. Member, Board of Directors	Martin Marty Center at the University of Chicago Divinity School
4. Member	Friends of the University of Sussex
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT
Page 2 of 10

Name of Person Reporting Gottschall, Joan B.	Date of Report 05/03/2011
---	------------------------------

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1.	In previous years, I have reported that I am a shareholder in a [REDACTED] business. I realized no income from this business in this year or in the	\$0.00
2.	previous twenty years. This non-investment income will no longer be reported based on the fact that no income has been realized.	
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children: see pp. 25-27 of filing instructions.)

NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Aspen Institute	11/5/10-11/07/10	Wye Woods, MD	Educational seminar	Ground transportation and airfare \$497.40
2.					
3.					
4.					
5.					

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

Gottschall, Joan B.

Date of Report

05/03/2011

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Aspen Institute	Lodging, meals, study material for 11/5-11/7 seminar	\$727.44
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting Gottschall, Joan B.	Date of Report 05/03/2011
--	-------------------------------------

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
		Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1.	Vanguard Wellington Fund	A	Dividend	K	T					
2.	Vanguard Wellesley Fund	A	Dividend	K	T					
3.	Vanguard Index Trust	A	Dividend	L	T					
4.	Vanguard Prime Portfolio	A	Dividend	K	T					
5.	Fed Empl Credit Union Acts	A	Interest	J	T					
6.	IBM c/stock	A	Dividend	K	T					
7.	Intel c/stock	A	Dividend	J	T					
8.	Waste Management c/stock	A	Dividend	J	T					
9.	Vanguard Tax-Managed Growth & Income Fund	A	Dividend	J	T					
10.	Hewlett-Packard c/stock	A	Dividend	J	T					
11.	CVS Caremark c/stock	A	Dividend	J	T					
12.	MFS Mid-Cap Growth Fund B		None			Sold	10/07/10	J		
13.	MFS Value Fund B	A	Dividend			Sold	10/07/10	K	D	
14.	Vanguard Capital Opportunity Fund	A	Dividend	J	T					
15.	BlackRock Large Cap Value	A	Dividend	J	T					
16.	BlackRock Value Opportunities	A	Dividend	J	T					
17.	I Shares Russell 1000 Growth Index Fd.	A	Dividend			Sold	09/13/10	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

Gottschall, Joan B.

Date of Report

05/03/2011

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount	(2) Type (e.g., div., rent, or int.)	(1) Value	(2) Value	(1) Type (e.g., buy, sell, redemption)	(2) Date	(3) Value	(4) Gain	(5) Identity of buyer/seller (if private transaction)
	Code 1 (A-H)		Code 2 (J-P)	Code 3 (Q-W)		mm/dd/yy	Code 2 (J-P)	Code 1 (A-H)	
18. 1 Shares Russell Midcap Index Fd.	A	Dividend			Sold	09/13/10	J		
19. 1 Shares Russell 2000 Growth Index Fd.	A	Dividend			Sold	09/13/10	J		
20. Vanguard Intern'l Eq. Idx. Emerg. Mkt. Etf.	A	Dividend			Sold	10/07/10	J		
21. 1 Shares Russell 2000 Index Fd.	A	Dividend			Sold	09/13/10	J		
22. 1 Shares Russell Midcap Growth Index	A	Dividend			Sold	09/13/10	J		
23. JP Morgan Growth Advantage Fd.		None			Sold	09/13/10	J		
24. JP Morgan Intrepid Intern'l Fd.	A	Dividend			Sold	10/07/10	J		
25. JP Morgan Asia Equity Fd.	A	Dividend			Sold	10/07/10	J		
26. JP Morgan Short Term Muni Bond Fd.	A	Dividend			Sold	09/13/10	J	A	
27. JP Morgan Intermed. Tx. Free Bond	D	Interest			Sold	09/13/10	M	E	
28. JP Morgan Tax Aware Real Ret. Fd.	A	Dividend			Sold	09/13/10	K	A	
29. Fidelity Adv. Hi Inc Advantage Fd.	A	Dividend			Sold	10/07/10	J	A	
30. JP Morgan US Large Cap Core Plus	A	Dividend			Sold	09/13/10	J		
31. JP Morgan US Equity Fd.	A	Dividend			Sold	09/13/10	J		
32. JP Morgan Intrepid America Fd.	A	Dividend			Sold	09/13/10	J		
33. JP Morgan/Chase checking account	A	Interest			Closed	08/19/10			
34. JP Morgan/Chase savings account	A	Interest			Closed	08/19/10			

- | | | | | | |
|--|---|--|--|--|-------------------------|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A = \$1,000 or less
F = \$50,001 - \$100,000 | B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000 | C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000 | D = \$5,001 - \$15,000
H2 = More than \$5,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000 | M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | |
| 3. Value Method Codes
(See Column C2) | Q = Appraisal
U = Book Value | R = Cost (Real Estate Only)
V = Other | S = Assessment
W = Estimated | T = Cash Market | |

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting Gottschall, Joan B.	Date of Report 05/03/2011
--	-------------------------------------

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
		Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.	JP Morgan/Chase Money Market Acct	B	Dividend			Closed	08/19/10			
36.	JP Morgan Chase 100% US Treasury MM Fd. (investment acct)					Closed	08/19/10			
37.	JP Morgan Chase 100% US Treasury MM Fd. (retirement account)					Closed	08/19/10			
38.	JPMorgan Short Duration Bd. Fd.	A	Interest			Sold	10/07/10	K	A	
39.	Barclays Tips Bd..	A	Dividend			Sold	10/07/10	J	A	
40.	JPMorgan Intern'l Value Fund	A	Dividend			Sold	09/13/10	J		
41.	JPMorgan Highbridge Stat Mkt Neut Fd	A	Dividend			Sold	09/13/10	J		
42.	Manning & Napier Fd Inc New Eq Ser	A	Dividend			Sold	09/13/10	J		
43.	Spdr Tr Unit Ser I	A	Dividend			Sold	09/13/10	K		
44.	Eaton Vance Invt Tr Lg Cap Value Fd	A	Dividend			Sold	09/14/10	K	C	
45.	Dodge & Cox Fds Intl Stk Fd	A	Dividend	J	T					
46.	I Shares MSCI Asian Ex Japan	A	Dividend			Sold	09/13/10	J	D	
47.	Lancaster Cnty Neb. School Dist 001 Lincoln PS Bond	A	Interest	J	T	Buy	09/21/10	J		
48.	Orange Cty, NC Pub Impt Bond	B	Interest	K	T	Buy	09/20/10	K		
49.	Spring Branch Tx School Dist. Bond	A	Interest	J	T	Buy	09/17/10	J		
50.	Garland TX G/O Bond	A	Interest	K	T	Buy	09/17/10	K		
51.	St. Joseph Cnty Hosp Auth Bond	B	Interest	K	T	Buy	09/23/10	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

Gottschall, Joan B.

Date of Report

05/03/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B.		C.		D.				
		Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.	Calif. G/O Bond	B	Interest	K	T	Buy	09/21/10	K		
53.	Monroe Twp NJ Brd Ed/Gloucester Cty G/O Bond	A	Interest	K	T	Buy	09/22/10	K		
54.	Seattle WA Mun Lt & Pwr	A	Interest	K	T	Buy	09/17/10	K		
55.	Wausau WI School Dist. Bond	A	Interest	K	T	Buy	09/17/10	K		
56.	Denton TX Util Sys Bond	A	Interest	K	T	Buy	09/16/10	K		
57.	Tipp City Ohio Bond	A	Interest	K	T	Buy	09/21/10	K		
58.	Jackson Cty OR School Dist. Bond	A	Interest	J	T	Buy	09/17/10	J		
59.	Arizona St. Transprt Brd Hwy Rev Bond	A	Interest	J	T	Buy	09/20/10	J		
60.	NJ Ed. Facs Ath Rev. Bond	A	Interest	K	T	Buy	09/28/10	K		
61.	Pennsyl. St. Tpk Comm Bond	A	Interest	J	T	Buy	09/22/10	J		
62.	Philadelphia PA Gas Wks Bond	A	Interest	J	T	Buy	09/22/10	J		
63.	Hopewell Valley NJ Rgl School Dist Bond	B	Interest	K	T	Buy	10/12/10	K		
64.	San Antonio TX Indpt Bldg Serv Bond	A	Interest	K	T	Buy	10/07/10	K		
65.	San Mateo CA Un High Sch Dist Bond	B	Interest	J	T	Buy	09/17/10	J		
66.	Hillsborough Cty FLA Sch Dist Bond	B	Interest	K	T	Buy	09/28/10	K		
67.	Tampa FL Sales Tx Rev. Bond	B	Interest	K	T	Buy	10/14/10	K		
68.	Virginia College Bldg Auth Ed Fac Bond	B	Interest	K	T	Buy	09/21/10	K		

- 1. Income Gain Codes: A = \$1,000 or less; B = \$1,001 - \$2,500; C = \$2,501 - \$5,000; D = \$5,001 - \$15,000; E = \$15,001 - \$50,000
- (See Columns B1 and D4) F = \$50,001 - \$100,000; G = \$100,001 - \$1,000,000; H1 = \$1,000,001 - \$5,000,000; H2 = More than \$5,000,000
- 2. Value Codes: J = \$15,000 or less; K = \$15,001 - \$50,000; L = \$50,001 - \$100,000; M = \$100,001 - \$250,000
- (See Columns C1 and D3) N = \$250,001 - \$500,000; O = \$500,001 - \$1,000,000; P1 = \$1,000,001 - \$5,000,000; P2 = \$5,000,001 - \$25,000,000
- P3 = \$25,000,001 - \$50,000,000; P4 = More than \$50,000,000
- 3. Value Method Codes: Q = Appraisal; R = Cost (Real Estate Only); S = Assessment; T = Cash Market
- (See Column C2) U = Book Value; V = Other; W = Estimated

FINANCIAL DISCLOSURE REPORT
Page 8 of 10

Name of Person Reporting Gottschall, Joan B.	Date of Report 05/03/2011
--	-------------------------------------

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69.	Jefferson Cty Colo Open Space Sales Tx Bond	B	Interest	K	T	Buy	09/29/10	K		
70.	NY NY Ser A G/O Bond	A	Interest	J	T	Buy	09/21/10	J		
71.	Ohio St. Wtr Dev Auth Rev Fresh Water Bond	B	Interest	K	T	Buy	09/21/10	K		
72.	Polk Cty WI Crp Purp FGIC B/E Util Bnd	B	Interest	K	T	Buy	10/07/10	K		
73.	Snohomish Cty WAS Tax G/O Bond	A	Interest	K	T	Buy	09/28/10	K		
74.	Balanced Fund Inc.	B	Int./Div.	M	T	Buy	09/13/10	L		
75.	Capital Income Bldr	C	Int./Div.	M	T	Buy	09/13/10	L		
76.	Capital World Growth Inc. Fnd	B	Int./Div.	M	T	Buy	09/13/10	L		
77.	Income Fnd Amer Inc.	C	Int./Div.	M	T	Buy	09/13/10	L		
78.	Bank Deposit Sweep (JPMorganChase)	A	Interest	M	T	Buy	07/21/10	O		
79.	Vanguard Fix. Inc. Sec. Fund	A	Int./Div.	L	T	Buy	10/13/10	L		
80.	Vanguard Wellesley Inc. Fund Investr Cl	D	Int./Div.	M	T	Buy	09/13/10	M		
81.	Vanguard Wellington Fund Investr Cl	B	Int./Div.	L	T	Buy	09/13/10	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

Name of Person Reporting Gottschall, Joan B.	Date of Report 05/03/2011
--	-------------------------------------

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Gottschall, Joan B.

Date of Report

05/03/2011

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Joan B. Gottschall**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544