

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2008**

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SHABAZ, JOHN C.	2. Court or Organization U.S. District Court, W.D. Wis.	3. Date of Report 4/29/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE - SENIOR	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address U.S. COURTHOUSE P.O. BOX 591 MADISON, WI 53701	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Custodian	For benefit of others VII: entries 45, 52, 58, 64
2. Co-Trustee	Trust VII, entry 1
3. Co-Trustee	Irrevocable Trust VII, entry 35
4. Co-Trustee	Qualified personal residence trust
5. Co-Trustee	Qualified personal residence trust
6. Member	Cherokee Association, Madison WI, a residential association, member
7. Member	Cherokee CC, Madison WI, athletic social club, member.
8.	
9.	

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 FINANCIAL DISCLOSURE OFFICE

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1/31/1985	Stipulation dated 1/3/1985 in which Wisconsin Retirement System benefits have been irrevocably assigned to another. (VII-4) (alimony)
2.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Trust #1 [REDACTED]	E	Interest	M	T					(Part 1, 4)
2. -RW Baird Money Market									
3. -Citigroup Capital VIII Stock									
4. -CORTS TR II Bell South 7% Stock									
5. -ING Group Stock									
6. -Royal Bank of Scotland Stock									
7. Government Life Ins. (VA)	A	Interest	J	T					
8. Federated Utility Fund, Inc. IRA	B	Dividend	K	T		12/31	J		Required IRA Distr for 08
9. Wisconsin Retirement System	D	Pension	K	T					(Part II, 1)
10. U.S. Savings Bonds	A	Interest	J	T					
11. Wisconsin ST GO SER D 1990 BDS, Higher Education Service	A	Interest	K	T					
12. Bank Mutual Corp	A	Dividend	J	T					(Part 1, 1)
13. Trust #2 (Pension/Profit Plan Trust)	E	Interest	N	T		12/31	J		Required 401K Distr for 08
14. -RW Baird Money Market									
15. -Lasalle Nat'l Bank CD					Sold	10/13	L	F	
16. -Green Tree Financial Corp bond									
17. -M&I Bank stock					Sold	10/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -Morgan Stanley Capital fund									
19. -Corp Backed Trust CTS Goldman Sachs bond									
20. -Corp Backed TR CTFS Republic New York Corp bond									
21. -Citigroup Capital stock									
22. -General Electric stock									
23. -Johnson & Johnson stock									
24. -Kohl's Corp. stock									
25. -Oracle Corp. stock									
26. -Citigroup Preferred stock									
27. -Bell South Cap. stock									
28. -Merrill Lynch PFD CAP TR stock									
29. -Bank of America Corp stock									
30. -Saturns Goldman Sachs stock									
31. -Royal Bank of Scotland stock					Sold	10/13	J	A	
32. Trust #3 (Irrevocable Trust)	E	Interest	N	T					(Part 1, 2)
33. -RW Baird Money Market									
34. -GNMA Pool bond									

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000	E=\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J=\$15,000 or less N=\$250,001 - \$500,000 P3=\$25,000,001 - \$50,000,000	K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000	L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000 P4=More than \$5,000,000	M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000	
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35. -Maricopa County AZ Revenue Bond									
36. -IL DEV FIN ATH RV PRVENA HLTH bond									
37. -Wisconsin ST HLTH & EDL FACS AUTH bond									
38. -Merrill Lynch PFD CAP stock									
39. -Saturns Goldman Sachs stock									
40. -Corp Backed Trust CTFS Goldman Sachs stock									
41. -Morgan Stanley Capital stock									
42. -Royal Bank of Scotland stock									
43. -ING Group stock									
44. -Midwest Express stock					Sold	2/1	J	A	
45. Trust #4 (UCS)	A	Interest	K	T					(Part 1, 1)
46. -RW Baird Money Market									
47. -Saturns Goldmans Sachs stock									
48. -Morgan Stanley Capital Trust IV stock									
49. -Corp Backed Trust CTFS Goldman Sachs stock									
50. -Merrill Lynch PFD CAP stock									
51. -ING Group stock									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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52. Trust #5 (██████) (JCS)	A	Interest	J	T					(Part 1, 1)
53. -RW Baird Money Market					Sold	4/21	J	A	
54. -Morgan Stanley Capital Trust IV stock					Sold	4/21	J	A	
55. -Merrill Lynch PFD CAP stock					Sold	4/21	J	A	
56. Pepsico	A	Dividend	K	T					
57. Great Midwest Bank, Brookfield, WI	A	Interest	J	T					checking account
58. Trust #6 (██████)	A	Interest	J	T					(Part 1, 1)
59. -RW Baird Money Market									
60. -Citigroup Capital TR II stock									
61. -Saturns Goldmans Sachs stock									
62. -ING Group stock									
63. -Royal Bank of Scotland stock									
64. Trust #7 (██████)	A	Interest	J	T					(Part 1, 1)
65. -RW Baird Money Market									
66. -Citigroup Capital TR II stock									
67. -Saturns Goldmans Sachs stock									
68. -ING Group stock									

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69. Bank One n/k/a Chase Bank, Madison, WI	A	Interest	J	T					checking account
70. M&I Bank, Madison, WI	A	Interest	J	T					savings account
71. Associated Bank, Madison, WI	A	Interest	J	T					checking account
72.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

1. Northwestern Mutual Life Insurance policies.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES THIS REPORT IS SUBJECT TO PERJURY AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

CIVIL

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544