

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics  
in Government Act of 1978,  
(5 U.S.C. app. §§101-111)

<b>1. Person Reporting</b> (Last name, first, middle initial) Bates, John D.		<b>2. Court or Organization</b> U.S. District Court for the District of Columbia		<b>3. Date of Report</b> May 15, 2009
<b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)  District Judge - Article III (active)	<b>5a. Report Type</b> (check appropriate type) ____ Nomination, Date _____ ____ Initial <u>X</u> Annual ____ Final		<b>6. Reporting Period</b>  1/1/2008 to 12/31/2008	
	<b>5b.</b> ____ Amended Report			
<b>7. Chambers or Office Address</b> 333 Constitution Ave., NW Washington, DC 20001		<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____		
<i>IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</i>				

### I. POSITIONS. (Reporting individual only; see pp. 9-13 of Instructions.)

NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		

### II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of Instructions.)

NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		

RECEIVED  
2009 MAY 21 A 11:19  
FINANCIAL  
DISCLOSURE OFFICE

### III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of Instructions.)

#### A. Filer's Non-Investment Income

NONE (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u>
1.			\$
2.			\$
3.			\$

#### B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, please complete this section (dollar amount not required except for honoraria).

NONE (No reportable non-investment income.)

1.	2008		
2.			

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting

John D. Bates

Date of Report

May 15, 2009

**IV. REIMBURSEMENTS** -- transportation, lodging, food, entertainment.  
*(Includes those to spouse and dependent children. See pp. 25-27 of Instructions.)*

**NONE** (No such reportable reimbursements.)

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PROVIDED OR PAID</u>
1.					
2.					
3.					
4.					
5.					
6.					
7.					

**V. GIFTS.** *(Includes those to spouse and dependent children. See pp. 28-31 of Instructions.)*

**NONE** (No such reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			\$
2.			\$
3.			\$
4.			\$

**VI. LIABILITIES.** *(Includes those of spouse and dependent children See pp. 32-33 of Instructions.)*

**NONE** (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

\*Value Codes: J=\$15,000 or less    K=\$15,001-\$50,000    L=\$50,001-\$100,000    M=\$1 0,001-\$250,000  
 N=\$250,001-\$500,000    O=\$500,001-\$1,000,000    P1=\$1,000,001-\$5,000,000  
 P2=\$5,000,001-\$25,000,000    P3=\$25,000,001-50,000,000    P4=\$50,000,001 or more

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting

John D. Bates

Date of Report

May 15, 2009

**VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions** (Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)

A. Description of Assets (including trust assets)  <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt. Code1 (A-H)	Type (e.g., div., rent or int.)	Value Code2 (J-P)	Value Method Code3 (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date: Month- Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
<input type="checkbox"/> NONE (No reportable income, assets, or transactions.)									
1 Wachovia Account	A	Interest	J	T					
2 Sun Trust Accounts	A	Interest	J	T					
3 <del>Smith Barney - IRA</del>									
4 -- Amer Int'l Group - Common		None	J	T					
5 -- Auto Data Proc - Common	A	Dividend	K	T					
6 -- Amer Cap Str (Ltd) - Common	B	Dividend	J	T					
7 -- Adobe Sys - Common		None	J	T	buy	1/2	J		
8 -- Apple Inc. - Common		None	J	T	buy	1/2	J		
9 -- Bank of Amer - Common		None	J	T					
10 -- Baxter Int'l - Common	A	Dividend	K	T					
11 -- BT Group PLC		None	J	T	buy	1/2	K		
12 -- CISCO Sys. Common		None	K	T					
13 -- Chevron Texaco Common		None	L	T					
14 -- Capital One Financial Common					sell	1/2	K	A	
15 -- CINN Financial Corp. Common	A	Dividend	K	T					
16 -- Cognizant Tech - Common		None	J	T	buy	1/2	J		

1	Income/Gain Codes: (See Col. B1, D4)	A=\$1,000 or less F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
2	Value Codes: (See Col. C1, D3)	J=\$15,000 or less N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$50,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	
3	Value Method Codes: (See Col. C2)	Q=Appraisal U=Book value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

# FINANCIAL DISCLOSURE REPORT

Name of Person Reporting John D. Bates	Date of Report May 15, 2009
---	--------------------------------

## VII. Page 2 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)

A. Description of Assets (including trust assets)  <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amt. Code1 (A-H)	(2) Type (e.g., div., rent or int.)	(1) Value Code2 (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month- Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
<input type="checkbox"/> NONE (No reportable income, assets, or transactions)									
17 -- Dow Chem Common	A	Dividend	J	T					
18 -- Dreyfus Money Market	C	Dividend	M	T	sell	var.	M	A	
19 -- Enterprise Prod - Common		None	J	T	buy	1/2	K		
20 -- FISERV Inc.		None	J	T					
21 -- GE - Common					buy	1/2	K		
					sell	2/29	K	A	
22 -- HP Common	A	Dividend	K	T					
23 -- Home Depot Common		None	K	T					
24 -- HCC Ins. Hldgs - Common	A	Dividend	J	T	buy	1/2	J		
25 -- Int'l Game Tech. - Common	A	Dividend	J	T	buy	1/2	J		
26 --JP Morgan Chase & Co. Common	A	Dividend	K	T					
27 -- Johnson & Johnson - Common	A	Dividend	J	T	buy	1/2	J		
28 -- L3 Commu - Common	A	Dividend	J	T	buy	1/2	J		
29 -- Marriott Int'l - Common		None	J	T	buy	1/2	K		
30 -- McDonald's - Common	A	Dividend	K	T	buy	1/2	K		
31 -- MetLife - Common	A	Dividend	J	T	buy	1/2	J		
32 -- Morgan Stanley - Common	A	Dividend	J	T	buy	1/2	J		

1	Income/Gain Codes: A=\$1,000 or less (See Col. B1, D4)	F=\$50,001-\$100,000	B=\$1,001-\$2,500	G=\$100,001-\$1,000,000	C=\$2,501-\$5,000	H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000	H2=More than \$5,000,000	E=\$15,001-\$50,000
2	Value Codes: (See Col. C1, D3)	J=\$15,000 or less	K=\$15,001-\$50,000	L=\$50,001-\$100,000	M=\$100,001-\$250,000	N=\$250,001-\$500,000	O=\$500,001-\$1,000,000	P1=\$1,000,001-\$5,000,000	P2=\$5,000,001-\$25,000,000
3	Value Method Codes: (See Col. C2)	Q=Appraisal	R=Cost (real estate only)	S=Assessment	T=Cash/Market	U=Book value	V=Other	W=Estimated	

# FINANCIAL DISCLOSURE REPORT


Name of Person Reporting

John D. Bates

Date of Report

May 15, 2009

## VII. Page 3 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)

A. Description of Assets (including trust assets)  <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amt. Code1 (A-H)	(2) Type (e.g., div., rent or int.)	(1) Value Code2 (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month- Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
<input type="checkbox"/> NONE (No reportable income, assets, or transactions)									
33 -- National Oilwell - Common		None	J	T	buy	1/2	K		
34 -- Omnicon - Common		None	J	T	buy	1/2	J		
35 -- Pfizer - Common		None	K	T					
36 -- Pepsico - Common		None	J	T	buy	1/2	K		
37 -- Principal Fin'l - Common	A	Dividend	J	T	buy	1/2	J		
38 -- Procter & Gamble - Common		None	K	T					
39 -- Sempra Energy - Common	A	Dividend	J	T	buy	1/2	J		
40 -- Staples - Common	A	Dividend	J	T	buy	1/2	J		
41 -- SLM Corp. - Common					sell	1/2	K	B	
42 -- TJX Co. - Common		None	J	T	buy	1/2	J		
43 -- Tyco Electronics, Ltd.		None	J	T					
44 -- United Health - Common	A	Dividend	J	T	buy	1/2	J		
45 -- Verizon Common		None	K	T					
46 Smith Barney - 									
47 -- AXA Equitable Life Annuity		None	K	T					
48 -- AES Corp. Common		None	J	T	sell	1/9	K	D	
					sell	6/4	J	B	

1	Income/Gain Codes: A=\$1,000 or less (See Col. B1, D4) F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
2	Value Codes: (See Col. C1, D3) J=\$15,000 or less N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$50,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	
3	Value Method Codes: (See Col. C2) Q=Appraisal U=Book value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting John D. Bates	Date of Report May 15, 2009
---	--------------------------------

**VII. Page 4 INVESTMENTS and TRUSTS -- income, value, transactions** (Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)

A Description of Assets (including trust assets)  <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt. Code1 (A-H)	Type (e.g., div., rent or int.)	Value Code2 (J-P)	Value Method Code (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date: Month- Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
<input type="checkbox"/> NONE (No reportable income, assets, or transactions)									
49 -- Arch Capital Group		None	J	T					
50 -- Amer. Tower Corp. - Class A		None	J	T					
51 -- Barclays Bank Pref.		None	J	T					
52 -- Citibank Money Fund	A	Interest	L	T	buy/sell	var.	K		
53 -- Chemed Corp.	A	Dividend	J	T					
54 -- Davita Inc.		None	J	T					
55 -- Electronics for Imaging		None	J	T					
56 --Energy Transfer Ptns LP	A	Dividend	J	T					
57 -- Fidelity Blue Chip Growth	A	Dividend	J	T					
58 -- Fidelity Dividend Growth	A	Dividend	J	T					
59 -- Fiserv Inc. Common		None	K	T					
60 -- Gannett Co. Common					sell	1/9	J		
61 -- Halliburton Co. Hldgs.	A	Dividend	J	T					
62 -- Howard Cty. MD Bond		None	K	T					
63 -- Intuit Inc.		None	J	T					
64 -- MD Dept HSG&Comm. Dev Bond		None	K	T					
65 -- NY Comm. Bancorp.	A	Dividend	J	T					
66 -- New Perspective Fund	A	Dividend	J	T					

1	Income/Gain Codes: A=\$1,000 or less (See Col. B1, D4) F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
2	Value Codes: J=\$15,000 or less (See Col. C1, D3) N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$50,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	
3	Value Method Codes: Q=Appraisal (See Col. C2) U=Book value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting

John D. Bates

Date of Report

May 15, 2009

**VII. Page 5 INVESTMENTS and TRUSTS -- income, value, transactions** (Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)

A. Description of Assets (including trust assets)  <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt. Code1 (A-H)	Type (e.g., div., rent or int.)	Value Code2 (J-P)	Value Method Code (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date: Month- Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
<input type="checkbox"/> NONE No reportable income, assets, or transactions)									
67. -- PG Cty. MD Bond	A	Interest	L	T					
68. --Powershares Ex-Traded Fund Dynamic Biotech & Genome		None	J	T					
69. -- Schwab Chs. Corp.	A	Dividend	J	T					
70. -- Time Warner Common	A	Dividend	J	T	sell	12/29	J		
71. -- Unum Group	A	Dividend	J	T					
72. -- United Health Common	A	Dividend	K	T	sell	6/4	J	B	
73. -- Univ. System MD Bond	A	Interest	K	T					
74. -- Western Union Common	A	Dividend	J	T					
75. -- Wyeth Common	A	Dividend			sell	8/18	K		
76. -- Wash. Sub. San. Dt. MD Bond		None	K	T					
77. -- Western Asset Money Market	A	Dividend			redemption	9/18	L		
<b>Smith Barney - IRA</b>									
78. -- AT&T Inc. Common	A	Dividend	K	T					
79. -- Cameron Int'l Common		None	J	T					

1	Income/Gain Codes: (See Col. B1, D4)	A=\$1,000 or less F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
2	Value Codes: (See Col. C1, D3)	J=\$15,000 or less N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$5,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	
3	Value Method Codes: (See Col. C2)	Q=Appraisal U=Book value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

# FINANCIAL DISCLOSURE REPORT

Name of Person Reporting <b>John D. Bates</b>	Date of Report <b>May 15, 2009</b>
--	---------------------------------------

## VII. Page 6 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)

A. Description of Assets (including trust assets)  <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt. Code1 (A-H)	Type (e.g., div., rent or int.)	Value Code2 (J-P)	Value Method Code (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date: Month- Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
<input type="checkbox"/> NONE (No reportable income, assets, or transactions)									
80. -- Centerpoint Energy Common	A	Dividend	J	T					
81. -- Colgate Palmolive Common	A	Dividend	K	T					
82. -- Conagra Foods Common	A	Dividend	J	T					
83. -- Dreyfus Money Market	A	Dividend	J	T	buy/sell	var.	J		
84. -- Forest Oil Corp. Common		None	J	T					
85. -- Gaylord Ent. Co. Common		None	J	T					
86. -- HCC Ins. Hldgs. Common	A	Dividend	J	T					
87. -- Hain Celestial Group Common		None	J	T					
88. -- IShares TR-DJ Tranps. Index Fund	A	Dividend	J	T					
89. -- IShares TR Global Ind Index Fund	A	Dividend	J	T					
90. -- IShares Dow Jones US Health Care Providers Index Fund		None	J	T					
91. -- IBM Common	A	Dividend	K	T					
92. -- JC Penney Common	A	Dividend	J	T					
93. -- Medtronic Common	A	Dividend	K	T					
94. -- Pactiv Corp. Common		None	J	T					
95. -- Powershares Dynamic Semiconductors	A	Dividend	J	T					
96. -- Powershares Dynamic Media Portfolio		None	J	T					

1	Income/Gain Codes: (See Col. B1, D4)	A=\$1,000 or less F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
2	Value Codes: (See Col. C1, D3)	J=\$15,000 or less N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$50,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	
3	Value Method Codes: (See Col. C2)	Q=Appraisal U=Book value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	



**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting

John D. Bates

Date of Report

May 15, 2009

**VII. Page 7 INVESTMENTS and TRUSTS -- income, value, transactions** (Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)

A. Description of Assets (including trust assets)  <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt. Code1 (A-H)	Type (e.g., div., rent or int.)	Value Code2 (J-P)	Value Method Code (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date: Month- Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
<input type="checkbox"/> NONE (No reportable income, assets, or transactions)									
97.--Select Spector SPDR Energy	A	Dividend	J	T					
98.--S&P NoAm Tech Software Index Fund		None	J	T					
99.--SPDR Index SHS FDS DJ Wilshire Int'l Real Estate	A	Dividend	J	T					
100.--SPDR TR S&P Retail ETF	A	Dividend	J	T					
101.--SPDR SER TR KBW CAP MKTS ETF	A	Dividend	J	T					
102.--SPDR SER TR KBW BK ETF	A	Dividend	J	T					
103.--SPDR SER TR KBW INS ETF	A	Dividend	J	T					
104.--United Tech Common	A	Dividend	K	T					
105.--Vanguard Consumer Staples ETF	A	Dividend	J	T					
106.--Vanguard Health Care ETF	A	Dividend	J	T					
107.--Vanguard Info Tech ETF	A	Dividend	J	T					
108.--Vanguard Int'l Equity (European) ETF	A	Dividend	J	T					
109.--Wisconsin Energy Holding Common	A	Dividend	J	T					
110. Smith Barney									
111.--Citibank Acct.	A	Interest	J	T	withdraw	8/13	K	A	
112.--Invest. Co. of Amer.	A	Dividend	J	T	sell	12/29	J	A	
113.--TIAA CREF Ret. Acct.		None	J	T	buy	var.	J		
1	Income/Gain Codes: A=\$1,000 or less (See Col. B1, D4) F=\$50,001-\$100,000		B=\$1,001-\$2,500 G=\$100,001-\$1,000,000		C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000		D=\$5,001-\$15,000 H2=More than \$5,000,000		E=\$15,001-\$50,000
2	Value Codes: J=\$15,000 or less (See Col. C1, D3) N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000		K=\$15,001-\$50,000 O=\$500,001-\$1,000,000		L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$50,000,000		M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000		
3	Value Method Codes: Q=Appraisal (See Col. C2) U=Book value		R=Cost (real estate only) V=Other		S=Assessment W=Estimated		T=Cash/Market		

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting <p style="text-align: center;">John D. Bates</p>	Date of Report <p style="text-align: center;">May 15, 2009</p>
--	---

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)**

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature 

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104.)

**FILING INSTRUCTIONS:**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the  
United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544