

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2011**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Bates, John D.	2. Court or Organization U.S. District Court for the District of Columbia	3. Date of Report 7/23/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - Article III (active)	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination <input type="checkbox"/> Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input checked="" type="checkbox"/> Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 333 Constitution Avenue NW Washington, DC 20001		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	_____	_____
2.	_____	_____
3.	_____	_____
4.	_____	_____
5.	_____	_____

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	_____	_____
2.	_____	_____
3.	_____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*
(Dollar amount not required except for honoraria.)

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Fordham Law School	3/22/2011 - 3/24/2011	New York, NY	Non-FJC Educational Seminar	Meals, lodging, transportation
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	_____	_____	_____
2.	_____	_____	_____
3.	_____	_____	_____
4.	_____	_____	_____
5.	_____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	_____	_____	_____
2.	_____	_____	_____
3.	_____	_____	_____
4.	_____	_____	_____
5.	_____	_____	_____

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Bates, John D.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)
		1. Wells Fargo Account	A	Interest	J	T				
2. Morgan Stanley Smith Barney - IRA - C:										
3. -- Conoco Philipps - Common	A	Dividend			Sold	01/14/11	K	C		
4. -- Auto Data Proc - Common	B	Dividend	K	T						
5. -- Amer Cap Str (Ltd) - Common			J	T						
6. -- Adobe Sys. Common		None	J	T						
7. -- Apple Inc. - Common		None	K	T						
8. -- Bank of Amer. - Common	A	Dividend	J	T						
9. -- Bristol Myers Squibb - Common	B	Dividend	K	T	Buy	07/18/11	K			
10. -- BT Group PLC - Common	A	Dividend	J	T						
11. -- Cisco Sys. Common	A	Dividend	K	T						
12. -- Chevron Corp. Common	B	Dividend	K	T						
13. -- CINN Financial Corp. Common	B	Dividend	K	T						
14. -- Illinois Tool Works Common	B	Dividend	K	T	Buy (add'l)	07/18/11	K			
15. -- Dow Chem Common	A	Dividend	K	T						
16. -- Dreyfus Money Market Fund	A	Interest	N	T						
17. -- Enterprise Prod. - Common	B	Dividend	K	T						

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000
 (See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 H1=\$1,000,001 - \$5,000,000 H2=More than \$5,000,000
 2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000
 (See Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000
 P3=\$25,000,001 - \$50,000,000 P4=More than \$50,000,000 P5=More than \$50,000,000
 3. Value Method Codes Q=Appraisal R=Cost (Real Estate Only) S=Assessment T=Cash Market
 (See Column C2) U=Book Value V=Other W=Estimated

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-I)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. -- Fiserv Inc. - Common		None	J	T					
19. -- HP - Common	A	Dividend	K	T					
20. -- Home Depot - Common	A	Dividend	K	T	Sold (part)	01/31/11	J	C	
21. -- HCC Ins. Hldgs. - Common	A	Dividend	J	T					
22. -- Int'l Game Tech. - Common	A	Dividend	J	T					
23. -- JP Morgan Chase & Co. - Common	A	Dividend	K	T					
24. -- Johnson & Johnson - Common	A	Dividend	K	T					
25. -- L3 Communications - Common	A	Dividend	J	T					
26. -- Marriott Int'l - Common	A	Dividend	K	T					
27. -- McDonalds - Common	A	Dividend	K	T					
28. -- MetLife - Common	A	Dividend	J	T					
29. -- Morgan Stanley - Common	A	Dividend	J	T					
30. -- Vodaphone Group - Common	B	Dividend	K	T					
31. -- Omnicon - Common	A	Dividend	J	T					
32. -- Pfizer - Common	A	Dividend	K	T					
33. -- Pepsi Co. - Common	A	Dividend	K	T	Buy (add'l)	07/18/11	J		
34. -- Principal Fin'l - Common	A	Dividend	J	T					

- 1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000
- F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000
- 2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000
- (See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000
- P3 = \$25,000,001 - \$50,000,000
- 3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market
- (See Column C2) U = Book Value V = Other W = Estimated

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Name of Person Reporting Bates, John D.	Date of Report 7/23/2012
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-II)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-II)	(5) Identity of buyer/seller (if private transaction)
35. -- Procter & Gamble - Common	B	Dividend	K	T					
36. -- Sempra Energy - Common	A	Dividend	J	T					
37. -- Staples - Common	A	Dividend	J	T					
38. -- CSX Corp. - Common	A	Dividend	K	T	Buy	07/18/11	K		
39. -- Marriott Vacations World - Common			J	T	Spinoff (from line 26)	11/22/11	J		
40. -- United Health - Common	A	Dividend			Sold	05/17/11	J	A	
41. -- Verizon Common	B	Dividend	K	T					
42. -- Am. Elec. Power - Preferred	A	Interest			Sold	06/21/11	K	A	
43. -- Dominion Res. - Preferred	B	Interest	K	T					
44. -- Prudential Fin'l - Preferred	B	Interest	K	T					
45. -- XCEL Energy - Preferred	A	Interest	K	T	Sold (part)	05/23/11	K	B	
46. Morgan Stanley Smith Barney - [REDACTED]									
47. -- AXA Equitable Life Annuity		None	K	T					
48. -- AES Corp. Common		None	J	T					
49. -- Amer. Tower Corp. - Class A		None	J	T					
50. -- Barclays Bank - Preferred	B	Dividend	K	T					
51. -- Citibank Money Fund	A	Interest	K	T					

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000
 (See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 H=\$1,000,001 - \$5,000,000 I12=More than \$5,000,000
 2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000
 (See Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000
 P3=\$25,000,001 - \$50,000,000
 3. Value Method Codes Q=Appraisal R=Cost (Real Estate Only) S=Assessment T=Cash Market
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	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount	(2) Type (e.g., div., rent, or int.)	(1) Value	(2) Value	(1)	(2)	(3)	(4)	(5)
		Code 1 (A-H)		Code 2 (J-P)	Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52.	-- Davita Inc. - Common		None	J	T					
53.	-- Electronics for Imaging - Common		None	J	T					
54.	-- Energy Transfer Ptns LP - Common	A	Dividend	J	T					
55.	-- UTS Gugg, Zacks Unit Inv. Trust	A	Dividend	K	T	Buy	07/18/11	K		
56.	-- Fiserv Inc. Common		None	K	T					
57.	-- Duke Energy Corp. - Common	A	Dividend	K	T					
58.	-- Halliburton Co. Hldgs. - Common	A	Dividend	J	T					
59.	-- Howard Cty., MD Bond	C	Interest	L	T					
60.	-- Intuit Inc. -- Common	A	Dividend	J	T					
61.	-- MD Dept. Housing & Comm. Dev. Bond	A	Interest	K	T					
62.	-- NY Comm. Bancorp - Common	A	Dividend			Sold	10/25/11	J	A	
63.	-- Prince Georges Cty, MD Bond	B	Interest	L	T					
64.	-- Powershares Ex-Traded Fund Dynamic Biotech & Genome		None	J	T					
65.	-- Schwab Chs. Corp. - Common	A	Dividend	J	T					
66.	-- Time Warner - Common	A	Dividend	K	T					
67.	-- Unum Group - Common	A	Dividend	J	T					
68.	-- United Health Common	A	Dividend	K	T	Sold (part)	09/15/11	J	B	

- 1. Income Gain Codes: A =\$1,000 or less B =\$1,001 - \$2,500 C =\$2,501 - \$5,000 D =\$5,001 - \$15,000 E =\$15,001 - \$50,000
- F =\$50,001 - \$100,000 G =\$100,001 - \$1,000,000 H =\$1,000,001 - \$5,000,000 I =\$5,001 - \$15,000,000 J =\$15,001 - \$50,000,000
- 2. Value Codes J =\$15,000 or less K =\$15,001 - \$50,000 L =\$50,001 - \$100,000 M =\$100,001 - \$250,000
- (See Columns C1 and D3) N =\$250,001 - \$500,000 O =\$500,001 - \$1,000,000 P1 =\$1,000,001 - \$5,000,000 P2 =\$5,000,001 - \$25,000,000
- P3 =\$25,000,001 - \$50,000,000 P4 =More than \$50,000,000
- 3. Value Method Codes Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market
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NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)
69.	-- Univ. System MD Bond	A	Interest	K	T					
70.	-- Western Union Common	A	Dividend	J	T					
71.	-- Wash. Sub. San. Dt. MD Bond	B	Interest	L	T					
72.	-- Bristol Myers Squibb - Common	A	Dividend			Sold	07/18/11	J	A	
73.	Morgan Stanley Smith Barney - IRA - J:									
74.	-- AT&T Inc. Common	B	Dividend	K	T					
75.	-- Cameron Int'l Common		None	J	T					
76.	-- Centerpoint Energy Common	A	Dividend	J	T					
77.	-- Colgate Palmolive Common	B	Dividend	K	T					
78.	-- Conagra Foods Common	A	Dividend	J	T	Buy	07/18/11	J		
79.	-- Dreyfus Money Market Fund	A	Interest	J	T					
80.	-- HCC Ins. Holdings - Common	A	Dividend	J	T					
81.	-- Hain Celestial Group - Common		None	J	T					
82.	-- IShares TR-DJ Transp. Index Fund	A	Dividend	J	T					
83.	-- IShares TR Global Ind. Index Fund	A	Dividend	K	T	Buy	07/18/11	J		
84.	-- IShares Dow Jones US Health Care Providers Index Fund	A	Dividend	J	T					
85.	-- IBM Common	A	Dividend	K	T					

- | | | | | | |
|--------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000 | D = \$5,001 - \$15,000
I12 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | Q = Appraisal
U = Book Value | R = Cost (Real Estate Only)
V = Other | S = Assessment
W = Estimated | T = Cash Market | |
| 3. Value Method Codes
(See Column C2) | | | | | |

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-I)	Identity of buyer/seller (if private transaction)
103.									

- | | | | | | |
|--------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | C = \$2,501 - \$5,000
H11 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000 | D = \$5,001 - \$15,000
H12 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | E = \$15,001 - \$50,000 |
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(See Columns C1 and D3) | Q = Appraisal
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(See Column C2) | | | | | |

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 102 added, listing Wisconsin Energy Holding Common. This investment was inadvertently omitted from the prior report.

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Name of Person Reporting

Bates, John D.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John D. Bates**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544