

Doublesided
Copy

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

AO-10
Rev. 1/2004

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

1. Person Reporting (Last name, First name, Middle initial) Tunheim, John R	2. Court or Organization District of Minnesota	3. Date of Report 05/14/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5. Report Type (check appropriate type) <input type="radio"/> Nomination, <input type="radio"/> Date <input type="radio"/> Initial <input checked="" type="radio"/> Annual <input type="radio"/> Final	6. Reporting Period 01/01/2003 to 12/31/2003
7. Chambers or Office Address 13E United States Courthouse 300 South Fourth Street Minneapolis, MN 55415	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

NONE - (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Council Member	Govt & Public Sector Lawyers Division, American Bar Association
2. Director	Minnesota Institute for Legal Education (nonprofit organization)
3. Director	Norwegian-American Historical Association (nonprofit organization)
4. Director	St. Croix Valley Community Foundation (nonprofit organization)
5. Director	Federal Judges Association
6. Director	American Judicature Society
7. Director	Federal Bar Association, Minnesota Chapter
8. Director	University of Minnesota Law Alumni Association
9. Director	America's Child (nonprofit organization)
10. Director	America's Child Minnesota (nonprofit organization)
11. Advisor	Central European and Eurasian Law Initiative, American Bar Association
12. Member, Liaison	Advisory Committee to the Standing Committee on Election Law, American Bar Association

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

NONE - (No reportable agreements.)

DATE _____ PARTIES AND TERMS _____

1. _____

RECEIVED
 MAY 20 11 49 AM '04
 FINANCIAL DISCLOSURE OFFICE

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Tunheim, John R

Date of Report

05/14/2004

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income** **NONE** - (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u> (yours, not spouse's)
1.		
2.		
3.		

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)) **NONE** - (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2003	GCI Tunheim (January - September), Tunheim Partners (September - December), Minneapolis, MN
2. 2003	Bush Foundation, St. Paul, MN (Director Compensation)

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

 NONE - (No such reportable reimbursements.)

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. National Association of Attorneys General	5/19/03 - 5/20/03 (Chicago, IL) transportation and lodging and food to speak at meeting of Chief Deputy Attorneys General.
2. American Bar Association, Government and Public Sector Lawyers Division	2/6/03 - 2/8/03 (Seattle, WA) transportation and lodging for council meeting.
3. ABC News - "The JFK Project"	8/3/03 - 8/4/03 (New York, NY) transportation, lodging and food for interview
4. The Cyril H. Wecht Institute of Forensic Science and Law, Duquesne Univ. Law School	11/20/03 - 11/23/03 (Pittsburgh, PA) transportation, lodging and food for participation in conference.
5.	

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Tunheim, John R

Date of Report

05/14/2004

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.) **NONE** - (No such reportable gifts.)

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. Minneapolis Club	Honorary Membership (est. dues)	\$4,080

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.) **NONE** - (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Excel Bank - Minneapolis MN	Working Capital Line of Credit for Tunheim Partners	N

FINANCIAL DISCLOSURE REPORT

Page 1 of 3

Name of Person Reporting

Tunheim, John R.

Date of Report

05/14/2004

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-37 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-F)	(5) Identity of buyer/seller (if private transaction)
<input type="checkbox"/> NONE (No reportable income, assets, or transactions)									
1. Hiway Federal Credit Union. St. Paul, MN (Bank Accts)	A	Interest	J	T					
2. Schwab One Money Market Account	A	Interest	K	T					
3. State of Minnesota Deferred Compensation Account		None	J	T					
4. Honeywell Retirement Benefit Plan		None	K	T					
5. Tunheim Partners Co. 401(k) Fidelity Advisors Ltd Term (form		None	L	T					
6. Atlas Energy for the Nineties Public Officer #4	A	Distribution	J	T					
7. Cisco Systems Inc. Stock *		None	J	T					
8. Intel Corp. Stock *	A	Dividend	J	T					
9. Nokia Corp. Stock *	A	Dividend	J	T					
10. Schwab Money Market Fund *	A	Interest	J	T					
11. Wells Fargo Bank	B	Interest	K	T					
12. Tibco Software, Inc. *		None			SELL	3/03	J		
13. Pine City General Obligation Municipal Bonds	A	Dividend	K	T					
14. Hennepin County MN Lease Municipal Bonds	B	Dividend	K	T					
15. Minnesota Pub Facs Municipal Bonds	A	Dividend	K	T					
16. Pine City General Obligation Bonds	B	Dividend	K	T					
17. Jordan General Obligation Bonds	B	Dividend	K	T					
18. Rosemount General Obligation Municipal Bonds	B	Dividend	K	T					

1. Income/Gain Codes: A = \$1,000 or less; B = \$1,001-\$2,500; C = \$2,501-\$5,000; D = \$5,001-\$15,000; E = \$15,001-\$50,000; F = \$50,001-\$100,000; G = \$100,001-\$1,000,000; H1 = \$1,000,001-\$5,000,000; H2 = More than \$5,000,000

2. Value Codes: J = \$15,000 or less; K = \$15,001-\$50,000; L = \$50,001-\$100,000; M = \$100,001-\$250,000; N = \$250,000-\$500,000; O = \$500,001-\$1,000,000; P1 = \$1,000,001-\$5,000,000; P2 = \$5,000,001-\$25,000,000; P3 = \$25,000,001-\$50,000,000; P4 = More than \$50,000,000

3. Value Method Codes: Q = Appraisal; R = Cost (Real Estate Only); S = Assessment; T = Cash/Market; U = Book Value; V = Other; W = Estimated

FINANCIAL DISCLOSURE REPORT

Page 2 of 3

Name of Person Reporting

Tunheim, John R

Date of Report

05/14/2004

VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-F)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
19. Medtronic *	A	Dividend	J	T					
20. Diamonds Trust Series I *	A	Dividend	J	T					
21. Check Point Software Technologies *		None	J	T	PARBUY	5/5	J		
22. iShares MSCI EAFE Index Fund	A	Dividend			SELL	3/10	K		
23. Calamos Convertible Growth & Income Mutual Fund	A	Dividend	K	T					
24. Clipper Mutual Fund	A	Dividend	K	T	BUY	12/22	J		
25. Longleaf Partners Small-Cap Mutual Fund	A	Distribution	L	T	BUY	12/30	J		
26. Vanguard Health Care Mutual Fund	A	Distribution	K	T	BUY	3/21	J		
27.					BUY	12/22	J		
28. IBM Common Stock *	A	Dividend	J	T	PAR SELL	1/10	J	B	
29.					BUY	5/27	J		
30.					PAR SELL	5/13	J	B	
31.					BUY	1/24	J		
32. Lockheed Martin Common Stock *	A	Dividend	J	T					
33. MCG Capital Common Stock *	A	Dividend	J	T					
34. Select Comfort Common Stock *		None	J	T	PAR SELL	2/4	J	A	
35.					PAR SELL	7/14	J	A	
36. Starbucks Corp Common Stock *		None			SELL	11/4	J	A	

1. Income/Gain Codes: A = \$1,000 or less; B = \$1,001-\$2,500; C = \$2,501-\$5,000; D = \$5,001-\$15,000; E = \$15,001-\$50,000; F = \$50,001-\$100,000; G = \$100,001-\$1,000,000; H1 = \$1,000,001-\$15,000,000; H2 = More than \$5,000,000
2. Value Codes: I = \$15,000 or less; K = \$15,001-\$50,000; L = \$50,001-\$100,000; M = \$100,001-\$250,000; N = \$250,000-\$500,000; O = \$500,001-\$1,000,000; P1 = \$1,000,001-\$5,000,000; P2 = \$5,000,001-\$25,000,000; P3 = \$25,000,001-\$50,000,000; P4 = \$50,000,001-\$100,000,000
3. Value Method Codes: Q = Appraisal; R = Cost (Real Estate Only); S = Assessment; T = Cash/Market; U = Other; V = Other; W = Estimated

FINANCIAL DISCLOSURE REPORT

Page 3 of 3

Name of Person Reporting Tunheim, John R	Date of Report 05/14/2004
---	------------------------------

VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-E)	(5) Identity of buyer/seller (if private transaction)
37. US Oncology Common Stock *		None			SELL	2/4	J		
38. Worldwide Restaurant Concept Common Stock *		None	J	T					
39. XM Satellite Radio Holdings Common Stock *		None			SELL	11/4	J	C	
40. Zimmer Holdings Common Stock *		None			SELL	3/21	J	A	
41. Clipper Mutual Fund *	A	Dividend	J	T	BUY	12/22	J		
42. Longleaf Partners Small-Cap *	A	Dividend	J	T	PAR SELL	8/19	J	A	
43. Finisar Corp *			J	T	BUY	6/27	J		
44. Lucent Technologies *			J	T	BUY	3/31	J		
45.					PAR SELL	11/4	J	B	
46. Marathon Oil *			J	T	BUY	12/19	J		
47. Matthews Asian Growth & Income Mutual Fund *	A	Dividend	J	T	BUY	8/19	J		
48.					BUY	12/9	J		
49. Qualcomm *	A	Distribution	J	T	BUY	3/31	J		
50. Rockwell Automatin *			J	T	BUY	12/19	J		
51. First Eagle Sogen Overseas A Mutual Fund	A	Dividend	K	T	BUY	10/16	K		
52.					BUY	12/5	J		
53. Rydex Juno Mutual Fund			L	T	BUY	10/17	L		

1. Income/Gain Codes: A. - \$1,000 or less B. - \$1,001-\$2,500 C. - \$2,501-\$5,000 D. - \$5,001-\$15,000 E. - \$15,001-\$50,000
 (See Columns B1 and D4) F. - \$50,001-\$100,000 G. - \$100,001-\$1,000,000 H. - \$1,000,001-\$5,000,000 I. - More than \$5,000,000
2. Value Codes: J. - \$15,000 or less K. - \$15,001-\$50,000 L. - \$50,001-\$100,000 M. - \$100,001-\$250,000
 (See Columns C1 and D3) N. - \$250,000-\$500,000 O. - \$500,001-\$1,000,000 P1. - \$1,000,001-\$5,000,000 P2. - \$5,000,001-\$25,000,000
 P3. - \$25,000,001-\$50,000,000 P4. - More than \$50,000,000
3. Value Method Codes: Q. = Appraisal R. = Cost (Real Estate Only) S. = Assessment T. = Cash/Market
 (See Column C7) H. = Book Value V. = Other W. = Estimated

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Tunheim, John R.

Date of Report

05/14/2004

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

ery Tunheim Santrizos Co. (from Section VII, line 5)

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Tunheim, John R

Date of Report

05/14/2004


IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date


5/14/2004

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544