

# FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

Person Reporting (Last name, First name, Middle initial) Elfvin, John T	2. Court or Organization U.S.D.Ct., W.D.N.Y.	3. Date of Report 6/1/04
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S.D.J. (Sr.)	5. Report Type (check appropriate type) <input type="radio"/> Nomination      Date <input type="radio"/> Initial <input checked="" type="radio"/> Annual <input type="radio"/> Final	6. Reporting Period 1/1/03 to 12/31/03
7. Chambers or Office Address 68 Court Street Buffalo, NY 14202	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.  Reviewing Officer _____ Date _____	

**IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of filing instructions)

NONE - (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Co-Trustee	Trust under LW&T of Beatrice N. Pierce
2. Director	Allen F. Pierce Foundation

**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of filing instructions)

NONE - (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	

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**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting

Elfvin, John T

Date of Report

6/1/04

**III. NON-INVESTMENT INCOME.** (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income** **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPEGROSS INCOME

(yours, not spouse's)

1.  

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**B. Spouse's Non-Investment Income** - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria) **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPE1.  

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**IV. REIMBURSEMENTS** -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

 **NONE** - (No such reportable reimbursements.)SOURCEDESCRIPTION1.  

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**V. GIFTS.** (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

**NONE** - (No such reportable gifts.)

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		

**VI LIABILITIES.** (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

**NONE** - (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-37 of filing instructions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
<input type="checkbox"/> NONE (No reportable income, assets, or transactions)									
1. Dupont 3.50 Pfd	B	Dividend	K	T					
2.									
3. Fleet Bk MMI - Jt. Acct.	A	Interest	M	T					
4. Fleet Bk - Jt. Ckg.	A	Interest	M	T					
5. Energy East - Cmn.	A	Dividend	J	T					
6. Mfrs & Traders Tr - Svgs	A	Interest	J	T					
7.									
8.									
9. AT&T Cmn	A	Dividend	J	T					
10. Mechanicville NYC Sch. Dist. 5.00	C	Interest	L	T					
11. Bank of NY - Cmn	E	Dividend	O	T					
12. Barrister - Cmn		None	J	T					
13. Bell South - Cmn	B	Dividend	K	T					
14. Chevron Texaco	C	Dividend	M	T					
15. DTE Energy - Cmn	B	Dividend	K	T					
16. Eastman Kodak - Cmn.	B	Dividend	K	T					
17. Gen. Elec. - Cmn	D	Dividend	N	T					
18. IBM - Cmn	C	Dividend	N	T					

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
19. International Paper - Cmn	A	Dividend	K	T					
20. Mineola NY UnFree SD 5.00	B	Interest	L	T					
21. JP Morgan Chase - Cmn.	E	Dividend	N	T					
22. Scudder Gr. & Inc. - Cmn	A	Dividend	J	T					
23. Sears Roebuck - Cmn	A	Dividend	K	T					
24. NYS Env. FACS Clean Wtr. & Drink 5.000	B	Interest	L	T					
25. Triboro Brdg. & Tunn. 5.000	C	Interest	L	T					
26. Whirlpool - Cmn.	B	Dividend	L	T					
27.									
28. Key Bank Savings	A	Interest	L	T					
29. NYS Dorm - Mt. Sin. 6.5 bond		None			Redemptio n	07/01	K	A	
30. Buffalo Water Fin. of 5.5 bond	B	Interest	K	T					
31. Millbrook CSD 6.15 bond	C	Interest	L	T					
32. NYS Med Facs 6.2 bond		None			Redemptio n	08/15	K	A	
33.									
34. NYS Med. Care (Sisters Hosp.) 6.3 bond		None			Redemptio n	11/03	K	A	
35. NYS Med. Care Fac 5.95 bond	C	Interest			Redemptio n	08/15	K	A	
36. NYS Triboro Br. & Tunn. 6.0 bond	A	Interest			Redemptio n	01/02	J	A	

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						(2) Date: Month- Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
37. Metro Transp NYC 5.9 bond	B	Interest	K	T					
38. Buffalo Swr. Auth. 5.25 bond	B	Interest			Redemption	11/04	K	A	
39. NASSAU Co. Gen. Imp. Svc. 4.9 bond	B	Interest			Redemption	08/01	K	A	
40. NYS Med. Care (St. Peters) 5.375 bond	C	Interest	L	T					
41.									
42. Eastman Chemical	A	Dividend	K	T					
43.									
44. NYS DA Revs 5.5 bond	B	Interest	K	T					
45. NYS Med. Care (St. Lukes) 5.625 bond	B	Interest	K	T					
46. Smithtown RFPG 5.55 bond	A	Interest			Redemption	04/01	K	A	
47.									
48. Allstate Corp.	A	Dividend	K	T					
49. SBC Comm.	C	Dividend	L	T					
50. Burlington & No SF	A	Dividend	K	T					
51. E. Glenville FB 5.6 bond	C	Interest	L	T					
52. Erie Co. 5.6 bond	C	Interest	L	T					
53. Monroe Cty. Wtr. Auth. 5.25 bond	C	Interest	L	T					
54. NYCMWF & Sur 5.375 bond	A	Interest	J	T					

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55. NYS Proj. Fin ag. HUD 4.85 bond	C	Interest	L	T					
56. Niagara Falls NY br. 5.125 bond	B	Interest			Redemption	10/28	K	A	
57. N. Bellmore UfSd 5.625 bond	B	Interest	K	T					
58. Port Auth. NJ & NY 5.25 bond	C	Interest			Redemption	07/15	L	A	
59. Lucent - Crnn		None	J	T					
60. NYS dorm (St. Univ. Fac.) 5.25 bond	C	Interest	L	T					
61. NYS HFA - Rev - RFDG 5.75 bond	D	Interest	L	T	Partial Sale	05/15	J	A	
62. NYS MC - Fac - Fin gen. Hlth. 5.375 bond	C	Interest	L	T					
63. NYS Thruway 5.25 bond	B	Interest	K	T					
64. NYS Urban Dev. 5.25 bond	B	Interest	K	T					
65. No. Hempstead So 5.00 bond	C	Interest	L	T					
66.									
67. Yonkers B/E 5.125 bond	B	Interest	K	T					
68. Gallaher	C	Dividend	M	T					
69.									
70. NYS Dorm (Barnard) 5.25 bond	B	Interest	K	T					
71. NYS Dorm (Cornell) 5.4 bond	B	Interest	K	T					
72. NYS Dorm (Ment. Hlth.) 5.3 bond	C	Interest	L	T					

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73. NYS Dorm (Ment. Hlth.) 5.0 bond	C	Interest	L	T					
74. NYS Dorm (St. Jos. Hosp.) 5.25 bond	B	Interest	L	T					
75. NYS Loc. Gov't Asst. 5.32 bond	B	Interest	K	T					
76. NYS Loc. Gov't Asst. 5.375 bond	C	Interest	L	T					
77. NYS Thruway 5.0 bond	C	Interest	M	T					
78. Syracuse 6.125 bond	C	Interest	L	T					
79. Troy MAC 5.0 bond	B	Interest	K	T					
80. Citigroup - Cmn	D	Dividend	N	T					
81. Connectiv - Cmn.	A	Dividend	J	T					
82. First Union - Wachovia	E	Dividend	O	T					
83. Morgan Stanley DW	A	Dividend	K	T					
84. Fortune Brands	C	Dividend	M	T					
85. Albany Co. Airport 4.75 bond	B	Interest	L	T					
86. Buffalo Mun. Water 4.625 bond	B	Interest	L	T					
87. LI Power Authority 5.0 bond	A	Interest	K	T					
88. Metro Trans. Auth. 5.0 bond	B	Interest	K	T					
89. NYS Dorm Auth. Res. Hosp. 4.75 bond	B	Interest	L	T					
90. NYS Dorm Auth. (Vassar) 5.25 bond	C	Interest	L	T					

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91. No. Colonie (KSD) 4.9 bond	C	Interest	L	T					
92. Otsego Co. IDA 5.125 bond	C	Interest	L	T					
93. Otsego Co. IDA 5.125 bond	C	Interest	L	T					
94. Constellation Energy - Cmn	A	Dividend	K	T					
95. Exxon/Mobil - Cmn	D	Dividend	O	T					
96. Fleet Boston	A	Dividend	K	T					
97. Nassau Co. 5.1 bond	C	Interest	L	T					
98. NYS Com. Adv. 5.125 bond	C	Interest	L	T					
99. NYS SER 5.00 bond	C	Interest	L	T					
100. NYS Dorm (City Univ.) 5.00 bond	B	Interest	L	T					
101. NYS Dorm (Ment. Hlth.) 5.00 bond	C	Interest	M	T					
102. NYS Dorm (Mt. Sinai Med. Sch.) 5.00 bond	D	Interest	M	T					
103. NYS Dorm (No. Shore Hosp.) 5.25 bond	C	Interest	L	T					
104. NYS Dorm (Ment. Hlth.) 5.00 bond	C	Interest	M	T					
105. NYS Thruway 5.00 bond	C	Interest	M	T					
106. NY NY Ser D 5.25	C	Interest	L	T					
107. Clymer CSD 5.2 bond	B	Interest	K	T					
108. Longwood CSD 5.0 bond	B	Interest	L	T					

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109. NYC Hlth. & Hosp. 5.0 bond	C	Interest	L	T					
110. NYS Dorm Rev. Ct. Fac 5.375 bond	C	Interest			Redemption	07/24	M	A	
111.									
112. NYS Env. Facs 5.00 bond	C	Interest	L	T					
113. NYS Energy (Lilco) 5.15 bond	D	Interest	M	T					
114. NYS Thruway 5.00 bond	B	Interest	K	T					
115. Yonkers 5.00 bond	C	Interest	L	T					
116. Verizon - Cmn	B	Dividend	K	T					
117. Exelon - Cmn.	B	Dividend	K	T					
118. Qwest Communications		None	J	T					
119. Dominion Resources - Cmn	D	Dividend	M	T					
120. AT&T Wireless		None	J	T					
121. BP PLC (formerly ARCO)	A	Dividend	L	T					
122. Long Island Power 4.75	C	Interest	L	T					
123. Peru CSD 4.625%	C	Interest	M	T					
124. Albion CSC 5.00%	C	Interest	M	T					
125. NYS Dorm City Univ. Series F 5.0	C	Interest			Redemption	07/01	L	A	
126. New York NY Ser. G 5.0	C	Interest	L	T					

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) - Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
127. NYC Mun. Wtr. 5.375	B	Interest	K	T					
128.									
129. New York State 4.75 % (11/1/23)	C	Interest	L	T					
130. NY NYC Trans Fin Auth 5.000	C	Interest	L	T					
131. NYS Dorm Auth. (Fordham) 5.000	C	Interest	M	T					
132. NYS Dorm Auth. Rev. S/D Fing Ser A 5.00	C	Interest	L	T					
133. Comcast Corp. Cl. A. -Cmn. (PPE)		None	K	T					
134. Pepco Holdings Inc. - Cmn.	A	Dividend	J	T					
135. Travelers Prop. Cas. Class A-Cmn	A	Dividend	J	T					
136. Travelers Prop. Cas. Class B- Cmn	A	Dividend	J	T					
137.									
138.									
139. AT&T Cmn.	A	Dividend	J	T					
140. BP-Amoco - Cmn	C	Dividend	M	T					
141. Bell South	A	Dividend	J	T					
142. Dupont - Cmn	B	Dividend	L	T					
143. Fleet Money Mkt.	A	Interest	M	T					
144. Fleet Svgs.	A	Interest	J	T					

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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## VII. INVESTMENTS and TRUSTS

-- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
145.									
146. Ford Mtr. - Cmn	A	Dividend	J	T					
147. Gen. Mtrs. - Cmn	A	Dividend	K	T					
148. Halliburton - Cmn.	A	Dividend	J	T					
149. IBM - Cmn.	D	Dividend	O	T					
150. Lockheed Martin - Cmn.	B	Dividend	M	T					
151. Lucent Technologies - Cmn.		None	J	T					
152. Comcast Corp. Cl. A - Cmn.		None	J	T					
153. Raytheon - Cmn.	A	Dividend	J	T					
154. Trenont - Cmn.	A	Dividend			Merger	2/14	J	A	
155.									
156. Monroe Co. PI 6.1 bond	B	Interest	K	T					
157.									
158. NYS Tran. Auth. 5.25 bond		None			Redemption	1/2	J	A	
159. NYS Dorm (Cornell) 5.4 bond	B	Interest	K	T					
160. NYS Dorm (Ment. Hlth.) 5.0 bond	A	Interest	J	T					
161. NYS Dorm (Vassar) 5.25 bond	A	Interest	J	T					
162.									

1. Income/Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001-\$100,000	B = \$1,001-\$2,500 G = \$100,001-\$1,000,000	C = \$2,501-\$5,000 H1 = \$1,000,001-\$5,000,000	D = \$5,001-\$15,000 H2 = More than \$5,000,000	E = \$15,001-\$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,000-\$500,000 P3 = \$25,000,001-\$50,000,000	K = \$15,001-\$50,000 O = \$500,001-\$1,000,000	L = \$50,001-\$100,000 P1 = \$1,000,001-\$5,000,000 P4 = \$More than \$50,000,000	M = \$100,001-\$250,000 P2 = \$5,000,001-\$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash/Market	

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## VII. INVESTMENTS and TRUSTS

-- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
163. NYS Med. Care Fac. 4.9 bond	A	Interest	K	T					
164. Verizon - Cmn.	A	Dividend	J	T					
165. Delphi Auto - Cmn.	A	Dividend	J	T					
166.									
167. LI Power 5.5	A	Interest			Redemption	06/02	K	A	
168. LI Power Auth. 5.0 bond	B	Interest	K	T					
169. NYS Dorm (RIT) 5.3 bond	B	Interest	K	T					
170. AT&T Wireless Services Inc. - Cmn		None	J	T					
171. Foot Locker Inc. - Cmn.	A	Dividend	J	T					
172. Evergreen Fund	A	Dividend	J	T					
173. NYC Metropolitan 5.00	B	Interest	K	T					
174. Electronics Data Sys Corp. NEW - Cmn.	A	Dividend	J	T					
175.									
176.									
177. Eastman Chemical - Cmn.	A	Dividend	J	T					
178. Emerson Elec. - Cmn	A	Dividend	K	T					
179. General Electric - Cmn.	D	Dividend	N	T					
180. Gallaher Group - Cmn.	D	Dividend	M	T					

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
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A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
181. Fortune Brands - Cmn.	D	Dividend	N	T					
182. Eastman Kodak - Cmn.	A	Dividend	K	T					
183. General Mtrs. - Cmn.	B	Dividend	L	T					
184. Whirlpool - Cmn.	B	Dividend	L	T					
185. IBM - Cmn.	B	Dividend	N	T					
186. Lucent Technologies - Cmn.	A	Dividend	J	T					
187. NCR - Cmn.	A	Dividend	J	T					
188. Raytheon - Cmn.	A	Dividend	J	T					
189. Bristol Myers - Cmn.	B	Dividend	K	T					
190. Comcast (BNP Trust)	A	Dividend	J	T					
191. Chevron Texaco	C	Dividend	M	T					
192.									
193. Allegheny Energy	A	Dividend	K	T					
194. AT&T - Cmn.	A	Dividend	J	T					
195.									
196. Bell South - Cmn.	B	Dividend	L	T					
197. DQE Inc. - Cmn.	C	Dividend	L	T					
198. DTE Energy - Cmn.	C	Dividend	L	T					

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199. Energy East - Cmn.	D	Dividend	M	T					
200.									
201. Bank America - Cmn.	D	Dividend	M	T					
202.									
203. First UC - Cmn./ Wachovia	D	Dividend	M	T					
204.									
205. PNC Fin. Corp. - Cmn.	C	Dividend	M	T					
206. 1st Union Tr. Mon. Mkt.	A	Interest	J	T					
207.									
208. BP PLC Sponsored ADR	C	Dividend	M	T					
209. Exxon/Mobil	D	Dividend	N	T					
210. Constellation Energy Group Cmn.	B	Dividend	L	T					
211.									
212. Fleet Boston Cmn.	D	Dividend	N	T					
213. Verizon Cmn.	D	Dividend	M	T					
214. Schwab Cmn.	A	Dividend	M	T					
215. Avaya Cmn.	A	Dividend	J	T					
216. Xcel Energy Cmn.	B	Dividend	K	T					

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						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
217. Zimmer Holdings Inc. Com.	A	Dividend	J	T					
218. AT&T Wireless Services Inc. Com.	A	Dividend	J	T					
219. JP Morgan Chase & Co. - Crmn.	D	Dividend	M	T					
220.									
221.									
222. Mt. Vernon NYC 4.2	C	Interest	L	T	Buy	06/04	L		
223. Suffolk Cnty. NY 4.5	C	Interest	L	T	Buy	07/09	L		
224. NYS Env FDS-2nd Res-I 4.75	D	Interest	M	T	Buy	11/06	M		
225. Mt. Vernon NYC 4.0	A	Interest	K	T	Buy	06/10	K		
226. Amherst 5.0	B	Interest	M	T	Buy	04/07	M		
227. Triborough Sub 5.00	D	Interest	M	T	Buy	08/04	M		
228. Metro Trans Auth. 5.00	B	Interest	L	T	Buy	07/23	L		
229. Metro Trans. Auth. Ded. Tax 5.00	C	Interest	M	T	Buy	01/13	M		
230. Valhi Inc. - Crmn. (F/K/A Tremont)	A	Dividend	J	T	Merger	02/14	J		

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## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

NONE

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting

Elfvin, John T

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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature \_\_\_\_\_



Date \_\_\_\_\_

*June 14, 2004*

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

**FILING INSTRUCTIONS**

**Mail signed original and 3 additional copies to:**

**Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544**