

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2008**

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

<b>1. Person Reporting</b> (last name, first, middle initial)  Noonan, Jr., John T.	<b>2. Court or Organization</b>  U.S. Court of Appeals, 9th	<b>3. Date of Report</b>  06/19/2009
<b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)  Article III Judge, Senior	<b>5a. Report Type</b> (check appropriate type) <input type="checkbox"/> Nomination,                      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b>  01/01/2008 to 12/31/2008
<b>7. Chambers or Office Address</b>  U.S. Court of Appeals 95 Seventh Street San Francisco, CA 94103	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	
<p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</p>		

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. President and Director	Thomas More-Jacques Maritain Institute (non-profit cultural organization)
2. Member	Advisory Council, Transparency International
3. Member	Editorial Board, American Journal of Jurisprudence
4. Member	President's Council, Graduate Theological School
5.	

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**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 07/01/86	University of California pension based on service
2.	
3.	

**FINANCIAL DISCLOSURE REPORT**

Page 2 of 9

<b>Name of Person Reporting</b> Noonan, Jr., John T.	<b>Date of Report</b> 06/15/2009
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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

**A. Filer's Non-Investment Income**

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1. 2008	Pension: University of California	\$41,706.78
2. 2008	Royalty-West Group Foundation Press	\$967.23
3. 2008	Royalty-University of California	\$245.49
4.		

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

**IV. REIMBURSEMENTS** – *transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Graduate Theological Union - President's Council	10/21/2008	New York, New York	Meeting	Airfare, Taxis
2				
3				
4.				
5.				

**FINANCIAL DISCLOSURE REPORT**  
Page 3 of 9

<b>Name of Person Reporting</b> Noonan, Jr., John T.	<b>Date of Report</b> 06/15/2009
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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

**FINANCIAL DISCLOSURE REPORT**

Page 4 of 9

<b>Name of Person Reporting</b> Noonan, Jr., John T.	<b>Date of Report</b> 06/15/2009
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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1 Fidelity Magellan Fund	C	Dividend	L	T					
2 Fidelity CA Muni Income Fund (formerly Spartan CA Muni Fd)	D	Interest	M	T					
3. BP P.L.C.	A	Dividend	J	T					
4. Wells Fargo Checking Account	C	Interest	M	T					
5. Wells Fargo Market Rate Account	A	Dividend	J	T					
6. Wells Fargo IRAs	B	Interest	K	T					
7. Dreyfus Muni Bond Fund (formerly Insured Muni Bond Fund)	A	Dividend	J	T					
8. Teachers Insurance and Annuity Association	D	Interest	M	T					
9. Scudder Int'l Bond Fund	B	Dividend	K	T					
10 Wells Fargo Investments-Thornburg Investment Trust	D	Dividend	M	T					
11. Wachovia Time Deposit	C	Interest	M	T					
12. Brokerage Account Number 1 Bear Stearns									
13. -JP Morgan Chase	C	Dividend	L	T					
14 -General Mills	B	Dividend	K	T					
15 -Procter & Gamble Common	B	Dividend	L	T					
16. -Neurobiological Technologies		None	J	T					
17 -BP P.L.C	C	Dividend	K	T					

1 Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting

Noonan, Jr., John T.

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NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18 -Coca Cola Common	B	Dividend	K	T					
19. -Eli Lilly Corporation	B	Dividend	K	T					
20. -IBM Common	B	Dividend	K	T					
21. -Fortune Brands	B	Dividend	K	T					
22. -Monsanto	A	Dividend	K	T					
23. -Pfizer	B	Dividend	K	T					
24. -GNMA Pass Through Pools	A	Interest	J	T					
25. -Calvert Social Investment Fund	B	Interest	J	T	Buy	12/4	J		
26. -Olstein All Cap Value Fund (formerly Fin ancial Alert Fund)		None			Sold	06/18	L	A	
27. -Bear Stearns Tempfund	A	Interest			Sold	06/18	J	A	
28. -Bear Stearns Tempfund					Buy	02/01	J		
29. -Bear Stearns Tempfund					Buy	08/01	J		
30. -Bear Stearns Tempfund					Sold	09/04	K	A	
31 -JP Morgan Prime Money Market Fund	A	Interest	M	T	Buy	09/04	K		
32 -JP Morgan Prime Money Market Fund					Buy	10/01	M		
33. -California State Corpus Prams		None			Redeemed	10/01	L	A	
34. -Diamond Hill Long-Short Fund	A	Dividend	L	T	Buy	06/17	M		

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting

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A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35. -Ca State University Revenue Bond	B	Interest	K	T					
36. -North of the River Sanitary District Bond	B	Interest	K	T					
37. Trust Number 1 (No personal income)	D	Dividend	N	T					
38. -Fleet MA Intermediate Tax Exempt Bond Fund					Sold	03/03	J	A	
39. -Fleet International Equity Fund					Sold	04/03	J	A	
40. -Fleet Mid Cap Growth Fund					Sold	04/03	J	A	
41. -Fleet Large Cap Value Fund					Sold	11/05	J	A	
42. -Fleet Mid Cap Value Fund					Sold	11/05	J	A	
43. -Fleet Small Cap Value Fund					Sold	11/05	J	A	
44. -Fleet Large Cap Growth Fund					Sold	11/05	J	A	
45. -Fleet Tax Exempt Fund					Sold	11/05	K		
46. -Fleet Tax Exempt Fund					Buy	04/03	J		
47. -Fleet International Equity Fund					Buy	11/05	K		
48. Trust Number 2 (No personal income)	D	Dividend	M	T					
49. -Fleet MA Intermediate Tax Exempt Bond Fund					Sold	10/03	J	A	
50. -Fleet Large Cap Value Fund					Sold	11/05	J	A	
51. -Fleet MA Intermediate Tax Exempt Bond Fund					Sold	11/05	J	A	

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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**FINANCIAL DISCLOSURE REPORT**

Page 7 of 9

<b>Name of Person Reporting</b> Noonan, Jr., John T.	<b>Date of Report</b> 06/15/2009
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52 -Fleet Large Cap Growth Fund					Sold	11/05	J	A	
53. -Fleet International Equity Fund					Buy	10/03	J		
54. -Fleet Large Cap Value Fund					Buy	10/03	J		
55 -Fleet International Equity Fund					Buy	11/05	J		
56. -Fleet Small Cap Growth Fund					Buy	11/05	J		

<b>1 Income Gain Codes</b> (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,0001 - \$500,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
<b>2 Value Codes</b> (See Columns C1 and D3)					
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**FINANCIAL DISCLOSURE REPORT**

Page 8 of 9

Name of Person Reporting

Noonan, Jr., John T.

Date of Report

06/15/2009

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

Two trusts referred to in this report are as follow.

1. [REDACTED] Trust: The [REDACTED] Trust was created by [REDACTED] on December 15, 1976. It became irrevocable on her death in 1990 and divided into three shares. The trustee has discretion to pay the income and principal of my share to me, [REDACTED].
2. [REDACTED] 1976 Trust: The [REDACTED] 1976 Trust was formed by [REDACTED] in 1976 and became irrevocable on his death in 1987. On his death the property was divided into equal shares for [REDACTED] me. The trustee has discretion to pay some or all of the net income or principal of my share to me, [REDACTED]. The trust terminates on the death of me [REDACTED], the remainder going to [REDACTED].
3. The income of both trusts was paid by the trustee in 2008 to [REDACTED], all over 21 years of age. At the request of the Committee on Financial Disclosure, I have summarized the reports I have received.

In April 2000, Fleet Bank acquired the Bank of Boston and became the trustee of the above trusts. The trusts were also subdivided into distinct trusts for each of the [REDACTED] of the grantors, so that there is now a distinct trust for me [REDACTED] under the same trust instruments. Report is not made here of the sales of odd lots (typically one or two shares) made to make it easier to divide the trusts into thirds. The money market fund, Galaxy, was arranged by Fleet to take over the functions performed by the money market Boston 1784 funds; investments in these money market funds are noted on an annual basis.



**FINANCIAL DISCLOSURE REPORT**

Page 9 of 9

**Name of Person Reporting**

Noonan, Jr., John T.

**Date of Report**

06/15/2009

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature \_\_\_\_\_

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544