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Rev. 1/2010

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2009

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Noonan, Jr., John T.	**2. Court or Organization** U.S. Court of Appeals, 9th	**3. Date of Report** 06/15/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge, Senior	**5a. Report Type** (check appropriate type) ☐ Nomination, Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 01/01/2009 to 12/31/2009
7. Chambers or Office Address U.S. Court of Appeals 95 Seventh Street San Francisco, CA 94103	**8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.** Reviewing Officer________ Date________	

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	President and Director	Thomas More-Jacques Maritain Institute (non-profit cultural organization)
2.	Member	Advisory Council, Transparency International
3.	Member	Editorial Board, American Journal of Jurisprudence
4.	Member	President's Council, Graduate Theological School
5.		

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II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	07/01/86	University of California pension based on service
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2009	Pension: University of California	$42,540.96
2. 2009	Royalty-West Group Foundation Press	$2,114.07
3. 2009	Stipend: University of Notre Dame	$3,327.00
4. 2009	Stipend: University of San Francisco	$1,000.00
5. 2009	Stipend: New School University	$1,000.00
6. 2009	Refund: California Franchise Tax Board	$8,161.00
7.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Graduate Theological Union - President's Council	10/26/2009 - 10/27/2009	New York, New York	Meeting	Airfare, Taxis
2.	New School University - Social Research Conference	3/5/209 - 3/6/2009	New York, New York	Conference	Lodging, Airfare, Taxis
3.	University of Notre Dame	5/15/2009 - 5/17/2009	Notre Dame, Indiana	Commencement Address	Lodging, Meals, Airfare, Taxis

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4.	Villanova University School of Law - John F. Scarpa Conference	10/22/2009	Philadelphia, PA	Conference	Lodging, Transportation, Train, Taxis
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Fidelity Magellan Fund	A	Dividend	M	T					
2. Fidelity CA Muni Income Fund (formerly Spartan CA Muni Fd)	D	Interest	M	T					
3. BP P.L.C.	A	Dividend	J	T					
4. Wells Fargo Checking Account	A	Interest	M	T					
5. Wells Fargo Market Rate Account	A	Dividend	L	T					
6. Wells Fargo IRAs	B	Interest	K	T					
7. Dreyfus Muni Bond Fund (formerly Insured Muni Bond Fund)	A	Dividend	J	T					
8. Teachers Insurance and Annuity Association	D	Interest	M	T					
9. DWS Global Fund (formerly Scudder Int'l Bond Fund	B	Dividend	K	T					
10. Wells Fargo Investments-Thornburg Investment Trust	D	Dividend	N	T					
11. Wachovia Time Deposit	A	Interest	M	T					
12. Brokerage Account Number 1 Bear Stearns									
13. -JP Morgan Chase	A	Dividend	L	T					
14. -General Mills	B	Dividend	L	T					
15. -Procter & Gamble Common	B	Dividend	L	T					
16. -Neurobiological Technologies	A	Dividend	J	T					
17. -BP P.L.C.	C	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18. -Coca Cola Common	B	Dividend	K	T					
19. -Eli Lilly Corporation	B	Dividend	K	T					
20. -IBM Common	B	Dividend	L	T					
21. -Fortune Brands	A	Dividend	K	T					
22. -Monsanto	A	Dividend	K	T					
23. -Pfizer	B	Dividend	K	T					
24. -GNMA Pass Through Pools	A	Interest			Sold	09/15/09	J	A	
25. -Calvert Social Investment Fund	B	Interest	K	T					
26. -JP Morgan Prime Money Market Fund	A	Interest	M	T	Buy	02/12/09	J		
27. -JP Morgan Prime Money Market Fund					Buy	08/13/09	J		
28. -JP Morgan Prime Money Market Fund					Buy	11/12/09	J		
29. -Diamond Hill Long-Short Fund		None	L	T					
30. -Ca State University Revenue Bond	B	Interest	K	T					
31. -North of the River Sanitary District Bond	B	Interest	K	T					
32. Trust Number 1 (No personal income)	D	Dividend	N	T					
33. -Fleet Small Cap Growth Fund					Buy	03/04/09	J		
34. -Fleet Mid Cap Value Fund					Buy	03/04/09	J		

1. Income Gain Codes (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 = More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -Fleet Small Cap Value Fund					Buy	03/04/09	J		
36. -Fleet Mid Cap Growth Fund					Buy	03/04/09	J		
37. -Fleet Large Cap Growth Fund					Sold	03/04/09	J	A	
38. -Fleet Tax Exempt Fund					Sold	03/04/09	J	A	
39. -Fleet Small Cap Growth Fund					Buy	05/05/09	J		
40. -Fleet Small Cap Value Fund					Buy	05/05/09	J		
41. -Fleet Mid Cap Growth Fund					Buy	05/05/09	J		
42. -Fleet Tax Exempt Fund					Sold	05/05/09	J	A	
43. -Fleet International Equity Fund					Sold	10/05/09	J	A	
44. -Fleet Large Cap Value Fund					Sold	10/05/09	J	A	
45. -Fleet Mid Cap Growth Fund					Sold	10/05/09	J	A	
46. -Fleet Large Cap Growth Fund					Sold	10/05/09	J	A	
47. -Fleet Tax Exempt Fund					Buy	10/05/09	K		
48. Trust Number 2 (No personal income)	D	Dividend	M	T					
49. -Fleet International Equity Fund					Sold	03/04/09	J	A	
50. -Fleet MA Intermediate Tax Exempt Bond Fund					Sold	03/04/09	J	A	
51. -Fleet Small Cap Growth Fund					Buy	03/04/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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52. -Fleet Mid Cap Growht Fund					Buy	03/04/09	J		
53. -Fleet Small Cap Growth Fund					Buy	05/05/09	J		
54. -Fleet MA Intermediate Tax Exempt Bond Fund					Buy	10/05/09	J		
55. -Fleet International Equity Fund					Sold	10/05/09	J	A	
56. -Fleet Large Cap Value Fund					Sold	10/05/09	J	A	
57. -Fleet Large Cap Growth Fund					Sold	10/05/09	J	A	

1. Income Gain Codes (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Two trusts referred to in this report are as follow:

1. [redacted] Trust: The [redacted] Trust was created by [redacted] on December 15, 1976. It became irrevocable on her death in 1990 and divided into three shares. The trustee has discretion to pay the income and principal of my share to [redacted]

2. [redacted] 1976 Trust: The [redacted] 1976 Trust was formed by [redacted] in 1976 and became irrevocable on his death in 1987. On his death the property was divided into equal shares for [redacted] The trustee has discretion to pay some or all of the net income or principal of my share to [redacted] The trust terminates on the death of [redacted], the remainder going to [redacted]

3. The income of both trusts was paid by the trustee in 2009 to [redacted], all over 21 years of age. At the request of the Committee on Financial Disclosure, I have summarized the reports I have received.

In April 2000, Fleet Bank acquired the Bank of Boston and became the trustee of the above trusts. The trusts were also subdivided into distinct trusts for each of the [redacted] of the grantors, so that there is now a distinct trust for [redacted] under the same trust instruments. Report is not made here of the sales of odd lots (typically one or two shares) made to make it easier to divide the trusts into thirds. The money market fund, Galaxy, was arranged by Fleet to take over the functions performed by the money market Boston 1784 funds; investments in these money market funds are noted on an annual basis.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature [redacted]

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544