

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2010**

<b>1. Person Reporting (last name, first, middle initial)</b>  Noonan, Jr., John T.	<b>2. Court or Organization</b>  U.S. Court of Appeals, 9th	<b>3. Date of Report</b>  06/28/2011
<b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b>  Article III Judge, Senior	<b>5a. Report Type (check appropriate type)</b> <input type="checkbox"/> Nomination,      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b>  01/01/2010 to 12/31/2010
<b>7. Chambers or Office Address</b>  U.S. Court of Appeals 95 Seventh Street San Francisco, CA 94103	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	

**IMPORTANT NOTES:** *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

**I. POSITIONS.** *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	President and Director	Thomas More-Jacques Maritain Institute (non-profit cultural organization)
2.	Member	Advisory Council, Transparency International
3.	Member	Editorial Board, American Journal of Jurisprudence
4.	Member	President's Council, Graduate Theological School
5.		

**II. AGREEMENTS.** *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	07/01/86	University of California pension based on service
2.		
3.		

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

**A. Filer's Non-Investment Income**

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2010	Pension: University of California	\$43,391.80
2. 2010	Royalty-West Group Foundation Press	\$708.51
3. 2010	Stipend: University of Southern California	\$1,000.00
4. 2010	Refund: California Franchise Tax Board	\$1,918.00

**B. Spouse's Non-Investment Income** *- If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

**IV. REIMBURSEMENTS** *- transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Institute for Advanced Catholic Studies-University of Southern California	3/23/2010-3/25/2010	Los Angeles, California	Lecture	Airfare, Transportation, Tips
2.	Court Symposium	4/18/2010-4/21/2010	Rancho Palos Verdes, California	Conference	Lodging, Food, Tips, Airfare, Transportation
3.	Arizona State University-College of Law	4/25/2010-4/26/2010	Tempe, Arizona	Conference	Airfare, Lodging, Transportation, Meal, Tips
4.					
5.					

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A.	B.		C.		D.				
	Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
		Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Fidelity Magellan Fund	A	Dividend	M	T						
2. Fidelity CA Muni Income Fund (formerly Spartan CA Muni Fd)	D	Interest	M	T						
3. BP P.L.C.	A	Dividend	J	T						
4. Wells Fargo Checking Account	A	Interest	M	T						
5. Wells Fargo Market Rate Account	A	Dividend	L	T						
6. Wells Fargo IRAs	B	Interest	K	T						
7. Dreyfus Muni Bond Fund (formerly Insured Muni Bond Fund)	A	Dividend			Redeemed	03/03/10	J	A		
8. Teachers Insurance and Annuity Association	D	Interest	M	T						
9. DWS Global Fund (formerly Scudder Int'l Bond Fund)	B	Dividend	K	T						
10. Wells Fargo Investments-Thornburg Investment Trust	D	Dividend	N	T						
11. Wells Fargo Bank CD (formerly Wachovia Time Deposit)	A	Interest			Closed	04/24/10				
12. Fidelity Investments (X)	C	Dividend	N	T						
13. Fidelity Investments (X)	A	Dividend	J	T						
14. Legacy Treasury Direct (X)	B	Interest	K	T						
15. Legacy Treasury Direct (X)	B	Interest	K	T						
16. Bank of America (formerly Cambridge Trust Company) (X)	A	Interest	L	T						
17. Brokerage Account Number 1 Bear Stearns										

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000  
 (See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 H1=\$1,000,001 - \$5,000,000 H2=More than \$5,000,000  
 2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000  
 (See Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000  
 P3=\$25,000,001 - \$50,000,000 P4=More than \$50,000,000  
 3. Value Method Codes Q=Appraisal R=Cost (Real Estate Only) S=Assessment T=Cash Market  
 (See Column C2) U=Book Value V=Other W=Estimated

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. -JP Morgan Chase	A	Dividend	L	T					
19. -General Mills	B	Dividend	L	T					
20. -Procter & Gamble Common	B	Dividend	L	T					
21. -Neurobiological Technologies		None	J	T					
22. -BP P.L.C.	A	Dividend	K	T					
23. -Coca Cola Common	B	Dividend	L	T					
24. -Eli Lilly Corporation	B	Dividend	K	T					
25. -IBM Common	B	Dividend	L	T					
26. -Fortune Brands	A	Dividend	K	T					
27. -Monsanto	A	Dividend	K	T					
28. -Pfizer	B	Dividend	K	T					
29. -Calvert Social Investment Fund		None	K	T					
30. -JP Morgan Prime Money Market Fund	A	Interest	M	T	Buy	02/01/10	J		
31. -JP Morgan Prime Money Market Fund					Buy	08/02/10	J		
32. -JP Morgan Prime Money Market Fund					Buy	11/01/10	J		
33. -Diamond Hill Long-Short Fund		None	L	T					
34. -Ca State University Revenue Bond	B	Interest	K	T					

1. Income Gain Codes: A = \$1,000 or less; F = \$50,001 - \$100,000; N = \$250,001 - \$500,000; P3 = \$25,000,001 - \$50,000,000  
 (See Columns B1 and D4)

2. Value Codes: J = \$15,000 or less; K = \$15,001 - \$50,000; O = \$500,001 - \$1,000,000  
 (See Columns C1 and D3)

3. Value Method Codes: R = Cost (Real Estate Only); V = Other  
 (See Column C2); U = Book Value

C = \$2,501 - \$5,000; H1 = \$1,000,001 - \$5,000,000; L = \$50,001 - \$100,000; P1 = \$1,000,001 - \$5,000,000; P4 = More than \$50,000,000; S = Assessment; W = Estimated

D = \$5,001 - \$15,000; H2 = More than \$5,000,000; M = \$100,001 - \$250,000; P2 = \$5,000,001 - \$25,000,000; T = Cash Market

E = \$15,001 - \$50,000

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. -North of the River Sanitary District Bond	B	Interest	K	T					
36. Trust Number 1 (No personal income)	D	Dividend	N	T					
37. -Westfield Mass GO Bonds					Redeemed	05/03/10	J	A	
38. -Fleet International Equity Fund					Buy	07/06/10	J		
39. -Fleet Tax Exempt Fund					Buy	07/06/10	K		
40. -Fleet Large Cap Value Fund					Sold	07/06/10	J	A	
41. -Fleet Small Cap Growth Fund					Sold	07/06/10	J	A	
42. -Fleet Mid Cap Growth Fund					Sold	07/06/10	J	A	
43. -Fleet Large Cap Growth Fund					Sold	07/06/10	J	A	
44. -Fleet International Equity Fund					Sold	10/05/10	K	A	
45. -Fleet Small Cap Growth Fund					Sold	10/05/10	J	A	
46. -Fleet Mid Cap Growth Fund					Sold	10/05/10	J	A	
47. -Fleet Tax Exempt Fund					Sold	10/05/10	J	A	
48. -Columbia High Yield Muni Fund					Buy	10/05/10	J		
49. -Fleet Large Cap Value Fund					Buy	10/05/10	J		
50. -Delaware Emerging Markets Fund					Buy	10/05/10	K		
51. -Natixis Funds Trust					Buy	10/05/10	J		

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 (See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000  
 2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000  
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	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. -Old Mutual Mid Cap Value Fund					Buy	10/05/10	J		
53. -Pimco Commodity Real Return Fund					Buy	10/05/10	J		
54. -Westcore Small Cap Value Fund					Buy	10/05/10	J		
55. Trust Number 2 (No personal income)	D	Dividend	M	T					
56. -Columbia High Yield Muni Fund					Buy	10/05/10	J		
57. -Delaware Emerging Markets Fund					Buy	10/05/10	J		
58. -Eaton Vance Large Cap Value Fund					Buy	10/05/10	J		
59. -Pimco Commodity Fund					Buy	10/05/10	J		
60. -Fleet Intemational Equity Fund					Sold	10/05/10	J	A	
61. -Fleet Small Cap Growth Fund					Sold	10/05/10	J	A	
62. -MA Intermediate Term Fund					Sold	10/05/10	J	A	
63. -Fleet Mid Cap Growth Fund					Sold	10/05/10	J	A	
64. -Fleet Large Cap Growth Fund					Sold	10/05/10	J	A	
65.									

- 1. Income Gain Codes:      A = \$1,000 or less                      B = \$1,001 - \$2,500                      C = \$2,501 - \$5,000                      D = \$5,001 - \$15,000                      E = \$15,001 - \$50,000  
    (See Columns B1 and D4)                      F = \$50,001 - \$100,000                      G = \$100,001 - \$1,000,000                      H1 = \$1,000,001 - \$5,000,000                      H2 = More than \$5,000,000
- 2. Value Codes                      J = \$15,000 or less                      K = \$15,001 - \$50,000                      L = \$50,001 - \$100,000                      M = \$100,001 - \$250,000  
    (See Columns C1 and D3)                      N = \$250,001 - \$500,000                      O = \$500,001 - \$1,000,000                      P1 = \$1,000,001 - \$5,000,000                      P2 = \$5,000,001 - \$25,000,000
- 3. Value Method Codes                      P3 = \$25,000,001 - \$50,000,000                      Q = Appraisal                      R = Cost (Real Estate Only)                      S = Assessment                      T = Cash Market  
    (See Column C2)                      U = Book Value                      V = Other                      W = Estimated

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

Two trusts referred to in this report are as follow:

1. [REDACTED] Trust: The [REDACTED] Trust was created by [REDACTED] on December 15, 1976. It became irrevocable on her death in 1990 and divided into three shares. The trustee has discretion to pay the income and principal of my share to [REDACTED]
2. [REDACTED] 1976 Trust: [REDACTED] 1976 Trust was formed by [REDACTED] in 1976 and became irrevocable on his death in 1987. On his death the property was divided into equal shares [REDACTED]. The trustee has discretion to pay some or all of the net income or principal of my share to [REDACTED]. The trust terminates on the death of [REDACTED]
3. The income of both trusts was paid by the trustee in 2010 to [REDACTED] all over 21 years of age. At the request of the Committee on Financial Disclosure, I have summarized the reports I have received.

In April 2000, Fleet Bank acquired the Bank of Boston and became the trustee of the above trusts. The trusts were also subdivided into distinct trusts for each of the [REDACTED] of the grantors, so that there is now a distinct trust for [REDACTED] under the same trust instruments. Report is not made here of the sales of odd lots (typically one or two shares) made to make it easier to divide the trusts into thirds. The money market fund, Galaxy, was arranged by Fleet to take over the functions performed by the money market Boston 1784 funds; investments in these money market funds are noted on an annual basis.

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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John T. Noonan, Jr.**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544