AO 10
Rev. 1/2010

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2009

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lungstrum, John W.	**2. Court or Organization** USDC, District of Kansas	**3. Date of Report** 05/11/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Active)	**5a. Report Type** (check appropriate type) ☐ Nomination, Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 01/01/2009 to 12/31/2009
7. Chambers or Office Address 517 U.S. Courthouse 500 State Avenue Kansas City, KS 66101	**8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.** **Reviewing Officer** ______________ **Date** ________	

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Visiting Professor from the Judiciary	University of Kansas School of Law (as approved by Chief Judge of my Circuit)
2.	Director and officer	Westward Ho, Inc. (corporation which owns residential property for personal use)
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Lungstrum, John W.

Name of Person Reporting	Date of Report
Lungstrum, John W.	05/11/2010

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2009	University of Kansas income for approved teaching	$4,161.73
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Federal Judges Association	05/01/09 - 05/04/09	Washington, D.C.	Board of Directors Meetin	Travel Expenses
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Lungstrum, John W.	05/11/2010

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Lungstrum, John W.	05/11/2010

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1.	Morgan Stanley Liquid Asset Fund (Money Mkt)	A	Dividend	J	T	Deps. & W/ds				
2.	Tucson AZ Wtr Dist. (Bond)	A	Interest	K	T	Buy	08/31/09	K		
3.	College Station TX School District (Bond)	A	Interest	K	T	Buy	08/31/09	K		
4.	Johnson Cty, KS Water Dist	A	Interest	K	T	Buy	06/15/09	K		
5.	Eaton Vance Tax MGD Growth Fund (Mutual Fund)	A	Dividend	K	T					
6.	Kansas State DOT (Bond)	A	Interest			Sold	09/01/09	K	A	
7.	Lawrence KS GO (Bond)	A	Interest	J	T					
8.	Johnson Co Wtr Dist (Bond)	B	Interest	K	T					
9.	Kansas St. Hwy Rev (Bond)	A	Interest			Sold	09/01/09	J	A	
10.	Derby GO (Bond)	B	Interest	K	T					
11.	Kansas St Dev U (Bond)	A	Interest	K	T					
12.	Johnson Co Pk & Rec (Bond)	A	Interest	J	T					
13.	Topeka KS GO (Bond)	A	Interest			Sold	11/02/09	K	A	
14.	Coffeyville Electric Utility (Bond)	A	Interest	J	T					
15.	Johnson Co Wtr Dist (Bond)	A	Interest	J	T					
16.	Cowley county USD (Bond)	A	Interest	K	T					
17.	EV Kansas Municipals (Mutual fund	B	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. IRA	E	Interest	O	T					
19. -MSDW Liq. Asset (Money market fund)									
20. -MSDW Mid-Cap Growth FD (Mutual Fund)					Sold	06/17/09	K	A	
21. -Select Future Fund (Mutual Fund)									
22. -MSDW Real Estate Fund (Mutual Fund)									
23. -MSIF Small Cap Value Instl (Mutual Fund)					Sold (part)	06/17/09	J	A	
24. -MSDW International Fund D (Mutual Fund)					Sold	06/17/09	K	A	
25. -Sempra Energy (Bond)									
26. -General Motors Acceptance Corp. (Bond)									
27. -May Dept. Stores Co. (Note)									
28. -Lord Abbott Bond Deb C (Mutual Fund)					Buy	06/16/09	K		
29. -Financing Corp. Ser D (Treasury)					Redeemed	08/03/09	K	A	
30. -MSDW S&P 500 Index (Mutual Fund)					Sold	06/17/09	J	A	
31. -Van Kampen Comstock I (Mutual Fund)					Sold	06/17/09	K	A	
32. -Fidelity Advisor Mid Cap A (Mutual Fund)					Sold	06/17/09	J	A	
33. -Ing Int'l Value A (Mutual Fund)					Sold	02/02/09	J	A	
34. -Janus Mid Cap Value FD (Mutual Fund)					Sold	06/17/09	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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35. -MSDW S&P 500 Index Fund B (Mutual Fund)					Sold	06/16/09	J	A	
36. -Davis New York Venture A (Mutual Fund)					Sold	06/17/09	K	A	
37. -RS Partners Fund (Mutual Fund)						06/17/09	J	A	
38. -SBC Communications Inc. (Bond)									
39. -MSDW Equally Weighted S&P 500 (Mutual Fund)					Sold	06/17/09	J	A	
40. -Oppenheimer Int'l Bond FD (Mutual Fund)									
41. -MS Natural Resource (Mutual Fund)					Buy	06/16/09	J		
42. -EV Income Fund of Boston A (Mutual Fund					Sold	06/17/09	J	A	
43. -Fidelity ADV DVRSFD Int'l A (Mutual Fund)					Sold	06/17/09	J	A	
44. -Forward Hoover Sm Cap Eqi Inv (Mutual Fund)					Sold	06/17/09	J	A	
45. -Virtus Real Estate Sec A fka Phoenix RE Sec A (mutual fund)					Sold	06/17/09	J	A	
46. -MS Charter Graham (Mutual Fund)									
47. -MS Charter Aspect L (Mutual Fund)									
48. -MS Spectrum Tech (Mutual Fund)									
49. -Hartford Cap Apprec A (Mutual Fund)					Sold	06/17/09	K	A	
50. -Lazard Emerging Markets I (Mutual Fund)					Sold	06/17/09	J	A	
51. -MS Emerging Mkts Domestic Debt (Mutual Fund)									

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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
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52.	-Van KMPN Dynamic CR OPP Fund (Mutual Fund)									
53.	-MSIF US Large Cap GR Port A (Mutual Fund)					Sold	06/17/09	K	A	
54.	-H&Q Healthcare Fd (Mutual Fund)					Buy	06/17/09	J		
55.	-Blackrock US Opportunities (Mutual Fund)					Buy	06/17/09	K		
56.	-Blackrock Equity Div (Mutual Fund)					Buy	06/17/09	K		
57.	-EV Large Cap Value A (Mutual Fund)					Buy	06/17/09	K		
58.	-Henderson Int'l Opportunities (Mutual Fund)					Buy	06/17/09	K		
59.	-Ivy Large Cap Grw A (Mutual Fund)					Buy	06/17/09	K		
60.	-Jennison Nat'l Resources A (Mutual Fund					Buy	06/17/09	K		
61.	-Lazard Emerging Markets Open (Mutual Fund)					Buy	06/17/09	K		
62.	-Nuveen Tradewinds Val Opp. A (Mutual Fund					Buy	06/17/09	K		
63.	-Oppenheimer Gold & Spec Min A (Mutual Fund)					Buy	06/17/09	J		
64.	-Thornburg Int'l Value A (Mutual Fund)					Buy	06/17/09	K		
65.	-Van Kampen Small Cap Growth A (Mutual Fund)					Buy	06/17/09	J		
66.	-Mainstay Large Cap Growth A(Mutual Fund)					Buy	06/17/09	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Part VII:

I have treated reinvestment programs as exempt because they merely represent a change in the form of the asset.

With regard to the IRA (line 18), I have only shown interest in Column B(2) because the computer program would permit listing only one type. However, dividends and capital gains were also realized by the IRA.

Name of Person Reporting	Date of Report
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signatu[redacted]

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIF[redacted]UBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544