

# FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Sedwick, John W

Date of Report

03/26/2007

**SELF INITIATED  
AMENDMENT**

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Rental Income From Duplexes in Anchorage, AK (gross)	E	Rent	O	W					
2. 1st Nat'l Bank Alaska Stock	B	Dividend	K	T					
3. 1st Nat'l Bank Alaska Accounts	B	Interest	L	T					
4. Northrim Bank Accounts	B	Interest	L	T					
5. UBS Accounts	C	Interest	L	T					
6. UBS Accounts: Cleveland Ohio Airport Bond					Buy	10/24	K		
7. UBS Accounts: Cleveland Ohio Airport Bond					Sell	12/19	K	A	
8. UBS Accounts: Metropolitan Transit Authority NY Bond					Buy	11/09	K		
9. UBS Accounts: Metropolitan Transit Authority NY Bond					Sell	01/05	K	A	
10. UBS Accounts: Alaska State Int'l Aiport Bond					Buy	02/24	K		
11. City of Akhiok Bond	A	Dividend	J	T					
12. Lincoln Nat'l Life Ins. Co. Policies	D	Interest	M	T					
13. [REDACTED] IRA	A	Int./Div.	N	T					
14. [REDACTED] IRA: American Funds Capital World G&I Fund									
15. [REDACTED] IRA: UBS Dynamic Alpha Fund									
16. [REDACTED] IRA: UBS Global Allocation Fund									
17. IRA #1	F	Int./Div.	P1	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

## FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

*Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)*

<b>1. Person Reporting (last name, first, middle initial)</b> Sedwick, John W	<b>2. Court or Organization</b> District of Alaska	<b>3. Date of Report</b> 03/26/2007
<b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b> U.S. District Judge	<b>5a. Report Type (check appropriate type)</b> <input type="checkbox"/> Nomination,      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	<b>6. Reporting Period</b> 01/01/2006 to 12/31/2006
<b>7. Chambers or Office Address</b> U.S. District Court 222 W. 7th Ave. #32 Anchorage, AK 99513	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	
<b>IMPORTANT NOTES:</b> <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</i>		

**I. POSITIONS.** *(Reporting individual only; see pp. 9-13 of instructions.)*

NONE *(No reportable positions.)*

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	_____	_____
2.	_____	_____
3.	_____	_____
4.	_____	_____
5.	_____	_____

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**II. AGREEMENTS.** *(Reporting individual only; see pp. 14-16 of instructions.)*

NONE *(No reportable agreements.)*

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	_____	_____
2.	_____	_____
3.	_____	_____

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**III. NON-INVESTMENT INCOME.** (Reporting individual and spouse; see pp. 17-24 of instructions.)

**A. Filer's Non-Investment Income**

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2006	Alaska Permanent Fund Dividend	\$ 1,107
2.		
3.		
4.		
5.		

**B. Spouse's Non-Investment Income -** If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2006	Alaska Permanent Fund Dividend
2. 2006	State of Alaska Pension
3. 2006	Prudential VISTA Real Estate Commission
4. 2006	Dynamic Properties, consulting fees
5.	

**IV. REIMBURSEMENTS** -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	
2.	
3.	
4.	
5.	

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting Sedwick, John W	Date of Report 03/26/2007
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**V. GIFTS.** *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

**VI. LIABILITIES.** *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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Name of Person Reporting

Sedwick, John W

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental Income From Duplexes in Anchorage, AK (gross)	E	Rent	O	W					
2. 1st Nat'l Bank Alaska Stock	B	Dividend	K	T					
3. 1st Nat'l Bank Alaska Accounts	B	Interest	L	T					
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6. UBS Accounts: Cleveland Ohio Airport Bond					Buy	10/24	K		
7. UBS Accounts: Cleveland Ohio Airport Bond					Sell	12/19	K	A	
8. UBS Accounts: Metropolitan Transit Authority NY Bond					Buy	11/09	K		
9. UBS Accounts: Metropolitan Transit Authority NY Bond					Sell	01/05	K	A	
10. UBS Accounts: Alaska State Int'l Airport Bond					Buy	02/24			
11. City of Akhiok Bond	A	Dividend	J	T					
12. Lincoln Nat'l Life Ins. Co. Policies	D	Interest	M	T					
13. [REDACTED] IRA	A	Int./Div.	N	T					
14. [REDACTED] IRA: American Funds Capital World G&I Fund									
15. [REDACTED] IRA: UBS Dynamic Alpha Fund									
16. [REDACTED] IRA: UBS Global Allocation Fund									
17. IRA #1	F	Int./Div.	P1	T					

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000	E=\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J=\$15,000 or less N=\$250,001 - \$500,000	K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000	L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000	M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000	T=Cash Market
3. Value Method Codes (See Column C2)	P3=\$25,000,001 - \$50,000,000 Q=Appraisal U=Book Value	R=Cost (Real Estate Only) V=Other	P4=More than \$50,000,000 S=Assessment W=Estimated		

# FINANCIAL DISCLOSURE REPORT

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18. IRA #1: Van Kampen Emerging Markets Fund									
19. IRA #1: Alliance Bernstein Int'l Valu Fund					Partial Sale	03/08	J	B	
20. IRA #1: Allianz NFJ Dividend Value Fund					Partial Sale	03/08	J	A	
21. IRA #1: American Funds Growth Fund of America					Partial Sale	03/08	J	C	
22. IRA #1: American Funds Captial World G&I Fund					Partial Sale	03/08	J	A	
23. IRA #1: American Funds Bond Fund of America					Sale	05/01	M		
24. IRA #1: American Funds Capital Income Builder					Partial Sale	03/08	J	A	
25. IRA #1: American Funds Income Fund of America					Partial Sale	03/08	J	A	
26. IRA #1: Blackrock Aurora Fund					Partial Sale	03/08	J	A	
27. IRA #1: Calamos Growth Fund					Partial Sale	03/08	J	A	
28. IRA #1: Davis N.Y. Venture					Partial Sale	03/08	J	B	
29. IRA #1: Loomis Sayles Strategic Income Fund					Buy	05/02	M		
30. IRA #1: Pimco Total Return Fund					Partial Sale	03/08	J		
31. IRA #1: UBS Absolute Return Fund					Partial Sale	03/08	J	A	
32. IRA #1: UBS Dynamic Alpha Fund					Partial Sale	03/08	J	A	
33. IRA #1: UBS Pace MM Investment Fund					Partial Sale	01/25	J		
34. IRA #1: UBS Pace MM Investment Fund					Partial Sale	03/08	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting <b>Sedwick, John W</b>	Date of Report 03/26/2007
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	35. IRA #1: UBS Pace MM Investment Fund					Partial Sale	04/24	J	
36. IRA #1: UBS Pace MM Investment Fund					Partial Sale	07/25	J		
37. IRA #1: UBS Pace MM Investment Fund					Partial Sale	10/25	J		
38. IRA #1: US Gov't Securities									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

1. Part VII: In 2005, IRA #2 was rolled into IRA #1 leaving me with one IRA. Although I now have only that one IRA, I have elected to continue calling it IRA#1 for simplicity in completing the report.
2. Part VII: IRA #1 had minor additional income as follows: An asset formerly owned named R&D Partners is still being wound up and small distributions in connection with winding up its affairs were received on 4/04, 6/29, 10/06 and 12/29. The first two were associated with an unvalued interest called Centocor CPR and the second two with an unvalued interest called Genzyme CPR. Each payment had income code A.
3. Part VII: Funds on deposit with the State of Alaska related to [REDACTED] retirement from the State of Alaska were rolled into her IRA during 2006. However, movement of the funds on deposit did not alter her pension. She continues to receive a pension from the State of Alaska which is reflected in Part III. B.
4. Part VII: Two of the three bonds listed under the UBS Accounts entry were purchased in 2005, but the purchases were overlooked and not listed on the 2005 report. They are the Cleveland Ohio Airport Bond purchased on 10/24/05 and sold on 12/18/06 and the Metropolitan Transit Authority NY Bond purchased on 11/09/05 and sold on 1/05/06.
5. Part VII: In 2005 cash in IRA #1 was held in the "Retirement Money Fund" and that fund was listed in Part VII. In 2006, the IRA custodian ceased to use that fund and instead has held cash in "UBS Bank Deposits." According to the custodian the deposits are in FDIC insured institutions, but the identity of the institutions has not been disclosed. Total cash on hand at the end of the year was less than \$500.



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Name of Person Reporting Sedwick, John W	Date of Report 03/26/2007
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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature  Date 3/26/07

NOTE: ANY DELAY OR FAILURE TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544