AO 10 Rev. 1/2006

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2005

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
NEWMAN, Jon O	U.S.C.A., Second Circuit	04/24/2006
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	5a. Report Type (check appropriate type)	6. Reporting Period
Senior Circuit Judge	☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	01/01/2005 to 12/31/2005
7. Chambers or Office Address	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.	
U.S. Courthouse 450 Main Street Hartford, CT 06103	Reviewing Officer________ Date________	

***IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of instructions.)*

☐ NONE *(No reportable positions.)*

POSITION	NAME OF ORGANIZATION/ENTITY
1. Corporator	Hartford Hospital
2. Corporator	Institute of Living
3. Elector	Hartford Atheneum
4. Life Regent	University of Hartford
5. Trustee	[redacted] Charit. Rem. Unitrust
6. Board Member	American Judicature Society
7. Board Member	Connecticut Appleseed Foundation
8. Chair	Friends of the Library of the Supreme Court of Israel, Inc.
9. Board Member	Endowment Foundation of the Jewish Federation of Greater Hartford, Inc.
10. Executor	Estate of [redacted]

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of instructions.)*

☒ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1.	
2.	
3.	

Name of Person Reporting	Date of Report
NEWMAN, Jon O	04/24/2006

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)*

A. Filer's Non-Investment Income

[X] NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			
5.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

[X] NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		
5.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

[X] NONE *(No reportable reimbursements.)*

	SOURCE	DESCRIPTION
1.		
2.		
3.		
4.		
5.		

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NEWMAN, Jon O	04/24/2006

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*

[ ] NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1. Waiver of annual dues	Hartford Club	$ 1666.5
2. Partial reduction of annual dues	Tumble Brook Country Club	$ 4478.0
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-34 of instructions.)*

[X] NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

Name of Person Reporting	Date of Report
NEWMAN, Jon O	04/24/2006

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
						If not exempt from disclosure			
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BANK ACCOUNTS AND CASH:									
2. Bank of America -- West Htfd. CT., Checking Acct.	A	Interest	L	T					
3. [redacted]ank of America -- West Htfd., CT., Checking Acct.		None	J	T	Closed	1/10	J		
4. Schwab 1, Htfd., Conn., Money Market Acct.	A	Interest	J	T					
5. [redacted]Webster Bank, West Htfd., CT, Checking Acct.	A	Interest	K	T	Closed	6/1	K		
6. [redacted]mith Barney, New Haven, CT., Money Market Acct.	D	Interest	M	T					
7. Smith Barney, New Haven, CT., Money Market Acct.	A	Interest	N	T					
8. BONDS:									
9. [redacted].S. Treasury Bond 11.5	E	Interest	M	T					
10. [redacted]Conn. Bond '09 5.4	A	Interest	K	T					
11. Houma La. Transit '11	C	Interest	L	T					
12. Intermountain Utah Power '16	C	Interest	L	T	Called	7/21	L	D	
13. Jeff. Par. La. Hosp. '09	A	Interest	J	T					
14. Lafayette La. Transit '11	B	Interest	K	T					
15. W. Monroe La. '10	B	Interest	K	T					
16. W. Monroe La. '11	A	Interest	J	T					
17. Rapides Par. La. '10	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
						If not exempt from disclosure			
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. [redacted] T G.O. '08 5.12	D	Interest	M	T					
19. CT Spl. Assmt. '07 5.1	B	Interest	K	T					
20. CT G.0. '10 5.2	B	Interest	K	T					
21. [redacted] CT G.0. '10 5.0	B	Interest	K	T					
22. CT Health '12 6.5	C	Interest	L	T					
23. [redacted] CT HFA '08 4.625	B	Interest	K	T					
24. [redacted] Conn. Health 5.25 '07	B	Interest	K	T					
25. [redacted] Conn. HFA 4.375 '08	B	Interest	K	T					
26. [redacted] Conn. G.0. 5.0 '09	B	Interest	L	T					
27. [redacted] Conn. 5.125 '11	B	Interest	L	T					
28. [redacted] Conn. G.0 5.0 '11	A	Interest	K	T					
29. [redacted] Conn. Spl. Tax 5.0 '12	B	Interest	L	T					
30. Conn. Health 4.9 '13	B	Interest	K	T					
31. Cheshire 5.0 '13	B	Interest	L	T					
32. Conn Refunding '06 5.0	B	Interest	L	T					
33. Conn. Housing '07 3.4	B	Interest	K	T					
34. Conn. Refunding '09 5.25	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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NEWMAN, Jon O	04/24/2006

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)*

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure (2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Stratford '10 3.0	A	Interest	K	T					
36. New Haven '17 6.0	B	Interest	K	T					
37. Conn. G.O. '10 5.375	A	Interest	K	T	Called	10/3	K		
38. Conn. Spl. Tax '05 5.0	B	Interest	K	T	Matured	10/3	K		
39. Conn. Refunding '08 5.25	A	Interest	J	T					
40. [redacted] Norwalk '05 4.0	B	Interest	K	T	Matured	10/3	K		
41. E. Hampton '05 3.375	B	Interest	K	T	Matured	3/15	K		
42. E. Hampton '07 3.375	B	Interest	K	T					
43. CT G.O. '06 0		None	K	T					
44. CT Refunding '05 5.0	B	Interest	K	T	Matured	12/15	K		
45. CT 6.0 '08 4.875	B	Interest	K	T					
46. Univ. CT. '07 4.25	B	Interest	K	T					
47. [redacted] New Haven '07 4.0	B	Interest	L	T					
48. Meriden '11 3.75		None	L	T					
49. UConn '10 5.0	B	Interest	L	T					
50. Stamford '10 3.0	B	Interest	L	T					
51. CT Rfdg '09 4.5	B	Interest	L	T					

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3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure (2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. South Central CT '06 2.0	A	Interest	L	T					
53. [redacted] Norwalk '10 4.25	B	Interest	L	T					
54. [redacted] CT Clean Water '06 4.0	B	Interest	L	T					
55. [redacted] Canton '07 3.5	A	Interest	K	T					
56. E. Haven CT '08 3.0	A	Interest	K	T	Buy	8/15	K		
57. CT G.0 '10 4.9	A	Interest	K	T	Buy	8/15	K		
58. CT Tax '12 4.3	A	Interest	J	T	Buy	8/15	J		
59. Seymour CT '13 3.5	A	Interest	K	T	Buy	8/15	K		
60. Milford CT '13 5.4	A	Interest	K	T	Buy	8/31	K		
61. UConn '14 5.25	A	Interest	J	T	Buy	8/31	J		
62. Brookfield CT '11 4.25	A	Interest	K	T	Buy	9/12	K		
63. CT Spl. Tax '12 5.5	A	Interest	K	T	Buy	9/19	K		
64. New London CT '11 5.0	A	Interest	K	T	Buy	9/19	K		
65. STOCKS:				T					
66. [redacted] Dupont	C	Dividend	M	T					
67. [redacted] Exxon Mobil	A	Dividend	M	T					
68. [redacted] G.E.	B	Dividend	M	T					

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69. [redacted] Norfolk Southern	A	Dividend	J	T					
70. [redacted] Royal Dutch Petroleum	C	Dividend	M	T					
71. [redacted] M & T Bank	A	Dividend	L	T					
72. [redacted] Pfizer	A	Dividend	L	T					
73. [redacted] Insteel		None	J	T					
74. Air Products	B	Dividend	M	T					
75. Johnson Contr.	C	Dividend	M	T					
76. Merck	D	Dividend	N	T					
77. [redacted] Alexander and Baldwin	A	Dividend	K	T					
78. [redacted] Royce Trust	B	Dividend	L	T					
79. [redacted] Bethlehem Steel		None	J	T					
80. [redacted] Corning		None	K	T					
81. [redacted] Cummings Engine	A	Dividend	K	T					
82. Minn. Mining	B	Dividend	L	T					
83. Johnson & Johnson	B	Dividend	L	T					
84. Enron		None	J	T					
85. Microsoft	C	Dividend	L	T					

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FINANCIAL DISCLOSURE REPORT

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86. Cisco		None	L	T					
87. Total Fina	D	Dividend	M	T					
88. [redacted] Honeywell	A	Dividend	K	T					
89. [redacted] Lucent		None	J	T					
90. [redacted] Pitney Bowes	A	Dividend	K	T					
91. [redacted] Devon Energy	A	Dividend	K	T					
92. [redacted] FNMA	A	Dividend	K	T					
93. [redacted] Philips	A	Dividend	K	T					
94. [redacted] Tyco	A	Dividend	K	T					
95. Nokia	A	Dividend	J	T					
96. Red Hat		None	J	T					
97. Pfizer	B	Dividend	K	T					
98. Medco		None	K	T					
99. Calamos Fund	B	Dividend	L	T					
100. Smith Barney Capital Fund	A	Dividend	L	T					
101. OTHER:									
102. Trust held by Schwab & Co. (Pooled Funds & State Bonds)	D	Dividend	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
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103. [redacted] Trust held by Schwab & Co. (pooled funds & state bonds)	E	Dividend	N	T					
104. [redacted]/2 Int. W. Htfd., CT., Condo purchased 8/9/88 $115,000		None	L	R					
105. Thames & Hudson Royalty Contract	C	Royalty	J	W					
106. [redacted] Charitable Remainder Unitrust, consisting	E	Interest	O	T	Terminated	5/19	O		
107. of Municipal Bonds:									
108. - Houma La. '10									
109. - Jefferson Par. La. '16									
110. - Prudential money mkt fund									
111. - Camden, N.J. '14									
112. - Pike Wash, '11									
113. - Salt River, Ariz. '16									
114. - West Lane, OR. '12									
115. - Port Seattle, WA '17									
116. - San Bernadino, CA '16									
117. - East Bay, CA '16									
118. - Manatee, FL '21									
119. - Middlesex City, N.J. '19									

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A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
						If not exempt from disclosure			
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - Mass. Health 4.5 '14									
121. - Baton Rouge, LA '17 4.7									
122. [redacted]Condo, University Park, FL purchased 4/9/99, $179,000	C	Rent	M	R					
123. AIG Annuity (IRA)	B	Interest	K	T					
124. [redacted]AIG Annuity (IRA)	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
NEWMAN, Jon O	04/24/2006

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

PART VII:

Lines 2 and 3: Fleet Bank was acquired by Bank of America.

Lines 3, 5, 6, 9, 10, 18, 21, 23-29, 40, 47, 53-55, 66-73, 77-81, 88-94: All assets owned by [redacted] became the property of the Estate of [redacted] at her death on February 8, 2005.

Name of Person Reporting	Date of Report
NEWMAN, Jon O	04/24/2006

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature [redacted] Date 4/25/06

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544