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Rev. 1/2010

## FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

<b>1. Person Reporting</b> (last name, first, middle initial) NEWMAN, Jon O.	<b>2. Court or Organization</b> U.S.C.A., Second Circuit	<b>3. Date of Report</b> 05/11/2010
<b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior Circuit Judge	<b>5a. Report Type</b> (check appropriate type) <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b> 01/01/2009 to 12/31/2009
<b>7. Chambers or Office Address</b> U.S. Courthouse 450 Main Street Hartford, CT 06103	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	
<b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

### I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Corporator	Hartford Hospital
2. Corporator	Institute of Living
3. Elector	Hartford Atheneum
4. Life Regent	University of Hartford
5. Board Member	Connecticut Appleseed Foundation
6. Chair	Friends of the Library of the Supreme Court of Israel, Inc.
7. Committee Member	Endowment Foundation of the Jewish Federation of Greater Hartford, Inc.
8. Trustee	No. 1 Trust

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### II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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**III. NON-INVESTMENT INCOME.** (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

**A. Filer's Non-Investment Income**

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 10/11/09	Hartford Courant - for article	\$40.00
2.		
3.		
4.		

**B. Spouse's Non-Investment Income** - If you were married during any portion of the reporting year, complete this section.  
(Dollar amount not required except for honoraria.)

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

**IV. REIMBURSEMENTS** -- transportation, lodging, food, entertainment.  
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	_____	_____	_____
2.	_____	_____	_____
3.	_____	_____	_____
4.	_____	_____	_____
5.	_____	_____	_____

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	_____	_____	_____
2.	_____	_____	_____
3.	_____	_____	_____
4.	_____	_____	_____
5.	_____	_____	_____

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. BANK ACCOUNTS AND CASH:									
2. Bank of America -- West Htfd. CT., Checking Acct.	A	Interest	L	T					
3. Smith Barney, New Haven, CT., Money Market Acct.	A	Interest	M	T					
4. <input checked="" type="checkbox"/> People's Bank	A	Interest	J	T					
5. <input checked="" type="checkbox"/> Sovereign Bank	A	Interest	K	T					
6. BONDS:									
7. U.S. Treasury Bond 11.5	E	Interest			Redeemed	11/16/09	N		
8. Houma La. Transit '11	C	Interest	L	T					
9. Lafayette La. Transit '11	B	Interest	K	T					
10. W. Monroe La. '10	B	Interest			Matured	08/03/09	J		
11. W. Monroe La. '11	A	Interest	J	T					
12. CT Health '12 6.5	C	Interest			Redeemed	07/01/09	J		
13. Conn. Health 4.9 '13	B	Interest	K	T					
14. Cheshire 5.0 '10	B	Interest	L	T					
15. Conn. Refunding '09 5.25	B	Interest			Matured	03/16/09	K		
16. Stratford '10 3.0	A	Interest	K	T					
17. New Haven '17 6.0	B	Interest			Redeemed	11/02/09	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Date of Report

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NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Meriden '11 3.75	B	Interest	L	T					
19. Stamford '10 3.0	B	Interest	L	T					
20. CT Rfdg '09 4.5	B	Interest			Matured	03/16/09	K		
21. CT GO '10 4.9	B	Interest	K	T					
22. CT Tax '12 4.3	A	Interest	J	T					
23. Seymour CT '13 3.5	A	Interest	L	T					
24. Brookfield CT '11 4.25	B	Interest	K	T					
25. CT Spl. Tax '12 5.5	B	Interest	K	T					
26. Groton '12 4.0	B	Interest	L	T					
27. CT '13 4.25	B	Interest	L	T					
28. Puerto Rico '14 5.25	C	Interest	K	T					
29. CT Refunding '11 5.5	C	Interest			Redeemed	12/15/09	K		
30. Shreveport LA '10 6.75	B	Interest			Redeemed	09/01/09	J		
31. S.E. Texas Hosp. '09 7.5	B	Interest			Matured	12/01/09	J		
32. Bridgeport '11 4.25	B	Interest	L	T					
33. CT Clean Water '10 4.5	B	Interest			Redeemed	07/15/09	K		
34. Bridgeport '12 5.0	B	Interest	L	T					

1. Income Gain Codes: A = \$1,000 or less; B = \$1,001 - \$2,500; C = \$2,501 - \$5,000; D = \$5,001 - \$15,000; E = \$15,001 - \$50,000  
 (See Columns B1 and D4) F = \$50,001 - \$100,000; G = \$100,001 - \$1,000,000; H1 = \$1,000,001 - \$5,000,000; H2 = More than \$5,000,000  
 2. Value Codes: J = \$15,000 or less; K = \$15,001 - \$50,000; L = \$50,001 - \$100,000; M = \$100,001 - \$250,000  
 (See Columns C1 and D3) N = \$250,001 - \$500,000; O = \$500,001 - \$1,000,000; P1 = \$1,000,001 - \$5,000,000; P2 = \$5,000,001 - \$25,000,000  
 P3 = \$25,000,001 - \$50,000,000; P4 = More than \$50,000,000  
 3. Value Method Codes: Q = Appraisal; R = Cost (Real Estate Only); S = Assessment; T = Cash Market  
 (See Column C2) U = Book Value; V = Other; W = Estimated

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NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35. CT G.O. '13 5.0	B	Interest	L	T					
36. Hartford Cty. '13 3.25	C	Interest	M	T					
37. Fairfield '15 4.25	B	Interest	L	T					
38. Bridgeport '13 5.0	B	Interest	L	T					
39. CT G.O. '13 5.375	C	Interest	M	T					
40. Fairfield '09 5.0	C	Interest			Matured	07/15/09	L		
41. CT G.O. '14 5.0	C	Interest	M	T					
42. CT Health '10 4.4	B	Interest	L	T					
43. Glastonbury '13 4.25	B	Interest	L	T					
44. West Haven '10 5.5	B	Interest	L	T					
45. CT G.O. '20 5.0	C	Interest	M	T					
46. CT G.O. '10 3.5	A	Interest	K	T					
47. UConn '10 2.9	A	Interest	J	T					
48. UConn '11 3.2	A	Interest	J	T					
49. CT RFDG, '16 5.0	C	Interest	M	T	Buy	01/30/09	M		
50. CT Spl. Tax '15 2.75	B	Interest	M	T	Buy	01/30/09	M		
51. CT Health '16 5.0		None	M	T	Buy	08/04/09	M		

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52. New Haven '12 4.0		None	L	T	Buy	08/20/09	L		
53. CT Health '15 3.4	A	Interest	L	T	Buy	08/14/09	L		
54. Hartford '15 5.25		None	L	T	Buy	09/14/09	L		
55. North Branford '16 3.75	C	Interest	L	T	Buy	11/01/09	L		
56. CT G.0 '16 4.0		None	L	T	Buy	12/30/09	L		
57. STOCKS:									
58. Royal Dutch Petroleum	D	Dividend	M	T					
59. Air Products	C	Dividend	M	T					
60. Johnson Contr.	C	Dividend	M	T					
61. Merck	D	Dividend	L	T					
62. Cummings Engine	B	Dividend	L	T					
63. Minn. Mining	B	Dividend	L	T					
64. Johnson & Johnson	C	Dividend	L	T					
65. Microsoft	B	Dividend	K	T					
66. Cisco		None	L	T					
67. Total Fina	D	Dividend	M	T	Sold (part)	12/22/09	M	F	
68. Devon Energy	A	Dividend	L	T					

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69. FNMA		None	J	T	Sold (part)	12/18/09	J		
70. Medco		None	L	T					
71. Calamos Fund	A	Dividend	L	T					
72. Legg Mason Fund	B	Dividend			Sold	07/30/09	L		
73. GE	A	Dividend			Sold	12/23/09	J		
74. Henderson Fund	A	Dividend	K	T					
75. Jennison Fund		None	K	T					
76. Dreyfus Fund		None	J	T					
77. Mainstay Fund		None	K	T					
78. Arkema	A	Dividend			Sold	12/15/09	J	C	
79. Fed Max Cap Fund	A	Dividend	K	T					
80. Schwab 1000 Fund	A	Dividend	K	T					
81. OTHER:									
82. 1/2 Int. W. Hlfd., CT., Condo purchased 8/9/88 \$115,000		None	L	R					
83. Thames & Hudson Royalty Contract	C	Royalty	J	W					
84. Condo, University Park, FL purchased 4/9/99, \$179,000		None	M	R					
85. AIG Annuity (IRA)	B	Interest	K	T					

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86. AIG Annuity (IRA)	A	Interest	J	T					
87. TRUST # 1	E	Int./Div.	P1	T					
88. - Conn. Bond '09 5.4					Matured	03/16/09	J		
89. - CT G.O. '10 5.0									
90. - Conn. G.O. 5.0 '09					Matured	06/15/09	K		
91. - Norwalk '09 4.25					Matured	01/15/09	K		
92. - Dupont									
93. - Exxon Mobil									
94. - G.E.									
95. - Norfolk Southern									
96. - M & T Bank									
97. - Pfizer									
98. - Insteel									
99. - Alexander and Baldwin									
100. - Corning									
101. - Honeywell									
102. - Lucent									

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103. - Pitney Bowes									
104. - Philips									
105. - Tyco									
106. - Hartford Cty. '12 3.125									
107. - CT Health '27 5.125									
108. - New Caanan '13 3.75									
109. - CT New Money '11 3.625									
110. - Wallingford '09 5.0					Matured	06/15/09	K		
111. - Newtown '15 3.5									
112. - Ledyard '13 5.0					Buy	01/28/09	M		
113. - New Haven '20 5.0					Buy	01/28/09	K		
114. - Covidien			J	T	Spinoff (from line 105)	07/06/09	J		
115. TRUST # 2	G	Int./Div.	P1	T					
116. - Federated Govt. Fund					Sold	02/28/09	N		
117. - Hanesbrands					Sold	06/16/09	J	D	
118. - Home Depot									
119. - McDonald's									

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - General Mills									
121. - Pepsico									
122. - Procter & Gamble									
123. - Sara Lee									
124. - BP Amoco									
125. - American Express									
126. - Plum Creek Timber									
127. - Transatlantic Holdings									
128. - St. Paul Travelers									
129. - Wells Fargo					Sold	12/15/09	K		
130. - Baxter International									
131. - Cigna									
132. - Edwards Lifescience									
133. - Medco Health Solutions									
134. - TEVA Pharmaceutical					Buy (add'l)	10/13/09	J		
135. - Wyeth					Merged (with line 192)	10/16/09	K	E	
136. - Dover									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting <b>NEWMAN, Jon O.</b>	Date of Report <b>05/11/2010</b>
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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - Emerson Electric									
138. - Textron					Sold	6/11/09	J	A	
139. - Minnesota Mining									
140. - Agilent									
141. - Automatic Data									
142. - Intel									
143. - Microsoft									
144. - RPM Int'l									
145. - Windstream					Sold	06/11/09	J	C	
146. - Dominion Resources									
147. - University CT '09 3.35					Matured	02/17/09	K		
148. - Colchester CT '09 3.375					Matured	06/16/09	K		
149. - Stamford CT '09 4.0					Matured	11/02/09	K		
150. - CT Housing '09 3.6					Matured	11/16/09	K		
151. - Southbury CT '10 3.2									
152. - Windsor CT '10 4.0					Redeemed	07/15/09	J		
153. - CT State '10 3.45									

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Date of Report

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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - CT State '10 2.9									
155. - Cromwell CT '10 3.75									
156. - Simsbury CT '11 3.75									
157. - Glastonbury CT '11 3.75									
158. - Ledyard CT '11 3.5									
159. - Waterbury CT '11 4.0									
160. - CT State '11 4.4					Redeemed	09/01/09	L	A	
161. - Stonington CT '11 4.0									
162. - Bristol CT '11 3.0									
163. - Wethersfield CT '12 3.1									
164. - CT State '12 4.0									
165. - Regional School 12 3.65									
166. - Easton CT '12 3.5									
167. - CT State '13 3.5									
168. - CT State '13 4.0									
169. - Regional School 13 3.75									
170. - Orange CT '13 3.4									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. - Tolland CT '13 4.0									
172. - University CT '14 3.7									
173. - CT State '14 4.0									
174. - Glastonbury '14 3.75									
175. - CT State '14 4.0									
176. - South Central Water '15 4.0									
177. - Groton CT '15 3.75									
178. - CT State '15 3.5									
179. - Becton									
180. - T. Rowe Price									
181. - CT Hsg. Fin. '16 3.9									
182. - CT Health '15 3.5									
183. - CT Health '17 3.5									
184. - Hartford County '18 3.5									
185. - Watertown CT '17 4.0									
186. - Dentsply					Buy	02/03/09	K		
187. - CT Elec. '16 4.0					Buy	04/03/09	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$30,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. - CT '14 3.3					Buy	05/04/09	L		
189. - IBM					Buy	06/11/09	K		
190. - Southington CT '18 3.5					Buy	07/02/09	L		
191. - Plymouth CT '17 3.0					Buy	09/09/09	K		
192. - Pfizer					Distributed	10/16/09	K		
193. - Bethel CT '17 3.0					Buy	11/30/09	L		
194. - Guilford CT '18 3.0					Buy	12/07/09	L		
195. - People's United Fin.					Buy	12/15/09	K		
196. - New Alliance Bank					Buy	02/28/09	M		
197. <input checked="" type="checkbox"/> TRUST #3	F	Int./Div.	P1	T					
198. - Staples									
199. - Target									
200. - Pepsico									
201. - Procter & Gamble									
202. - Apache									
203. - ExxonMobil									
204. - Weatherford									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting <b>NEWMAN, Jon O.</b>	Date of Report <b>05/11/2010</b>
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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. - JP Morgan Chase									
206. - Bank of New York									
207. - Wells Fargo									
208. - Abbott Labs									
209. - Johnson & Johnson									
210. - Medtronic									
211. - Schein Henry									
212. - TEVA Pharmaceutical									
213. - Minnesota Mining									
214. - Danaher									
215. - Emerson Electric									
216. - G.E.									
217. - United Technologies									
218. - Accenture					Sold (part)	02/25/09	J		
219. - Adobe									
220. - Automated Data Processing									
221. - Intel									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	



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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)

222. - IBM									
223. - Microsoft									
224. - Qualcomm					Sold	05/19/09	K		
225. - Air Products									
226. - Ecolab									
227. - MDU Resources									
228. - Fidelity Int'l Fund									
229. - Federated Govt. Fund					Sold	02/28/09	M		
230. - Colchester CT '09 3.375					Matured	06/15/09	K		
231. - CT State '10 3.0									
232. - Bristol '11 3.0									
233. - CT State '11 3.125									
234. - Southbury CT '11 3.75									
235. - Wethersfield CT '12 3.1									
236. - CT State '12 4.0									
237. - Jacksonville FL '12 5.375					Redeemed (part)	07/01/09	J	A	
238. - Regional School '12 3.65									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. - N.J. State '13 5.7					Redeemed (part)	05/01/09	J	A	
240. - Orange CT '13 3.4									
241. - Easton CT '13 3.625									
242. - University CT '14 3.7									
243. - Glastonbury CT '14 3.75									
244. - ATT									
245. - Thermo Fisher									
246. - General Dynamics									
247. - T. Rowe Price									
248. - Dentsply									
249. - Telefonica									
250. - Kraft Foods					Sold	05/12/09	K		
251. - Apple									
252. - CT ST '14 3.0									
253. - CT HSG, '17 5.350									
254. - Noble									
255. - Oracle									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. - CVS					Buy	02/23/09	K		
257. - Nike Class B					Buy	05/12/09	K		
258. - Southington CT '18 3.5					Buy	07/02/09	L		
259. - People's United Fin.					Buy	07/13/09	K		
260. - Research in Motion					Buy	07/13/09	K		
261. - CT High '17 3.85					Buy	08/06/09	K		
262. - New Alliance Bank					Buy	02/28/09	M		
263. ■ TRUST #4	E	Int./Div.	P1	T					
264. - Staples									
265. - Target									
266. - Pepsico									
267. - Procter & Gamble									
268. - ExxonMobil									
269. - Noble									
270. - JP Morgan Chase									
271. - Bank of New York									
272. - Wells Fargo									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. - Abbott Labs									
274. - Johnson & Johnson									
275. - Medtronic									
276. - Schein Henry									
277. - TEVA Pharmaceutical									
278. - Danaher									
279. - Emerson Electric									
280. - G.E.									
281. - United Technologies									
282. - Accenture					Sold (part)	02/25/09	J		
283. - Automatic Data Processing									
284. - Cisco									
285. - Intel									
286. - IBM									
287. - Microsoft									
288. - Ecolab									
289. - MDU Resources									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

290. - Dodge & Cox Int'l Fund									
291. - Fidelity Int'l Fund									
292. - Federated Govt. Fund					Sold	02/28/09	L		
293. - Colchester CT '09 3.375					Matured	06/15/09	K		
294. - CT State '10 3.0									
295. - Bristol CT '11 3.0									
296. - CT State '11 3.8									
297. - Wethersfield CT '12 3.1									
298. - CT State '12 4.0									
299. - Regional School '12 3.65									
300. - Orange CT '13 3.4									
301. - Easton CT '13 3.625									
302. - University CT '14 3.7									
303. - Glastonbury CT '14 3.75									
304. - U.S. Treasury '18 9.0									
305. - Somers CT 4.0 '10									
306. - CT State '11 3.125									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting <b>NEWMAN, Jon O.</b>	Date of Report 05/11/2010
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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. - ATT									
308. - Thermo Fisher									
309. - Ebay					Sold	02/20/09	J		
310. - General Dynamics									
311. - Oracle									
312. - Kraft Foods					Sold	05/12/09	K		
313. - Apple									
314. - Dentsply					Buy	02/06/09	J		
315. - Nike Class B					Buy	05/01/09	J		
316. - Southington CT '18 3.5					Buy	07/02/09	L		
317. - New Alliance Bank					Buy	02/28/09	L		
318. IRA consisting of Stocks and Corporate Bonds:	E	Int./Div.	P1	T					
319. - Walt Disney									
320. - McDonalds									
321. - Staples									
322. - Pepsico									
323. - Procter & Gamble									

1. Income Gain Codes: (See Columns B) and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. - ExxonMobil									
325. - Bank of New York									
326. - Johnson & Johnson									
327. - Medtronic									
328. - Emerson Electric									
329. - G.E.									
330. - Automated Data									
331. - Intel									
332. - I.B.M.									
333. - Microsoft									
334. - Oracle									
335. - Ecolab									
336. - Verizon									
337. - Federated Govt. Fund					Sold	02/28/09	L		
338. - Morgan Stanley '09 3.875					Matured	01/15/09	K		
339. - Anheuser Busch '10 5.625									
340. - Prudential '11 5.1									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
341. - Goldman Sachs '12 5.7									
342. - Kimberly Clark '13 5.0									
343. - Pitney Bowes '14 4.875									
344. - Abbott Labs									
345. - United Technologies									
346. - Danaher Corp.									
347. - ATT									
348. - Thermo Fisher									
349. - Dodge & Cox Fund									
350. - CVS									
351. - G.E. '14 5.65									
352. - Target									
353. - Walt Disney '11 5.7									
354. - Accenture									
355. - Bell South '16 5.2									
356. - Lowes '15 5.0									
357. - Fidelity					Sold	04/08/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	



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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. - Caterpillar '15 4.75									
359. - Bank of America '16 5.75									
360. - Goldman Sachs M/C Fund					Buy	04/08/09	J		
361. - TEVA					Buy	10/13/09	J		
362. - New Alliance Bank					Buy	02/28/09	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 I12 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

Part VII, line 85: Identification of Trust changed per instructions.

Part VII, line 135: Wyeth shares were exchanged in merger for shares of Pfizer and cash. See also line 192.

Part VII, line 152: The entry for Windsor CT '10 4.0 appeared as a duplicate entry on lines 162 and 163 of Part VII of my 2008 report, and now appears as a single entry on line 152 of this report.

Part VII, line 155: Interest rate is 3.75; it was incorrectly listed previously as 2.9.

Part VII, line 192: Pfizer shares were distributed in connection with the merger of Wyeth into Pfizer. See also line 135.

The entry for Thermo Fisher, which was listed in Part VII, line 192, of my 2008 report, has not been listed on this report because that entry was incorrect; Thermo Fisher is an asset of Trust No. 3 (line 245), Trust No. 4 (line 308) and the IRA account (line 348), and was not purchased for Trust No. 2.

The entry for Ecolab, which was listed in Part VII, line 268, of my 2008 report, has not been listed on this report because the entry on line 268 was an additional purchase, which should have appeared on the entry for Ecolab on line 236 of the 2008 report. Ecolab now appears as a single entry on line 226 of this report.

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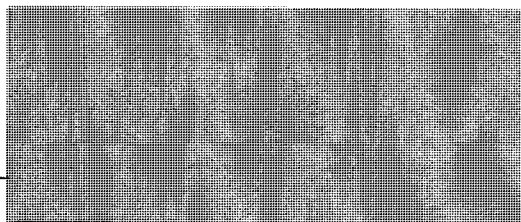
Name of Person Reporting NEWMAN, Jon O.	Date of Report 05/11/2010
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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature \_\_\_\_\_



**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544