

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2010**

<b>1. Person Reporting (last name, first, middle initial)</b>  NEWMAN, Jon O.	<b>2. Court or Organization</b>  U.S.C.A., Second Circuit	<b>3. Date of Report</b>  05/04/2011
<b>4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time)</b>  Senior Circuit Judge	<b>5a. Report Type (check appropriate type)</b> <input type="checkbox"/> Nomination, <input type="checkbox"/> Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b>  01/01/2010 to 12/31/2010
<b>7. Chambers or Office Address</b>  U.S. Courthouse 450 Main Street Hartford, CT 06103	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	
<b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Corporator	Hartford Hospital
2. Corporator	Institute of Living
3. Elector	Hartford Atheneum
4. Life Regent	University of Hartford
5. Board Member	Connecticut Appleseed Foundation
6. Chair	Friends of the Library of the Supreme Court of Israel, Inc.
7. Committee Member	Endowment Foundation of the Jewish Federation of Greater Hartford, Inc.
8. Trustee	No. 1 [REDACTED] Trust

**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

**A. Filer's Non-Investment Income**

NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1.			
2.			
3.			
4.			

**B. Spouse's Non-Investment Income** - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.		
2.		
3.		
4.		

**IV. REIMBURSEMENTS** - *transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.					
2.					
3.					
4.					
5.					

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Smith Barney	Credit Line	0
2.			
3.			
4.			
5.			

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. BANK ACCOUNTS AND CASH:									
2. Bank of America -- West Htfd. CT., Checking Acct.	A	Interest	K	T					
3. Smith Barney, New Haven, CT., Money Market Acct.	A	Interest	J	T					
4. <input checked="" type="checkbox"/> People's Bank	A	Interest	J	T					
5. <input checked="" type="checkbox"/> Sovereign Bank	A	Interest	K	T					
6. BONDS:									
7. Houma La. Transit '11	C	Interest	L	T					
8. Lafayette La. Transit '11	B	Interest	K	T					
9. W. Monroe La. '11	A	Interest	J	T					
10. Conn. Health 4.9 '13	B	Interest	K	T					
11. Cheshire 5.0 '10	B	Interest			Matured	11/01/10	L		
12. Stratford '10 3.0	A	Interest			Matured	02/16/10	K		
13. Meriden '11 3.75	B	Interest	L	T					
14. Stamford '10 3.0	B	Interest			Matured	09/01/10	L		
15. CT G.O.'10 4.9	B	Interest			Matured	06/15/10	K		
16. CT Tax '12 4.3	A	Interest	J	T					
17. Seymour CT '13 3.5	A	Interest	L	T					

1. Income Gain Codes: A = \$1,000 or less  
(See Columns B1 and D4) F = \$50,001 - \$100,000  
2. Value Codes J = \$15,000 or less  
(See Columns C1 and D3) N = \$250,001 - \$500,000  
3. Value Method Codes P3 = \$25,000,001 - \$50,000,000  
(See Column C2) Q = Appraisal  
R = Cost (Real Estate Only)  
S = Assessment  
T = Cash Market  
U = Book Value  
V = Other  
W = Estimated

C = \$2,501 - \$5,000  
H1 = \$1,000,001 - \$5,000,000  
L = \$50,001 - \$100,000  
P1 = \$1,000,001 - \$5,000,000  
P4 = More than \$50,000,000

D = \$5,001 - \$15,000  
H2 = More than \$5,000,000  
M = \$100,001 - \$250,000  
P2 = \$5,000,001 - \$25,000,000

E = \$15,001 - \$50,000

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NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Brookfield CT '14 3.5	B	Interest	K	T					
19. CT Spl. Tax '12 5.5	B	Interest	K	T					
20. Groton '12 4.0	B	Interest	L	T					
21. CT '13 4.25	B	Interest	L	T					
22. Puerto Rico '14 5.25	C	Interest	K	T					
23. Bridgeport '11 4.25	B	Interest	L	T					
24. Bridgeport '12 5.0	B	Interest	L	T					
25. CT G.O. '13 5.0	B	Interest	L	T					
26. Hartford Cty. '13 3.25	C	Interest	M	T					
27. Fairfield '15 4.25	B	Interest	L	T					
28. Bridgeport '13 5.0	B	Interest	L	T					
29. CT G.O. '13 5.375	C	Interest	M	T					
30. CT G.O. '14 5.0	C	Interest	M	T					
31. CT Health '10 4.4	B	Interest			Matured	07/01/10	K		
32. Glastonbury '13 4.25	B	Interest	L	T					
33. West Haven '10 5.5	B	Interest			Matured	12/15/10	K		
34. CT G.O. '20 5.0	C	Interest	M	T					

1. Income Gain Codes:  
(See Columns B1 and D4)

A = \$1,000 or less  
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500  
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000  
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000  
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes  
(See Columns C1 and D3)

J = \$15,000 or less  
N = \$250,001 - \$500,000  
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000  
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000  
P1 = \$1,000,001 - \$5,000,000  
P4 = More than \$50,000,000

M = \$100,001 - \$250,000  
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes  
(See Column C2)

Q = Appraisal  
U = Book Value

R = Cost (Real Estate Only)  
V = Other

S = Assessment  
W = Estimated

T = Cash Market

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NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
		Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.	CT G.O.'10 3.5	A	Interest			Matured	12/01/10	K		
36.	UConn '10 2.9	A	Interest			Matured	02/10/10	J		
37.	UConn '11 3.2	A	Interest	J	T					
38.	CT RFDG,'16 5.0	C	Interest	M	T					
39.	CT Spl. Tax '15 2.75	B	Interest	M	T					
40.	CT Health '16 5.0	C	Interest	M	T					
41.	New Haven '12 4.0	B	Interest	L	T					
42.	CT Health '15 3.4	A	Interest	L	T					
43.	Hartford '15 5.25	C	Interest	L	T					
44.	North Branford '16 3.75	C	Interest	L	T					
45.	CT G.O.'16 4.0	B	Interest	L	T					
46.	Norwich '13 4.375	B	Interest	L	T	Buy	01/19/10	L		
47.	UConn.'16 5.0	B	Interest	L	T	Buy	01/19/10	L		
48.	Darien '14 2.0	A	Interest	L	T	Buy	01/22/10	L		
49.	Brookfield '14 3.5	B	Interest	L	T	Buy	03/03/10	L		
50.	Stratford '13 3.25	A	Interest	L	T	Buy	03/03/10	L		
51.	CT Hsg. Fin. '12 0.9	A	Interest	L	T	Buy	03/31/10	L		

1. Income Gain Codes: A = \$1,000 or less  
(See Columns B1 and D4) F = \$50,001 - \$100,000 B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000  
2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000  
(See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000  
3. Value Method Codes P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000 Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market  
(See Column C2) U = Book Value V = Other W = Estimated

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	52. UConn '12 3.0	B	Interest	M	T	Buy	07/08/10	M	
53. Shelton '17 2.125		None	K	T	Buy	07/27/10	K		
54. CT G.O '16 5.0		None	L	T	Buy	07/23/10	L		
55. CT Ser. C '16 5.0		None	L	T	Buy	07/24/10	L		
56. Danbury '19 2.5		None	L	T	Buy	08/24/10	L		
57. STOCKS:									
58. Royal Dutch Petroleum	D	Dividend	M	T					
59. Air Products	C	Dividend	M	T					
60. Johnson Contr.	C	Dividend	M	T					
61. Merck	D	Dividend	M	T					
62. Cummings Engine	B	Dividend	N	T					
63. Minn. Mining	B	Dividend	L	T					
64. Johnson & Johnson	C	Dividend	L	T					
65. Microsoft	B	Dividend	L	T					
66. Cisco		None	L	T					
67. Total Fina	D	Dividend	M	T					
68. Devon Energy	A	Dividend	L	T					

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(See Columns B1 and D4) F = \$50,001 - \$100,000  
2. Value Codes J = \$15,000 or less  
(See Columns C1 and D3) N = \$250,001 - \$500,000  
P3 = \$25,000,001 - \$50,000,000  
3. Value Method Codes Q = Appraisal  
(See Column C2) U = Book Value

B = \$1,001 - \$2,500  
G = \$100,001 - \$1,000,000  
K = \$15,001 - \$50,000  
O = \$500,001 - \$1,000,000  
R = Cost (Real Estate Only)  
V = Other

C = \$2,501 - \$5,000  
H1 = \$1,000,001 - \$5,000,000  
L = \$50,001 - \$100,000  
P1 = \$1,000,001 - \$5,000,000  
P4 = More than \$50,000,000  
S = Assessment  
W = Estimated

D = \$5,001 - \$15,000  
H2 = More than \$5,000,000  
M = \$100,001 - \$250,000  
P2 = \$5,000,001 - \$25,000,000  
T = Cash Market

E = \$15,001 - \$50,000

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	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. FNMA		None	J	T					
70. Medco		None	M	T					
71. Calamos Fund	A	Dividend	M	T					
72. Henderson Fund	A	Dividend	K	T					
73. Jennison Fund		None	K	T					
74. Dreyfus Fund		None	K	T					
75. Mainstay Fund		None	K	T					
76. Fed Max Cap Fund	A	Dividend	K	T					
77. Schwab 1000 Fund	A	Dividend	K	T					
78. OTHER:									
79. 1/2 Int. W. Hlfd., CT., Condo purchased 8/9/88 \$115,000		None	L	R					
80. Thames & Hudson Royalty Contract	A	Royalty	J	W					
81. Condo, University Park, FL purchased 4/9/99, \$179,000		None	M	R					
82. AIG Annuity (IRA)	B	Interest	K	T					
83. AIG Annuity (IRA)	A	Interest	J	T					
84. TRUST # 1	E	Int./Div.	PI	T					
85. - CT G.O. '10 5.0					Matured	06/15/10	K		

- |  |   |  |   |  |                         |
|--|---|--|---|--|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value   | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated   | T = Cash Market  |                         |



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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86. - Dupont									
87. - Exxon Mobil									
88. - G.E.									
89. - Norfolk Southern									
90. - M & T Bank									
91. - Pfizer									
92. - Insteel									
93. - Alexander and Baldwin									
94. - Corning									
95. - Honeywell									
96. - Lucent									
97. - Pitney Bowes									
98. - Philips									
99. - Tyco Int'l.									
100. - Hartford Cty. '12 3.125									
101. - CT Health '27 5.125					Redeemed	03/18/10	K		
102. - New Caanan '13 3.75									

- 1. Income Gain Codes:      A =\$1,000 or less                      B =\$1,001 - \$2,500                      C =\$2,501 - \$5,000                      D =\$5,001 - \$15,000                      E =\$15,001 - \$50,000  
 (See Columns B1 and D4)      F =\$50,001 - \$100,000                      G =\$100,001 - \$1,000,000                      H1 =\$1,000,001 - \$5,000,000                      H2 =More than \$5,000,000
- 2. Value Codes                      J =\$15,000 or less                      K =\$15,001 - \$50,000                      L =\$50,001 - \$100,000                      M =\$100,001 - \$250,000  
 (See Columns C1 and D3)      N =\$250,001 - \$500,000                      O =\$500,001 - \$1,000,000                      P1 =\$1,000,001 - \$5,000,000                      P2 =\$5,000,001 - \$25,000,000  
    P3 =\$25,000,001 - \$50,000,000                      P4 =More than \$50,000,000
- 3. Value Method Codes                      R =Cost (Real Estate Only)                      S =Assessment                      T =Cash Market  
 (See Column C2)                      U =Book Value                      V =Other                      W =Estimated

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	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103. - CT New Money '11 3.625									
104. - Newtown '15 3.5									
105. - Ledyard '13 5.0									
106. - New Haven '20 5.0									
107. - Covidien									
108. - Tyco					Spinoff (from line 99)	07/06/07	J		
109. - CT Hlth & Ed. '27 5.125					Buy	03/15/10	K		
110. - CT Spl. Tax '20 3.9					Buy	08/11/10	L		
111. - Smith Barney Bank Deposit Program					Buy	01/15/10	M		
112. TRUST # 2	G	Int./Div.	P1	T					
113. - Home Depot									
114. - McDonald's									
115. - General Mills					Distributed	06/09/10	J		
116. - Pepsico									
117. - Procter & Gamble									
118. - Sara Lee									
119. - BP Amoco					Sold	05/19/10	M	G	

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- B = \$1,001 - \$2,500  
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R = Cost (Real Estate Only)  
V = Other
- C = \$2,501 - \$5,000  
H1 = \$1,000,001 - \$5,000,000  
L = \$50,001 - \$100,000  
P1 = \$1,000,001 - \$5,000,000  
P4 = More than \$50,000,000  
S = Assessment  
W = Estimated
- D = \$5,001 - \$15,000  
H2 = More than \$5,000,000  
M = \$100,001 - \$250,000  
P2 = \$5,000,001 - \$25,000,000  
T = Cash Market
- E = \$15,001 - \$50,000

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NEWMAN, Jon O.

Date of Report

05/04/2011

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)	
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)	
120. - American Express										
121. - Plum Creek Timber										
122. - Transatlantic Holdings										
123. - St. Paul Travelers										
124. - Baxter International										
125. - Cigna										
126. - Edwards Lifescience										
127. - Medco Health Solutions										
128. - TEVA Pharmaceutical										
129. - Dover										
130. - Emerson Electric										
131. - Minnesota Mining										
132. - Agilent										
133. - Automatic Data										
134. - Intel										
135. - Microsoft										
136. - RPM Int'l										

- 1. Income Gain Codes:
  - A = \$1,000 or less
  - F = \$50,001 - \$100,000
  - J = \$15,000 or less
  - N = \$250,001 - \$500,000
  - P3 = \$25,000,001 - \$50,000,000
- 2. Value Codes
  - G = \$100,001 - \$1,000,000
  - K = \$15,001 - \$50,000
  - O = \$500,001 - \$1,000,000
- 3. Value Method Codes
  - R = Cost (Real Estate Only)
  - V = Other
- C = \$2,501 - \$5,000
  - H1 = \$1,000,001 - \$5,000,000
  - L = \$50,001 - \$100,000
  - P1 = \$1,000,001 - \$5,000,000
  - P4 = More than \$50,000,000
  - S = Appraisal
  - W = Estimated
- D = \$5,001 - \$15,000
  - H2 = More than \$5,000,000
  - M = \$100,001 - \$250,000
  - P2 = \$5,000,001 - \$25,000,000
  - T = Cash Market
- E = \$15,001 - \$50,000

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Name of Person Reporting

NEWMAN, Jon O.

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. - Dominion Resources									
138. - Southbury CT '10 3.2					Matured	02/01/10	K		
139. - CT State '10 3.45					Matured	11/15/10	K		
140. - CT State '10 2.9					Matured	12/01/10	K	A	
141. - Cromwell CT '10 3.75					Matured	12/15/10	K	A	
142. - Simsbury CT '11 3.75									
143. - Glastonbury CT '11 3.75									
144. - Ledyard CT '11 3.5									
145. - Waterbury CT '11 4.0									
146. - Stonington CT '11 4.0									
147. - Bristol CT '11 3.0									
148. - Wethersfield CT '12 3.1									
149. - CT State '12 4.0									
150. - Regional School 12 3.65									
151. - Easton CT '12 3.5									
152. - CT State '13 3.5									
153. - CT State '13 4.0									

- |  |   |  |   |  |                         |
|--|---|--|---|--|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value   | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated   | T = Cash Market  |                         |

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting

NEWMAN, Jon O.

Date of Report

05/04/2011

**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. - Regional School '13 3.75									
155. - Orange CT '13 3.4					Redeemed	08/15/10	K	A	
156. - Tolland CT '13 4.0									
157. - University CT '14 3.7									
158. - CT State '14 4.0									
159. - Glastonbury '14 3.75									
160. - CT State '14 4.0									
161. - South Central Water '15 4.0									
162. - Groton CT '15 3.75									
163. - CT State '15 3.5									
164. - Becton									
165. - T. Rowe Price									
166. - CT HSG. FIN. '16 3.9									
167. - CT Health '15 3.5									
168. - CT Health '17 3.5									
169. - Hartford County '18 3.5									
170. - Watertown CT '17 4.0									

- 1. Income Gain Codes:      A = \$1,000 or less                      B = \$1,001 - \$2,500                      C = \$2,501 - \$5,000                      D = \$5,001 - \$15,000                      E = \$15,001 - \$50,000  
    (See Columns B1 and D4)                      F = \$50,001 - \$100,000                      G = \$100,001 - \$1,000,000                      H1 = \$1,000,001 - \$5,000,000                      H2 = More than \$5,000,000
- 2. Value Codes                      J = \$15,000 or less                      K = \$15,001 - \$50,000                      L = \$50,001 - \$100,000                      M = \$100,001 - \$250,000  
    (See Columns C1 and D3)                      N = \$250,001 - \$500,000                      O = \$500,001 - \$1,000,000                      P1 = \$1,000,001 - \$5,000,000                      P2 = \$5,000,001 - \$25,000,000
- 3. Value Method Codes                      P3 = \$25,000,001 - \$50,000,000                      Q = Appraisal                      R = Cost (Real Estate Only)                      S = Assessment                      T = Cash Market  
    (See Column C2)                      U = Book Value                      V = Other                      W = Estimated

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting <b>NEWMAN, Jon O.</b>	Date of Report <b>05/04/2011</b>
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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171. - Dentsply									
172. - CT Elec. '16 4.0									
173. - CT '14 3.3									
174. - IBM									
175. - Southington CT '18 3.5									
176. - Plymouth CT '17 3.0									
177. - Pfizer									
178. - Bethel CT '17 3.0									
179. - Guilford CT '18 3.0									
180. - People's United Fin.									
181. - New Alliance Bank					Sold	11/18/10	M		
182. - Newton CT '16 2.25					Buy	02/24/10	L		
183. - Adobe					Buy	07/23/10	K		
184. - Smith Barney Bank Deposit Program					Buy	11/18/10	M		
185. TRUST #3	F	Int./Div.	P1	T					
186. - Staples									
187. - Target									

- |  |   |  |  |  |                         |
|--|---|--|--|--|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                     | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000        | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000                   | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000     | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | P3 = \$25,000,001 - \$50,000,000<br>Q = Appraisal<br>U = Book Value | R = Cost (Real Estate Only)<br>V = Other               | P4 = More than \$50,000,000<br>S = Assessment<br>W = Estimated | T = Cash Market  |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

NEWMAN, Jon O.

Date of Report

05/04/2011

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code I (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188. - Pepsico									
189. - Procter & Gamble									
190. - Apache									
191. - ExxonMobil									
192. - Weatherford									
193. - JP Morgan Chase									
194. - Bank of New York									
195. - Wells Fargo									
196. - Abbott Labs									
197. - Johnson & Johnson									
198. - Medtronic									
199. - Schein Henry									
200. - TEVA Pharmaceutical									
201. - Minnesota Mining									
202. - Danaher					Distributed	06/14/10	J		
203. - Emerson Electric									
204. - G.E.									

- 1. Income Gain Codes:      A = \$1,000 or less                      B = \$1,001 - \$2,500                      C = \$2,501 - \$5,000                      D = \$5,001 - \$15,000                      E = \$15,001 - \$50,000  
    (See Columns B1 and D4)                      F = \$50,001 - \$100,000                      G = \$100,001 - \$1,000,000                      H1 = \$1,000,001 - \$5,000,000                      H2 = More than \$5,000,000
- 2. Value Codes                      J = \$15,000 or less                      K = \$15,001 - \$50,000                      L = \$50,001 - \$100,000                      M = \$100,001 - \$250,000  
    (See Columns C1 and D3)                      N = \$250,001 - \$500,000                      O = \$500,001 - \$1,000,000                      P1 = \$1,000,001 - \$5,000,000                      P2 = \$5,000,001 - \$25,000,000
- 3. Value Method Codes                      Q = Appraisal                      R = Cost (Real Estate Only)                      S = Assessment                      T = Cash Market  
    (See Column C2)                      U = Book Value                      V = Other                      W = Estimated

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

NEWMAN, Jon O.

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05/04/2011

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205. - United Technologies									
206. - Accenture									
207. - Adobe									
208. - Automated Data Processing									
209. - Intel									
210. - IBM									
211. - Microsoft									
212. - Air Products									
213. - Ecolab									
214. - MDU Resources									
215. - Fidelity Int'l Fund					Sold	01/12/10	K		
216. - CT State '10 3.0					Matured	07/01/10	K		
217. - Bristol '11 3.0									
218. - CT State '11 3.125									
219. - Southbury CT '11 3.75									
220. - Wethersfield CT '12 3.1									
221. - CT State '12 4.0									

- |  |   |  |   |  |                         |
|--|---|--|---|--|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value   | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated   | T = Cash Market  |                         |



**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting

NEWMAN, Jon O.

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code I (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
222. - Jacksonville FL '12 5.375					Redeemed	07/08/10	J	A	
223. - Regional School '12 3.65									
224. - N.J. State '13 5.7					Redeemed (part)	05/03/10	J	A	
225. - Orange CT '13 3.4					Redeemed	08/16/10	K	A	
226. - Easton CT '13 3.625									
227. - University CT '14 3.7									
228. - Glastonbury CT '14 3.75									
229. - ATT									
230. - Thermo Fisher									
231. - General Dynamics									
232. - T. Rowe Price									
233. - Dentsply									
234. - Telefonica									
235. - Apple									
236. - CT ST '14 3.0									
237. - CT HSG, '17 5.350					Redeemed	04/15/10	L		
238. - Noble									

- 1. Income Gain Codes:      A = \$1,000 or less                      B = \$1,001 - \$2,500                      C = \$2,501 - \$5,000                      D = \$5,001 - \$15,000                      E = \$15,001 - \$50,000  
    (See Columns B1 and D4)                      F = \$50,001 - \$100,000                      G = \$100,001 - \$1,000,000                      H1 = \$1,000,001 - \$5,000,000                      H2 = More than \$5,000,000
- 2. Value Codes                      J = \$15,000 or less                      K = \$15,001 - \$50,000                      L = \$50,001 - \$100,000                      M = \$100,001 - \$250,000  
    (See Columns C1 and D3)                      N = \$250,001 - \$500,000                      O = \$500,001 - \$1,000,000                      P1 = \$1,000,001 - \$5,000,000                      P2 = \$5,000,001 - \$25,000,000
- 3. Value Method Codes                      Q = Appraisal                      R = Cost (Real Estate Only)                      S = Assessment                      T = Cash Market  
    (See Column C2)                      U = Book Value                      V = Other                      W = Estimated

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Name of Person Reporting

NEWMAN, Jon O.

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05/04/2011

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
239. - Oracle									
240. - CVS									
241. - Nike Class B									
242. - Southington CT '18 3.5									
243. - People's United Fin.									
244. - Research in Motion									
245. - CT High '17 3.85									
246. - New Alliance Bank					Sold	11/18/10	N		
247. - Dodge & Cox Int'l Fund					Buy	01/13/10	K		
248. - Dodge & Cox Int'l Fund					Sold	11/10/10	L	A	
249. - Griswold CT '18 3.0					Buy	02/01/10	L		
250. - Autodesk					Buy	03/10/10	K		
251. - Metlife					Buy	03/10/10	K		
252. - Marlborough CT '16 4.0					Buy	04/22/10	L		
253. - SmithBarney Bank Deposit Program					Buy	11/18/10	M		
254. <input type="checkbox"/> TRUST #4	E	Int./Div.	P1	T					
255. - Staples									

- 1. Income Gain Codes:      A = \$1,000 or less                      B = \$1,001 - \$2,500                      C = \$2,501 - \$5,000                      D = \$5,001 - \$15,000                      E = \$15,001 - \$50,000  
     (See Columns B1 and D4)      F = \$50,001 - \$100,000                      G = \$100,001 - \$1,000,000                      H1 = \$1,000,001 - \$5,000,000                      H2 = More than \$5,000,000
- 2. Value Codes                      J = \$15,000 or less                      K = \$15,001 - \$50,000                      L = \$50,001 - \$100,000                      M = \$100,001 - \$250,000  
     (See Columns C1 and D3)      N = \$250,001 - \$500,000                      O = \$500,001 - \$1,000,000                      P1 = \$1,000,001 - \$5,000,000                      P2 = \$5,000,001 - \$25,000,000  
     P3 = \$25,000,001 - \$50,000,000                      P4 = More than \$50,000,000
- 3. Value Method Codes                      Q = Appraisal                      R = Cost (Real Estate Only)                      S = Assessment                      T = Cash Market  
     (See Column C2)                      U = Book Value                      V = Other                      W = Estimated

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Name of Person Reporting

NEWMAN, Jon O.

Date of Report

05/04/2011

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
256. - Target									
257. - Pepsico									
258. - Procter & Gamble									
259. - ExxonMobil									
260. - Noble									
261. - JP Morgan Chase									
262. - Bank of New York									
263. - Wells Fargo									
264. - Abbott Labs									
265. - Johnson & Johnson									
266. - Medtronic									
267. - Schein Henry									
268. - TEVA Pharmaceutical									
269. - Danaher					Distributed	06/14/10	J		
270. - Emerson Electric									
271. - G.E.									
272. - United Technologies									

- |  |   |  |   |  |                         |
|--|---|--|---|--|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value   | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated   | T = Cash Market  |                         |

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting <b>NEWMAN, Jon O.</b>	Date of Report <b>05/04/2011</b>
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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
273. - Accenture									
274. - Automatic Data Processing									
275. - Cisco									
276. - Intel									
277. - IBM									
278. - Microsoft									
279. - Ecolab									
280. - MDU Resources									
281. - Dodge & Cox Int'l Fund					Sold	11/10/10	J		
282. - Fidelity Int'l Fund					Sold	01/11/10	K		
283. - CT State '10 3.0					Matured	07/01/10	K	A	
284. - Bristol CT '11 3.0									
285. - CT State '11 3.8									
286. - Wethersfield CT '12 3.1									
287. - CT State '12 4.0									
288. - Regional School '12 3.65									
289. - Orange CT '13 3.4					Redeemed	08/15/10	K	A	

- |  |  |  |  |  |                         |
|--|--|--|--|--|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000<br>J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000<br>K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000<br>L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000<br>M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | Q = Appraisal<br>U = Book Value  | R = Cost (Real Estate Only)<br>V = Other   | S = Assessment<br>W = Estimated  | T = Cash Market  |                         |
| 3. Value Method Codes<br>(See Column C2)         |  |  |  |  |                         |

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Name of Person Reporting

NEWMAN, Jon O.

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
290. - Easton CT '13 3.625									
291. - University CT '14 3.7									
292. - Glastonbury CT '14 3.75									
293. - U.S. Treasury '18 9.0									
294. - Somers CT 4.0 '10					Matured	08/15/10	K		
295. - CT State '11 3.125									
296. - ATT									
297. - Thermo Fisher									
298. - General Dynamics									
299. - Oracle									
300. - Apple									
301. - Dentsply									
302. - Nike Class B									
303. - Southington CT '18 3.5									
304. - New Alliance Bank					Sold	11/18/10	M		
305. - Dodge & Cox Int'l Fund					Buy	01/13/10	K		
306. - Dodge & Cox Int'l Fund					Sold	11/10/10	L	C	

1. Income Gain Codes:  
(See Columns B1 and D4)

A = \$1,000 or less  
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500  
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000  
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000  
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes  
(See Columns C1 and D3)

J = \$15,000 or less  
N = \$250,001 - \$500,000  
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000  
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000  
P1 = \$1,000,001 - \$5,000,000  
P4 = More than \$50,000,000

M = \$100,001 - \$250,000  
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes  
(See Column C2)

Q = Appraisal  
U = Book Value

R = Cost (Real Estate Only)  
V = Other

S = Assessment  
W = Estimated

T = Cash Market

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting

NEWMAN, Jon O.

Date of Report

05/04/2011

**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
307. - Adobe					Buy	07/23/10	K		
308. - SmithBamey Bank Deposit Program					Buy	11/18/10	M		
309. <input checked="" type="checkbox"/> IRA consisting of Stocks and Corporate Bonds:	E	Int./Div.	P1	T					
310. - Walt Disney									
311. - McDonalds									
312. - Staples									
313. - Pepsico									
314. - Procter & Gamble									
315. - ExxonMobil									
316. - Bank of New York									
317. - Johnson & Johnson									
318. - Medtronic									
319. - Emerson Electric									
320. - G.E.									
321. - Automated Data									
322. - Intel									
323. - I.B.M.									

1. Income Gain Codes:      A = \$1,000 or less      B = \$1,001 - \$2,500      C = \$2,501 - \$5,000      D = \$5,001 - \$15,000      E = \$15,001 - \$50,000  
 (See Columns B1 and D4)      F = \$50,001 - \$100,000      G = \$100,001 - \$1,000,000      H1 = \$1,000,001 - \$5,000,000      H2 = More than \$5,000,000

2. Value Codes      J = \$15,000 or less      K = \$15,001 - \$50,000      L = \$50,001 - \$100,000      M = \$100,001 - \$250,000  
 (See Columns C1 and D3)      N = \$250,001 - \$500,000      O = \$500,001 - \$1,000,000      P1 = \$1,000,001 - \$5,000,000      P2 = \$5,000,001 - \$25,000,000  
                                  P3 = \$25,000,001 - \$50,000,000      P4 = More than \$50,000,000

3. Value Method Codes      R = Cost (Real Estate Only)      S = Assessment      T = Cash Market  
 (See Column C2)      Q = Appraisal      V = Other      W = Estimated  
                                  U = Book Value

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Name of Person Reporting <b>NEWMAN, Jon O.</b>	Date of Report <b>05/04/2011</b>
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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code I (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Code 3 Method (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
324. - Microsoft									
325. - Oracle									
326. - Ecolab									
327. - Verizon									
328. - Anheuser Busch '10 5.625					Matured	10/01/10	K	A	
329. - Prudential '11 5.1									
330. - Goldman Sachs '12 5.7									
331. - Kimberly Clark '13 5.0									
332. - Pitney Bowes '14 4.875									
333. - Abbott Labs									
334. - United Technologies									
335. - Danaher Corp.					Distributed	06/10/10	J		
336. - ATT									
337. - Thermo Fisher									
338. - Dodge & Cox Fund					Sold	11/10/10	L	A	
339. - CVS									
340. - G.E. '14 5.65									

- |  |   |  |  |  |                         |
|--|---|--|--|--|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                     | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000        | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000                   | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000     | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | P3 = \$25,000,001 - \$50,000,000<br>Q = Appraisal<br>U = Book Value | R = Cost (Real Estate Only)<br>V = Other               | P4 = More than \$50,000,000<br>S = Assessment<br>W = Estimated | T = Cash Market  |                         |

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting

NEWMAN, Jon O.

Date of Report

05/04/2011

**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code I (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
341. - Target									
342. - Walt Disney '11 5.7									
343. - Accenture									
344. - Bell South '16 5.2									
345. - Lowes '15 5.0									
346. - Caterpillar '15 4.75									
347. - Bank of America '16 5.75									
348. - Goldman Sachs M/C Fund									
349. - TEVA									
350. - New Alliance Bank					Sold	11/18/10	M		
351. - Frontier Corp.					Spinoff (from line 327)	07/02/10	J		
352. - Frontier Corp.					Sold	08/04/10	J	A	
353. - Adobe					Buy	07/23/10	J		
354. - SmithBamey Bank Deposit Program					Buy	11/18/10	M		

- 1. Income Gain Codes:      A = \$1,000 or less      B = \$1,001 - \$2,500      C = \$2,501 - \$5,000      D = \$5,001 - \$15,000      E = \$15,001 - \$50,000  
    (See Columns B1 and D4)      F = \$50,001 - \$100,000      G = \$100,001 - \$1,000,000      H1 = \$1,000,001 - \$5,000,000      H2 = More than \$5,000,000
- 2. Value Codes              J = \$15,000 or less      K = \$15,001 - \$50,000      L = \$50,001 - \$100,000      M = \$100,001 - \$250,000  
    (See Columns C1 and D3)      N = \$250,001 - \$500,000      O = \$500,001 - \$1,000,000      P1 = \$1,000,001 - \$5,000,000      P2 = \$5,000,001 - \$25,000,000
- 3. Value Method Codes      P3 = \$25,000,001 - \$50,000,000      P4 = More than \$50,000,000      S = Assessment      T = Cash Market  
    (See Column C2)      Q = Appraisal      R = Cost (Real Estate Only)      S = Assessment      T = Cash Market  
         U = Book Value      V = Other      W = Estimated



**FINANCIAL DISCLOSURE REPORT**

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

Line 18: This bond was inadvertently previously reported as "Brookfield CT '11 4.25."

Lines 99 and 108: The stock listed on line 99 as "Tyco" should have been listed as "Tyco Int'l." Tyco was a spinoff from Tyco Int'l on 7/6/07 as now reflected on line 108. The similarity of names caused the inadvertent omission of the spinoff on previous forms.

Lines 115, 202, 269, 335: These distributions resulted from stock splits.

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

NEWMAN, Jon O.

Date of Report

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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Jon O. NEWMAN

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
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Washington, D.C. 20544