* AO-10 Rev. 1/2004

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2004

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

| Person Reposing (Last name, First name, Middle initial) Cabiones, Jose A | 2. Court or Organization U.S. Court of Appeals -2d Cir. | 3. Date of Report \$\forall \$\text{\$\subseteq} \text{\$\forall \$\forall 22005} |
|---|--|---|
| I. Title (Article III Judges indicate active or senior status; மழுத்தாகம் ந்தித்து indicate full- or part-time) U.S. Circuit Judge (Active) | 5. ReportType (check appropriate type) Nomization. Date Initial • Note: Final | 6. Reporting Period 1/1/2004 10 12/31/2004 |
| Chambers or Office Address U.S. Courthouse 141 Church Street New Haven, CT 06510 | 8. On the basis of the information contained in this modifications pertaining thereto, it is, in my opinion with applicable laws and regulations. Reviewing Officer | 5. T. C. |
| | तम must be followed. Complete all parts, checking the NONE b | ox for each part |

| I. | POSITIONS. | (Reporting individual only; see pp. | 9-13 of tiling in tructions) |
|----|------------|-------------------------------------|------------------------------|
|----|------------|-------------------------------------|------------------------------|

NONE - (No reponable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

See "Attachment A" hereto

IL AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instruction)

NONE - (No reportable externess.)

I.

DATE

PARTIES AND TERMS

WESELAED
WIN IS IN TO THE WEST OF THE WATER

| | Cabranes, Jose A | 5/12/2005 |
|---|--|---|
| III. NON-INVESTMENT | T INCOME. (Reporting individual and spouse; see pp. 17-24 of filling i | instructions) |
| A. Filer's Non-Investment to | ncome | |
| NONE - (No reportable no | | |
| | | |
| DATE | SOURCE AND TYPE | GROSS INCOME (YUNUS, THAT SPOUNE'S) |
| L | | (Lourse 1994 abouts 2) |
| | | |
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| of redrifted except for promotatis") | Income - (If you were married during any portion of the reporting year, pleas | se complete this section. Dollar amount |
| of redrifted except for promotatis") | | se complete this section. Dollar amount |
| NONE - (No reportable non | t-investment income.) | se complete this section. Dollar amount |
| NONE - (No reportable non DATE 6/30/04 | SOURCE AND TYPE University of Chicago Press (Royalites) | |
| NONE - (No reportable non | SOURCE AND TYPE | |
| NONE - (No reportable non DATE 6/30/04 | SOURCE AND TYPE University of Chicago Press (Royalites) | |
| required except for homoraria.) NONE - (No reportable non DATE 6/30/04 | SOURCE AND TYPE University of Chicago Press (Royalites) | |
| NONE - (No reportable non DATE 6/30/04 | SOURCE AND TYPE University of Chicago Press (Royalites) Kirkpatrick & Lockhart Nicholson Graham, LLP, Pittsburgh, PA (con | |
| NONE - (No reportable non DATE 6/30/04 | SOURCE AND TYPE University of Chicago Press (Royalites) | |
| NONE (No reportable non DATE 6/30/04 REIMBURSEMENTS | SOURCE AND TYPE University of Chicago Press (Royalites) Kirkpatrick & Lockhart Nicholson Graham, LLP, Pittsburgh, PA (con | |
| NONE - (No reportable non DATE 6/30/04 REIMBURSEMENTS (Includes thuse to spouse and dep | SOURCE AND TYPE University of Chicago Press (Royalites) Kirkpatrick & Lockhart Nicholson Graham, LLP, Pittsburgh, PA (con- | |
| NONE - (No reportable non DATE 6/30/04 REIMBURSEMENTS (Includes those to spouse and dep | SOURCE AND TYPE University of Chicago Press (Royalites) Kirkpatrick & Lockhart Nicholson Graham, LLP, Pittsburgh, PA (con- | |

Name of Person Reporting

Date of Report

FINANCIAL DISCLOSURE REPORT

| FINANCIAL DISCLOSURE REPORT | Name of Person Reporting Cabranes. Jose A | | Date of Reput |
|---|---|---|---------------|
| V. GIFTS. Alichades those to spower and dependent children. | en. See pp. 28-31 of instructions.) | | |
| V NONE - (No such reportable gifts.) | | | |
| SOURCE 1. | DESCRIPTION | 7 | /ALUE |
| | 5) | | |
| | | | |
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| | | | 6. |
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| • | | | |
| VI. LIABILITIES. (Includes those of spouse and dependent | ent children. See pp. 32-34 of instructions.) | | |
| NONE - (No reportable liabilities.) | | ÷ | |

DESCRIPTION

CREDITOR

VALUE CODE

Name of Person Reporting Cabranes, Jose A Date of Report 5/12/2005

VII. INVESTMENTS and TRUSTS - income, value, transcations (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

| Place "(N)" after each asset exempt from prior disclosure | | | B, one during rting period | 1 | C. the at end of period | | D. Transactions during reporting period | | | | |
|--|---|--|-----------------------------------|---|--|---|---|---------------------------------|------------------------------|--|--|
| | | Aniount Code 1 (A -H) | Type c.s. div. ress or | Value Code 2 (J-P) | Value Method Ciale 3 (Q.W) | (1) Type (e.g., buy, sell, merger, ecdemption) | (2) Date: Month - Day | (3) Value Code 2 (J-P) | (4) Gain Code I (A- | (5) dentity of hugerisetter (if private transaction) | |
| Š | NONE (No repumble income, essets, or transactions) | | | | | | | | | | |
| 1. | Apartment, Santurce, Puerto Rico | | Rent | К | w | | | | | | |
| 2. | Apartment, Santurce, Puerto Rico | | None | К | w | | | | | | |
| | Apartment, Santurce, Puerto Rico | i | None | K | w | | | | İ | | |
| | Apartment, Santurce, Puerto Rico | | None | K | w | | | | i | | |
| • : | Fidelity Asset Manager | D | Dividend | L | Т | | 1 | - | - | | |
| , | Fidelity Blue Chip Growth Fund (IRA) | | Dividend | М | т | | | 1 | 1 | | |
| | Missouri Zero Coupon College Savings Bond | A | Interest | J | т | 1 | | 1 | 1 | | |
| | CT Higher Education Trust | | Dividend | К | т | | | + | \dashv | | |
| | CT Higher Education Trust | | Dividend | к | Т | :01 | | \dashv | + | | |
| | New Alliance Bank f/k/a New Haven Savings Bank Account | A | Interest | К | T | | | 1 | | • | |
| | Citizens Bank Checking Account | Α | Interest | к | Т | - 1 | 1 | 1 | \forall | | |
| | Citizens Bank Checking Account | A | Interest | J | т | | | 1 | | | |
| | Citizens Bank Checking Account | A | Interest | 1 | Т | | | + | 1 | ě | |
| | Citizens Bank Checking Account | A | Interest | J | T | <u> </u> | | \dashv | + | | |
| | Citizens Bank Money Market Account | A | Dividend | K | Т | | 1 | \pm | \top | | |
| | May Department Stores Co. | A | Dividend | К | Т | | $^{+}$ | † | 1 | | |
| | May Department Stores Co. | A | Dividend | K | Т | | \dashv | Ť | \top | | |
| | TIAA-CREF Annuity | С | Annuity | J | Т | | | \top | | | |
| بهرد داد د | Columns B1 and D4) F = \$50,001-\$100,000 G | = \$1,001.52,50 - \$100.001.\$1, - \$15.601.\$50, - \$\$66,001.\$1, | 000,000 Hi 000 L 000,000 Pi | = \$2.501-\$5.0 = \$1.000.001- = \$50.001-\$10 = \$1.000.001- > \$xtose there - Assyssment | \$5,000,000 20,000 0,000 0,000 0,000 0,000 0,000 | D = 55.001-5 H2 = Mare tie M = \$110.00 P2 = 55.000.0 | m 53,000,000 1 -52 50.000 NH- <u>525.</u> 010.0 | 3 | = \$15,00 - | 2.550,000 | |

V Other

1 Israil, Value

Seek wast City

FINANCIAL DISCLOSURE REPORT
* Page 2 of 2

Name of Person Reporting Cabranes, Jose A Date of Report 5/12/2005

VII. INVESTMENTS and TRUSTS -- income, value, transcations (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

| Description of Assets (including trust assets) | lacor | 1 | C. Jue at end of period | D. Transactions during reponing period | | | | | |
|--|----------------------------|--------------------------------------|-------------------------------|--|------------|--------------------------------|---------------------------------|------------------------------|---|
| () The second of the second o | (1) | (2) | (1) | (2) | (1) | If not exempt from disclosure | | | |
| Plane "(X)" after each asset exempt from prine disclusure | Amount Code I (A -R) | Type {c.g. div. rent. or int.} | Valot Code 2 (J-P) | Value McOod Code 3 (Q-W) | type (e.g. | (2) Date: Month - Day | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A- | (5) Identity of buyerselle (if private vansaction |
| See "Attachment C" hereto | | | | | | | | | |

| lucame Cate Codes | A | = \$1.000 or less | В | - \$1,001-52,500 | С | - S≥-501-S5.0(Φ | D | - \$5.001-\$15.000 | E | - \$15,001-\$50-DUD | |
|--------------------------|----|-----------------------------|----|------------------------------|----|--------------------------|-----|-------------------------------------|---|---------------------|--|
| (See Columns 131 and D4) | 1 | - \$50,0012-100,000 | C | 5100,001.\$1,000,000 | HI | - \$1.000,1KH+S5,000,000 | H2 | Mon: 11112 \$5.000,(00) | | - | |
| Value Codes | 1 | = \$15.M 4 or less | K | \$15.00E-\$\$0.000 | L | = 550,001.\$1(11,000 | M | V00.6E22-tilit.00)12 - | | | |
| (See Calonos Ci and D3) | N | - \$250,001+5500.000 | () | - S5((0.0K)) - \$1,60(0),000 | PI | - \$1,04K-DDE-S5,04K-DDD | تما | - \$5.000,000,-225 .000 ,000 | | | |
| | PA | -\$25.600,0071.\$56,000,000 | | | P# | SMarc tion, \$50,100,000 | | | | | |
| Value Method Codes | 1) | - Appraisal | R | Cost (Real Issue Ordy) | 5 | - १/४५५१५वराज्यो | T | Cash-Market | | | |
| eSer College CD1 | زا | Book Value | ٦. | Oxher | W | Estimated | | | | | |

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Cabranes, Jose A

Date of Report

5/12/2005

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Cabranes. Jose A

Date of Report

5/12/2005

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date 16/4 12, 2005

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND W LFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCITONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

José A. Cabranes U.S. Circuit Judge (Second Circuit) May 12, 2005

FINANCIAL DISCI.OSURE REPORT FOR CALENDAR YEAR 2004

ATTACHMENTA

I. Positions

Trustee of Columbia University, New York, NY

Trustee of the William Nelson Cromwell Foundation, New York, NY

Member of the Academic Advisory Committee of the Institute for Constitutional Studies, The George Washington University, Washington, DC

Amorney-in-fact for a relative, under a "Durable General Power of Attorney" executed May 16, 1988 and "protocolized" under the laws of the Commonwealth of Puerto Rico.

Note: No income of any kind was derived from any of the "positions" or services or activities noted in this Attachment A.

José A. Cabranes U.S. Circuit Judge (Second Circuit) May 12, 2005

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2004

ATTACHMENT B

IV. Reimbursements - transportation, lodging, food, entertainments

Source

Description

1. Columbia University New York, NY Meals, and the cost of transportation and (where indicated) lodging, to and from New York in connection with my duties as a Columbia trustee at ea h of the following meetings of the Board of Trustees or its committees in New York:

February 2, 2004 March 5-6, 2004 (lodging also) June 4-5, 2004 September 23, 2004 December 3-4, 2004

2. Yale China Law Center, Yale Law School, New Haven, CT Meals, lodging and the cost of transportation to and from the Yale China Law Center conference on judicial independence in China, held in Beijing, China (accompanied by participant in the conference).

January 4-11, 2004

3. Fordham University
I aw School
New York, NY

Meals and cost of transportation to and from Fordham University Law School, New York, NY, to speak to Latino Law Students and Alumni.

April 1, 2004

José A. Cabranes U.S. Circuit Judge (Second Circuit) May 12, 2005

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2004

ATTACHMENT C

VIII. Additional information or explanations. (Indicate part of Report).

VII, 2, 3, 4, 6, 8, 9: Absent the ability to write "none" or "zero" on this computer form, no entry appears under B(1) for each of these entries, signifying that no "income" was obtained for these assets.

VII, 1: No net income derived from apartment.

VII, 2, 3, 4: I own what Puerto Rico law characterizes as an "undivided interest" of 25% of the title and the value of each of three apartments in Puerto Rico as a result of a resummary disposition. I have no beneficial interest in any rental arrangements for two of these studio apartments (VII, 3, 4) and I derive no income from them. No income is derived by me or anyone else from the third apartment (VII, 2).