AO 10
Rev. 1/2012

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2011

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
FUSTE, JOSE A.	District Court - Puerto Rico	05/14/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type** (check appropriate type)	**6. Reporting Period**
U.S. DISTRICT JUDGE (ACTIVE)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	01/01/2011 to 12/31/2011

7. Chambers or Office Address

RM. CH-133 U.S. COURTHOUSE
150 CHARDON AVENUE
SAN JUAN PR 00918-1758

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	[redacted]	[redacted] SEE SECTION VIII FOR EXPLANATORY NOTE).
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
FUSTE, JOSE A.	05/14/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2011	Self-employed attorney
2.		
3.		
4.		

IV. REIMBURSEMENTS *– transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	BANCO POPULAR DE PUERTO RICO	LINE OF CREDIT	J
2.	CITIBANK, PUERTO RICO	CREDIT CARD	J
3.	CITIBANK, PUERTO RICO	CREDIT CARD	J
4.	BANCO POPULAR DE PUERTO RICO	CREDIT CARD	K
5.			

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. GINNIE MAE BONDS	D	Interest	L	T					
2. AMERICAN FUNDS GROUP: EUROPACIFIC GROWTH FUND	B	Dividend	L	T					
3. AMERICAN FUNDS GROUP: EUROPACIFIC GROWTH FUND	B	Dividend	K	T					
4. AMERICAN FUNDS GROUP: EUROPACIFIC GROWTH FUND	A	Dividend	J	T					
5. AMERICAN FUNDS GROUP: SMALLCAP WORLD FUND	A	Dividend	M	T					
6. JOHNSON & JOHNSON (COMMON STOCK)	A	Dividend	K	T					
7. SAVINGS ACCT. (CARIBE FEDERAL CREDIT UNION)	A	Dividend	J	T					
8. CHECKING & SAVINGS ACCT. (BANCO POPULAR)	A	Interest	J	T					
9. CHECKING & SAVINGS ACCT. (BANCO POPULAR)	A	Interest	J	T					
10. SAVINGS ACCT. (BANCO POPULAR DE P.R.)	A	Interest			Sold	02/01/11	J	A	
11. CHECKING & SAVINGS ACCT. (BANCO POPULAR P.R.)	A	Interest	K	T					
12. IRA ORIENTAL FED. BANK	B	Interest	K	T					
13. IRA ORIENTAL FED. BANK	C	Interest	L	T					
14. SAVINGS ACCT. (BANCO POPULAR)	A	Interest	J	T					
15. AMERICAN FUNDS GROUP: EUROPACIFIC GROWTH FUND	B	Dividend	K	T					
16. AMERICAN FUNDS GROUP: CAPITAL WORLD G+I	B	Dividend	L	T					
17. SAVINGS ACCT. (BANCO POPULAR)	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
FUSTE, JOSE A.	05/14/2012

VII. INVESTMENTS and TRUSTS *– income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. LEHMAN BROS. 1993 GRANTOR TRUST CORP BOND	A	Interest	K	T					
19. WESTMORELAND MUNI. BOND	A	Interest			Redeemed	09/15/11	J	A	
20. NORTH YORK COUNTY SCHOOL DIST. MUNI. BOND	B	Interest			Redeemed	09/01/11	L	B	
21. HOUSTON TX ISD PUB FAC CORP. MUNI BOND	B	Interest	K	T					
22. FOOTHILL EASTERN TRANSP. MUNI. BOND	C	Interest	L	T					
23. NORTH ADAMS COMMUNITY SCHOOLS MUNI BOND	C	Interest	K	T					
24. ELLIS CTY. GENERAL OBLIG. BOND	E	Interest	M	T					
25. AMERICAN FUNDS GROUP: AMCAP FUND	A	Dividend	J	T					
26. GT ATLANTIC & PACIFIC TEA(PREFERRED STOCK)	A	Dividend			Sold	05/01/11	K	A	
27. CHICAGO ILL GEN OBLIG MUNI BOND	C	Interest	L	T					
28. PR MORTGAGE-BACKED & US GOV'T SECURITIES FUND	A	Dividend	J	T					
29. INT'L HOSPITALITY ASSOC., CLASS A PREF SPEC P'SHIP INTEREST		None	K	T					
30. GMAC (PREFERRED STOCK)	A	Dividend	J	T					
31. American Funds Group: New World Fund	A	Dividend			Sold	06/03/11	K	B	
32. Evergreen Equity Trust Asset Fund	B	Dividend			Sold	06/03/11	J	B	
33. Oppenheimer Developing Markets Fund	B	Dividend			Sold	06/03/11	J	B	
34. Barron Asset Fund	A	Dividend			Sold	06/03/11	J	A	

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35. Harbor Capital Appreciation Fund	A	Dividend			Sold	06/03/11	J	A	
36. Evergreen Int'l Fund	A	Dividend	J	T					
37. Illinois Development Finance Authorization Muni. Bond	D	Interest	K	T					
38. PR Fixed Income Fund	A	Dividend	J	T					
39. Colquitt County GA Muni. Bond	A	Dividend	J	T					
40. State Auto Fin'l Corp.	A	Dividend			Sold	01/15/11	J	A	

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

SEC. I. POSITIONS. I OWN AN APARTMENT IN THE CONDOMINIUM AND RESIDE IN THE SAME. I RECEIVE NO COMPENSATION AND MY DUTIES ARE CONFINED TO NON-PROFIT OPERATION AND MAINTENANCE OF THIS RESIDENCIAL FACILITY. SEE ADVISORY OPINION 29 & CANON 5.

SEC. IV. REIMBURSEMENTS & GIFTS. I HAVE ONLY RECEIVED OFFICIAL COURT-RELATED REIMBURSEMENTS ON AUTHORIZED EXPENDITURES REGARDING OFFICIAL TRAVEL ON BEHALF OF THE U.S. COURTS.

SEC. VII. INVESTMENTS & TRUSTS. THE IRAs OWNED BY [redacted] ARE CASH-EQUIVALENT ACCOUNTS MAINTAINED AT A FINANCIAL INSTITUTION. THEY ARE COMPOSED OF GINNIE MAE BONDS AND OTHER SECURITIES WHICH ARE NOT DIRECTED OR MANAGED BY EITHER OF US. WE HAVE NO ABILITY TO SELECT THE ASSETS WITHIN THE ACCOUNTS AND, IN FACT, WE ARE NOT NOTIFIED OF THEIR CONTENTS. [redacted] ALSO HAS A RETIREMENT ACCOUNT THAT CONSISTS OF SECURITIES TRADED WITHOUT [redacted] CONSENT. DISCRETION LIES IN THE HANDS OF THE BROKER, AND WE ARE AWARE OF PURCHASES AND SALES ON A DELAYED BASIS, WITH NO CONTROL OVER THEIR TIMING.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ JOSE A. FUSTE

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544