

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2008**

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

<b>1. Person Reporting</b> (last name, first, middle initial) WERLEIN, JR., EWING	<b>2. Court or Organization</b> USDC/SO. DIST. TEXAS	<b>3. Date of Report</b> 08/01/2009
<b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) DISTRICT JUDGE - SENIOR STATUS	<b>5a. Report Type</b> (check appropriate type) <input type="checkbox"/> Nomination,                      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	<b>6. Reporting Period</b> 01/01/2008 to 12/31/2008
	<b>5b.</b> <input type="checkbox"/> Amended Report	
<b>7. Chambers or Office Address</b> 515 RUSK AVENUE, ROOM 11521 HOUSTON, TX 77002-2605	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	
<b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Co-Trustee	Trust No. 1
2. Director & Chairperson	The Methodist Hospital, Houston, Texas
3. Director	TMH Medical Office Bldgs Condominium Assoc.
4. As Chairperson of TMH Board, ex officio w/vote of following subsidiaries:	Medvest Holdings, Inc.; Methodist Health Centers; Methodist International; (cont'd in Note (1) in Part VIII)
5. As Chairman of TMH Board, ex officio w/o vote of following subsidiaries:	Diagnostic Center Hospital Corp. of Texas; Medvest Inc.; (cont'd in Note (2) in Part VIII)

RECEIVED  
 2009 AUG 11 A 9:49  
 FINANCIAL  
 DISCLOSURE OFFICE

**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

**FINANCIAL DISCLOSURE REPORT**  
Page 2 of 28

Name of Person Reporting  
**WERLEIN, JR., EWING**

Date of Report  
08/01/2009

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

**A. Filer's Non-Investment Income**

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.*  
*(Dollar amount not required except for honoraria.)*

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

**IV. REIMBURSEMENTS** *- transportation, lodging, food, entertainment.*  
*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

**FINANCIAL DISCLOSURE REPORT**

Page 3 of 28

Name of Person Reporting

WERLEIN, JR., EWING

Date of Report

08/01/2009

**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. Monthly dues exemption for members	The Petroleum Club, Houston	\$1,006.70
2. in government service before 10/13/08		
3. when a new law barred exemptions.		
4.		
5.		

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

# FINANCIAL DISCLOSURE REPORT

Page 4 of 28

Name of Person Reporting

WERLEIN, JR., EWING

Date of Report

08/01/2009

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Halliburton com.	A	Dividend	J	T					
2. Halliburton com.	A	Dividend	J	T					
3. BP PLC, ADR	C	Dividend	K	T					
4. Exxon Mobil com.	C	Dividend	M	T					
5. Anadarko Petroleum Corp. com.	A	Dividend	K	T					
6. Wal-Mart Stores com.	A	Dividend	J	T					
7. Exxon-Mobil com.	B	Dividend	L	T					
8. Johnson & Johnson, com.	B	Dividend	L	T					
9. Conoco Phillips, com.	B	Dividend	L	T					
10. Texas Capital Bankshares, com.		None	L	T					
11. HCP Inc., com. f/k/a HealthCare Prop.	A	Dividend	J	T					
12. CNL Lifestyle Props Inc. units f/k/a CNL I ncome Props.	A	Dividend	K	V					See note in Part VIII
13. Hines REIT, units	A	Dividend	K	V					See note in Part VIII
14. MUTUAL FUNDS:									
15. Fidelity MM Fund	A	Dividend	J	T					
16. Black Rock Sr. Fl. Rate Fund	A	Dividend			Sold	06/25	J		
17. Fidelity Low Price Stock	F	Dividend	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting <b>WERLEIN, JR., EWING</b>	Date of Report 08/01/2009
--	------------------------------

**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Fidelity Contrafund	C	Dividend	N	T					
19. Fidelity Eq. Income II	B	Dividend			Sold	06/12	M		
20. Fidelity Mid-Cap Stock	C	Dividend	M	T					
21. Vanguard 500 Index Fund	C	Dividend			Sold	12/18	M		
22. Assetmark Large-Cap Value	A	Dividend	K	T	Buy (add'l)	01/14	J		
23.					Buy (add'l)	04/14	J		
24.					Buy (add'l)	07/14	J		
25.					Buy (add'l)	10/13	J		
26.					Sold (part)	12/17	J		
27. Assetmark Large-Cap Growth	A	Dividend	K	T	Buy (add'l)	01/14	J		
28.					Buy (add'l)	04/14	J		
29.					Sold (part)	07/14	J	A	
30.					Buy (add'l)	10/13	J		
31.					Sold (part)	12/17	J		
32. Assetmark Small/Mid-Cap Value	A	Dividend	J	T	Buy (add'l)	01/14	J		
33.					Sold (part)	04/14	J		
34.					Sold (part)	07/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

# FINANCIAL DISCLOSURE REPORT

Page 6 of 28

Name of Person Reporting

WERLEIN, JR., EWING

Date of Report

08/01/2009

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35.					Buy (add'l)	12/17	J		
36. Assetmark Small/Mid-Cap Growth	A	Dividend	J	T	Buy (add'l)	01/14	J		
37.					Sold (part)	04/14	J		
38.					Sold (part)	07/14	J		
39.					Buy (add'l)	10/13	J		
40.					Buy (add'l)	12/17	J		
41. Assetmark International	A	Dividend	K	T	Sold (part)	01/14	J	A	
42.					Buy (add'l)	04/14	J		
43.					Buy (add'l)	07/14	J		
44.					Buy (add'l)	10/13	J		
45.					Sold (part)	12/17	J		
46. Assetmark Real Estate Securities	A	Dividend	J	T	Buy (add'l)	01/14	J		
47.					Sold (part)	04/14	J		
48.					Buy (add'l)	07/14	J		
49.					Sold (part)	10/13	J		
50.					Buy (add'l)	12/17	J		
51. Assetmark Tax-Exempt	B	Dividend	L	T	Sold (part)	01/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

# FINANCIAL DISCLOSURE REPORT

Page 7 of 28

Name of Person Reporting <b>WERLEIN, JR., EWING</b>	Date of Report 08/01/2009
--	------------------------------

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52.					Sold (part)	04/14	J		
53.					Sold (part)	07/14	J		
54.					Sold (part)	10/13	J		
55.					Buy (add'l)	12/17	J		
56. American Century Tax-Free Bond	A	Dividend	K	T	Sold (part)	01/14	J	A	
57.					Sold (part)	04/14	J	A	
58.					Sold (part)	09/23	J		
59.					Sold (part)	10/09	J		
60.					Buy (add'l)	10/21	J		
61.					Sold (part)	11/18	J		
62.					Buy (add'l)	12/1	J		
63.					Buy (add'l)	12/17	J		
64. Loomis Sayles Bond Fund Retail	A	Dividend	J	T	Sold (part)	01/14	J	A	
65.					Sold (part)	04/14	J	A	
66.					Sold (part)	09/23	J		
67.					Sold (part)	10/09	J		
68.					Buy (add'l)	10/21	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

**FINANCIAL DISCLOSURE REPORT**

Page 8 of 28

Name of Person Reporting

WERLEIN, JR., EWING

Date of Report

08/01/2009

**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

69.					Sold (part)	11/18	J		
70.					Buy (add'l)	12/01	J		
71. TCW Galileo Select Equities		None			Sold (part)	01/14	J	A	
72.					Sold (part)	04/14	K		
73.					Sold	05/05	J		
74. Thornburg Internat'l Value	A	Dividend	J	T	Sold (part)	01/14	K	D	
75.					Buy (add'l)	04/14	J		
76.					Buy (add'l)	09/23	J		
77.					Buy (add'l)	10/09	J		
78.					Sold (part)	10/21	J	A	
79.					Sold (part)	11/18	J	A	
80.					Buy (add'l)	12/01	J		
81.					Sold (part)	12/17	J	A	
82. Federated Mun. Trust MM	A	Dividend	J	T					
83. Credit Suisse Commod. Return	A	Dividend			Sold (part)	01/14	J	A	
84.					Sold	04/14	J	B	
85. Oakmark Fund	A	Dividend	J	T	Sold (part)	01/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	



**FINANCIAL DISCLOSURE REPORT**

Page 9 of 28

Name of Person Reporting

WERLEIN, JR., EWING

Date of Report

08/01/2009

**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86.					Sold (part)	04/14	J		
87.					Sold (part)	09/23	J		
88.					Buy (add'l)	10/09	J		
89.					Buy (add'l)	10/21	J		
90.					Buy (add'l)	11/18	J		
91.					Sold (part)	12/01	J		
92.					Sold (part)	12/17	J		
93. Federated Mun. Tr. MM	A	Dividend	J	T					
94. Clipper Fund	A	Dividend	J	T	Sold (part)	01/14	J		
95.					Buy (add'l)	04/14	J		
96.					Buy (add'l)	09/23	J		
97.					Buy (add'l)	10/09	J		
98.					Sold (part)	10/21	J		
99.					Buy (add'l)	11/18	J		
100.					Sold (part)	12/01	J		
101.					Buy (add'l)	12/17	J		
102. Pimco Developing Local Mkts Fund	A	Dividend			Sold (part)	01/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

**FINANCIAL DISCLOSURE REPORT**  
Page 10 of 28

Name of Person Reporting  
**WERLEIN, JR., EWING**

Date of Report  
08/01/2009

**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103.					Buy (add'l)	04/14	J		
104.					Sold	09/23	K		
105. Federated Max Cap Index Fund	A	Dividend			Buy (add'l)	01/14	J		
106.					Buy (add'l)	04/14	J		
107.					Sold (part)	09/23	K		
108.					Buy (add'l)	10/09	J		
109.					Sold (part)	10/21	J		
110.					Sold	11/18	J		
111. Adelante U.S. Real Est. Secs.	A	Dividend	J	T	Buy	11/18	J		
112.					Sold (part)	12/01	J		
113.					Sold (part)	12/17	J	A	
114. FMI Large Cap Fund	A	Dividend	J	T	Buy	01/14	J		
115.					Sold (part)	04/14	J		
116.					Sold (part)	09/23	J		
117.					Buy (add'l)	10/09	J		
118.					Sold (part)	10/21	J		
119.					Buy (add'l)	11/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

**FINANCIAL DISCLOSURE REPORT**

Page 11 of 28

Name of Person Reporting

WERLEIN, JR., EWING

Date of Report

08/01/2009

**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

120.					Sold (part)	12/01	J		
121.					Buy (add'l)	12/17	J		
122. Harbor Internat'l Fund Investor Class	A	Dividend	J	T	Buy	01/14	J		
123.					Sold (part)	04/14	J		
124.					Buy (add'l)	09/23	J		
125.					Buy (add'l)	10/09	J		
126.					Sold (part)	10/21	J		
127.					Buy (add'l)	11/18	J		
128.					Sold (part)	12/01	J		
129.					Sold (part)	12/17	J		
130. Harbor Capital Appreciation Fund	A	Dividend	J	T	Buy	05/05	J		
131.					Sold (part)	09/23	J		
132.					Buy (add'l)	10/09	J		
133.					Buy (add'l)	10/21	J		
134.					Buy (add'l)	11/18	J		
135.					Sold (part)	12/01	J		
136. Oakmark Global Select Fund Class I	A	Dividend	J	T	Buy	01/14	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

# FINANCIAL DISCLOSURE REPORT

Page 12 of 28

Name of Person Reporting

WERLEIN, JR., EWING

Date of Report

08/01/2009

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	04/14	J		
138.					Sold (part)	09/23	J		
139.					Buy (add'l)	10/09	J		
140.					Sold (part)	10/21	J		
141.					Buy (add'l)	11/18	J		
142.					Sold (part)	12/01	J		
143.					Sold (part)	12/17	J		
144. Pimco High Yield Fund Class D	A	Dividend	K	T	Buy	12/01	J		
145.					Buy (add'l)	12/17	J		
146. Federated Tax Free Instrs. Instl. Shs	A	Dividend			Buy	09/23	K		
147.					Sold (part)	10/09	J		
148.					Sold (part)	10/21	J		
149.					Sold (part)	11/18	J		
150.					Buy (add'l)	12/01	J		
151.					Sold	12/17	J		
152. Touchstone Sands Capital Select		None	J	T	Buy	04/14	K		
153.					Buy (add'l)	09/23	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

**FINANCIAL DISCLOSURE REPORT**

Page 13 of 28

Name of Person Reporting

WERLEIN, JR., EWING

Date of Report

08/01/2009

**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	10/09	J		
155.					Buy (add'l)	10/21	J		
156.					Buy (add'l)	11/18	J		
157.					Sold (part)	12/01	J		
158.					Sold (part)	12/17	J		
159. Vanguard Prime MM Fund	A	Dividend	M	T	Buy	12/18	M		
160. BONDS and U.S. TREASURY NOTES:									
161. Dallas, TX Ind. Sch. bonds	B	Interest	K	T					
162. Frisco, TX AMBAC bonds	B	Interest	K	T					
163. ACCOUNTS IN FINANCIAL INST:									
164. Merrill Lynch Cash/Money Accts	B	Dividend	N	T					
165. Northern Trust Bank, Houston, check. acct.	A	Interest	L	T					
166. Bank of America, Houston, TX (Accounts)	B	Interest	L	T					
167. Nat'l City Bank, Cincinnati, CD	C	Interest			Redeemed	02/05	L		See Note in Part VIII
168. Encore Bank, Houston, CD	C	Interest	L	T					
169. Capital One Bank, Glen Allen, VA, CD	C	Interest			Redeemed	08/07	L		
170. Intervest Bank, Clearwater, FL, CD	C	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

**FINANCIAL DISCLOSURE REPORT**  
Page 14 of 28

Name of Person Reporting <b>WERLEIN, JR., EWING</b>	Date of Report 08/01/2009
--	------------------------------

**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

171. Key Bank USA CD	C	Interest	L	T					
172. Corus Bank, Houston CD	C	Interest			Redeemed	08/14	L		
173. North Houston Bank CD	C	Interest			Redeemed	11/14	L		
174. Comerica Bank, Houston (acct)	B	Interest	L	T					
175. Texas Capital Bank, Houston (acct)	A	Interest	L	T	Open	06/24	M		
176. REAL ESTATE:									
177. Condo-Larimer Co., CO	E	Rent	N	W					
178. Fractional interest in land, SA White Survey, Galv. Co., TX		None			Sold	08/15	J		
179. Working Interest-Cabot U., Andrews Co., TX	D	Royalty	K	W					
180. Royalty Interest, Ector Co., TX (X)	A	Royalty	J	W					
181. Royalty Interest, Wheeler Co., TX (X)	A	Royalty	J	W					
182. Royalty Interest, Martin Co., TX (X)	A	Royalty	J	W					
183. RETIREMENT & IRA ACCOUNTS:									
184. IRA Acct: Marsico Inv. Fund		None			Sold	03/24	L		
185. IRA Acct: John Hancock Ind. Ret. Annuity		None	K	V					See Note-Part VIII
186. IRA Acct: CGM Focus Fund	A	Dividend	K	T	Buy	03/24	L		
187. IRA ROLLOVER ACCOUNT: All entries that follow from Lines 190	E	Dividend	P1	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

# FINANCIAL DISCLOSURE REPORT

Page 15 of 28

Name of Person Reporting

WERLEIN, JR., EWING

Date of Report

08/01/2009

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

188. through 249 are under the discretionary		Interest							
189. management of a registered investment manager.									
190. --Pfizer Inc., com.									
191. --Altria Group, com.									
192. --Schwab MM Funds									
193. --Americredit Corp., com.									
194. --Comcast Corp. New Cl. A									
195. --Consol. Energy, com.					Sold (part)	01/11	J	D	
196.					Sold (part)	05/20	K	E	
197.					Buy (add'l)	08/20	K		
198. --Leucadia Natl Corp., com.									
199. --Liberty Media Hldg CPA, com.					Sold	01/28	K		
200. --Liberty Media Holding Corp. Ser. A, com.					Sold	08/21	J		
201. --Mercury Genl Corp., com.									
202. --NVR Inc., com.					Sold (part)	04/29	J		
203. --Capital One Fin. Corp., com.									
204. --Key Energy Serv., com.					Sold (part)	06/18	K	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	T = Cash Market
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated		

# FINANCIAL DISCLOSURE REPORT

Page 16 of 28

Name of Person Reporting

WERLEIN, JR., EWING

Date of Report

08/01/2009

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Sold (part)	08/18	K	D	
206. --RLI Corp., com.					Sold (part)	12/05	K	D	
207. --Ashford Hospitality TR Reit					Sold	10/29	J		
208. --Berkshire Hathaway CL B									
209. --Petrohawk Energy Corp., com.					Sold (part)	04/29	K	E	
210.					Sold	06/13	K	E	
211. --Cintas Corp., com.									
212. --Amer. Eagle Outfitters New, com.									
213. --Alesco Financial, com.					Sold	09/17	J		
214. --Gannett Co., com.									
215. --Home Depot, com.									
216. --Lee Enterprises, com.					Sold	09/12	J		
217. --Microsoft Corp., com.					Sold	01/28	L	E	
218. --Tyco Int'l Ltd., com.									
219. --Castlepoint Holdings F., com.									
220. --Coleman Cable Inc., com.									
221. --Covidien Ltd. F., com.					Sold (part)	08/21	K	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	T = Cash Market
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated		



# FINANCIAL DISCLOSURE REPORT

Page 17 of 28

Name of Person Reporting

WERLEIN, JR., EWING

Date of Report

08/01/2009

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Sold	09/23	K	D	
223. --Freddie Mac Voting SHS, com.					Sold	09/09	J		
224. --Johnson & Johnson, com.					Sold (part)	08/21	J	B	
225. --Kraft Foods Inc., com.									
226. --Meruelo Maddux PPTYS Inc., com.									
227. --Sherwin Williams Co., com.					Sold (part)	12/05	J		
228. --Tyco Electronics Ltd., com.					Sold	12/05	J		
229. --RAIT Financial Trust									
230. --Liberty Media Corp. CL A, com.					Spinoff (from line 200)	03/04	K		from Lib Med Holding Ser.A
231. --Annaly Cap. Mgmt REIT					Buy	07/29	K		
232. --Allied Waste, com.					Buy	02/11	K		
233.					Sold (part)	08/21	K	D	
234.					Merged (with line 235)	12/08			merged into Rep. Srvc s.
235. --Republic Srvc, com.					Merged (with line 234)	12/08			merged from Allied Waste
236. --Boston Priv. Finl Hldg, com.					Buy	07/25	K		
237. --Horsehead Hldg Corp., com.					Buy	02/13	K		
238. --Illinois Tool Works, com.					Buy	04/29	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

# FINANCIAL DISCLOSURE REPORT

Page 18 of 28

Name of Person Reporting

WERLEIN, JR., EWING

Date of Report

08/01/2009

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
239. --Kohls Corp., com.					Buy	01/28	K		
240. --Microsoft, com.					Buy	10/24	K		
241. --Myr Group, com.					Buy	08/20	K		
242. --Orion Marine Group, com.					Buy	01/17	K		
243. --Pinnacle Gas Res., com.					Buy	08/15	K		
244. --Schwab Value Adv. Money Fund					Buy	07/07	K		
245.					Sold	07/31	K		
246. --Stryker Corp., com.					Buy	12/05	K		
247. --Synovus Financial, com.					Buy	08/01	K		
248. --Wellpoint, Inc., com.					Buy	09/12	K		
249. --Philip Morris Intl, com.					Spinoff (from line 191)	03/28	K		spinoff from Altria
250. TRUST NO. 1: (I owned no present beneficial interest in	F	Dividend	P1	T					
251. and received no income from this Trust or the entries that		Interest							
252. follow from lines 255 thru 296, but it is									
253. reportable because I was one of three co-trustees)									
254. --COMMON STOCKS IN TRUST NO. 1:									
255. --AT&T, com.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

# FINANCIAL DISCLOSURE REPORT

Page 19 of 28

Name of Person Reporting  
**WERLEIN, JR., EWING**

Date of Report  
 08/01/2009

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. --Bristol-Myers Squibb, com.									
257. --Burlington Northern Santa Fe, com.									
258. --Conoco Phillips, com.									
259. --Target Corp., com.									
260. --Dean Foods Co, com.									
261. --Goodyear, com.									
262. --Intel Corp., com.									
263. --IBM, com.									
264. --Johnson & Johnson, com.									
265. --J.P. Morgan Chase, com.									
266. --Halliburton Co., com.									
267. --Exxon Mobil, com.									
268. --Cisco Systems, com.									
269. --Pepsico, Inc., com.									
270. --Zimmer Holdings, Inc., com.									
271. --Hewlett Packard, com.									
272. --Comcast Corp. Class A									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

# FINANCIAL DISCLOSURE REPORT

Page 20 of 28

Name of Person Reporting

WERLEIN, JR., EWING

Date of Report

08/01/2009

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. --LSI Corp., com.									
274. --Treehouse Foods, com.									
275. --MUTUAL FUNDS-TAX EXEMPT, IN TRUST NO. 1:									
276. --Nuveen Muni Mkt Oppor. Fund									
277. --MORTGAGE & ASSET BACKED SECURITIES IN TRUST NO. 1:									
278. --Ginnie Mae Series 2002-70					Redeemed	05/20	K	A	
279. --MUNICIPAL BONDS IN TRUST NO. 1:									
280. --Halifax Hosp. Med. Ctr. Fla.									
281. --Lower Colo. Riv. Auth. Tex.									
282. --Montgomery Co. Pa. Hghr. Ed.									
283. --Illinois Dev. Fin. Auth.									
284. --Texas St. Dept. HSG & CMTY Affairs									
285. --Florida HSG FIN Corp. Rev.									
286. --Meriden Conn. G10 Bonds									
287. --Mission TX Cons. Indpt. Sch. Dist.									
288. --Austin ISD Tex. U/T Ref. Ser. A									
289. --New Jersey St. Transn. TR FD Auth. Sys., Ser A									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

**FINANCIAL DISCLOSURE REPORT**

Page 21 of 28

Name of Person Reporting

WERLEIN, JR., EWING

Date of Report

08/01/2009

**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. --Univ Tex Perm Univ FD. RFDG Ser. B					Buy	10/14	L		
291. --Pearland TX Indpt Sch Dist Ser A U/T B/E DD					Buy	03/04	K		
292. --CASH & CASH EQUIVALENTS IN TRUST NO. 1:									
293. --Western Asset Muni. MM Fund Cl. A.									
294. --REAL ESTATE IN TRUST NO. 1:									
295. --Fract. interest in land, S.A. White Survey, Galv. Co., TX					Sold	08/15	J		
296. --Vacant land in Kerr Co., TX									
297. IRA ROLLOVER ACCT. NO. 2. All entries that follow from	G	Dividend	P1	T					
298. lines 302 thru 386 are under the full discretionary		Interest							
299. management of a registered investment manager.									
300. FEDERAL AGENCY BONDS, NOTES, AND MORTGAGES									
301. in IRA ROLLOVER ACCT #2:									
302. --Fed. Home Ln Mtg Corp Notes due 10/08					Redeemed	10/15	L	C	
303. --Federal Home Ln Mtg Corp Notes due 03/09									
304. --Federal Natl Mtg Assoc Sub Notes due 02/11									
305. --Fed Nat'l Mtg Assoc. Callable Notes due 01/10					Redeemed	07/22	M		
306. --Fed. Nat'l Mtg Ass'n, callable Notes, due 01/08					Redeemed	01/11	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

**FINANCIAL DISCLOSURE REPORT**

Page 22 of 28

Name of Person Reporting

WERLEIN, JR., EWING

Date of Report

08/01/2009

**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. --Fed. Home Loan Mtg callable Notes, due 10/11					Redeemed	10/06	M		
308. --Fed. Nat'l Mtg Assn. Callable Notes due 01/10									
309. --Fed'l Natl Mtg Assoc. due 07/13					Buy	02/20	M		
310. --Fed. Home Loan Mtg due 10/08					Buy	07/30	M		
311.					Sold	10/28	M		
312. --Fed'l Natl Mtg Dis. Note due 12/08					Buy	07/10	N		
313.					Redeemed	12/05	N		
314. --ML US Trea. Money Fd					Buy	10/08	M		
315.					Buy (add'l)	10/17	M		
316. CORPORATE BONDS/NOTES IN IRA ROLLOVER ACCT #2:									
317. --Chase Manhattan Corp. Subordinate Notes									
318. --Goldman Sachs Group SR Unsub Notes Global									
319. --Citigroup Inc. Senior Notes									
320. --Target Corp. Notes									
321. --Proctor & Gamble Corp. Notes					Buy	12/16	M		
322. EQUITIES IN IRA ROLLOVER ACCT #2:									
323. --American Express, com.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

# FINANCIAL DISCLOSURE REPORT

Page 23 of 28

Name of Person Reporting

WERLEIN, JR., EWING

Date of Report

08/01/2009

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. --BP PLC, ADR					Sold (part)	04/28	J	C	
325.					Sold (part)	06/02	K	D	
326. --Citigroup, com.					Sold	07/16	J		
327. --ChevronTexaco, com.					Sold (part)	07/16	K	E	
328. --KO, com.									
329. --Exxon Mobil, com.									
330. --GE, com.									
331. --INTC, com.									
332. --J.P. Morgan Chase, com.									
333. --JNJ, com.									
334. --LLY, com.									
335. --Merck, com.									
336. --PEP, com.									
337. --Pfizer, com.					Sold (part)	01/08	J		
338.					Sold	04/28	K		
339. --WMT, com.					Sold (part)	04/28	J	A	
340. --Walgreen, com.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

# FINANCIAL DISCLOSURE REPORT

Page 24 of 28

Name of Person Reporting

WERLEIN, JR., EWING

Date of Report

08/01/2009

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
341. --American Intl. Group, com.					Sold	08/27	J		
342. --McGraw-Hill, Inc., com.									
343. --Microsoft Corp., com.									
344. --Altria Group, com.									
345. --Bank of America, com.									
346. --Ameriprise Finl Inc., com.									
347. --Automatic Data Proc., com.					Buy (add'l)	01/08	J		
348. --Emerson Elec. Co., com.									
349. --HSBC Hldg PLC, com.									
350. --Occidental Pete Corp. Cal., com.									
351. --Caterpillar, Inc., com.					Buy (add'l)	01/08	J		
352. --Halliburton Co., com.									
353. --Home Depot, Inc., com.									
354. --Merrill Lynch & Co. Inc., com.					Sold	07/16	J		
355. --Praxair Inc., com.									
356. --Proctor & Gamble., com.					Buy (add'l)	01/08	J		
357. --Target Corp., com.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I12 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	



**FINANCIAL DISCLOSURE REPORT**

Page 25 of 28

Name of Person Reporting

WERLEIN, JR., EWING

Date of Report

08/01/2009

**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

358. --Transocean, com.									
359. --United Technologies Corp., com.									
360. --United Health Group, com.					Sold	01/08	J	A	
361. --Cisco Systems Inc., com.									
362. --Medtronic Inc., com.									
363. --Microchip Tech., Inc., com.									
364. --Patriot Coal Corp., com.									
365. --Peabody Energy Corp., com.									
366. --Texas Instruments, com.									
367. --ThermoFisher Scient., Inc., com.					Sold	04/28	J	A	
368. --Kraft Foods Inc., com.					Sold (part)	01/08	K	D	
369.					Sold (part)	04/28	K	D	
370. --Abbott Labs, com.					Buy	07/16	K		
371. --ABB Ltd., com.					Buy	07/16	K		
372. --Rio Tinto PLC, com.					Buy	07/16	K		
373. --Entergy Corp. New, com.					Buy	04/28	K		
374. --Exelon Corp., com.					Buy	04/28	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

**FINANCIAL DISCLOSURE REPORT**

Page 26 of 28

Name of Person Reporting  
**WERLEIN, JR., EWING**

Date of Report  
08/01/2009

**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

375. --Fomento Ecnmco Mex., com.					Buy	01/08	K		
376. --Freeprt. McMoran CPR & Gold, com.					Buy	04/28	K		
377. --Genl Dynamics Corp., com.					Buy	04/28	K		
378. --McDermott Intl, com.					Buy	06/02	K		
379.					Buy (add'l)	08/27	J		
380. --McDonalds, com.					Buy	01/08	K		
381. --Natl Oilwell Varco, com.					Buy	06/02	K		
382. --Philip Morris Intl, com.					Spinoff (from line 344)	04/03	L		Stock div. from Altria
383. --Total SA., com.					Buy	01/08	K		
384. CASH ACCOUNTS IN IRA ROLLOVER ACCT #2:									
385. --ML Bank USA RASP									
386. --ML Bank B&T FSB RASP									
387. Vanguard Prime MM IRA Rollover	E	Dividend	N	T	Sold (part)	10/06	O		
388. Vanguard Admiral Trsy MM IRA Rollover	B	Dividend	P1	T	Buy	10/06	O		

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000	C=\$2,501 - \$5,000 H=\$5,001 - \$15,000 I=\$15,001 - \$50,000 J=\$50,001 - \$100,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000	E=\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J=\$15,000 or less N=\$250,001 - \$500,000 P3=\$25,000,001 - \$50,000,000	K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000	L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000 P4=More than \$50,000,000	M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q=Appraisal U=Book Value	R=Cost (Real Estate Only) V=Other	S=Assessment W=Estimated	T=Cash Market	

**FINANCIAL DISCLOSURE REPORT**

Page 27 of 28

Name of Person Reporting

WERLEIN, JR., EWING

Date of Report

08/01/2009

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

(1) Part I POSITIONS, line 4, also the following subsidiaries: Methodist Security Services, Inc.; The Methodist Hospital Foundation; The Methodist Hospital Research Institute; and TMH Health Care Group.

(2) Part I POSITIONS, line 5, also the following subsidiaries: Methodist Willowbrook Medical Office Bldgs Condominium Association; Methodist Willowbrook Medical Office Bldgs II Condominium Association; San Jacinto Methodist-Alexander Condominium Association; San Jacinto Methodist Hospital; SJMH Condominium Association; The Methodist Hospital Condominium Association; and TMH Medical Office Buildings.

(3) Part VII, page 4, lines 12 and 13: The year-end values of CNL Lifestyle Props. Inc. units, and Hines REIT, which are real estate REITS that are not publicly traded, are derived from the issuers' estimates of value at year end.

(4) Part VII, page 13, line 167: Nat'l City Bank C.D. was mistakenly shown on my 2007 Financial Disclosure Report as having been redeemed on 02/05/07. It was not actually redeemed until 02/05/2008. This note is intended to amend my 2007 Report to that effect.

(5) Part VII, page 14, line 185: The year-end value of IRA account in John Hancock Ind. Retirement Annuity, which is not publicly traded, was provided by John Hancock Life Ins. Co.

**FINANCIAL DISCLOSURE REPORT**

Page 28 of 28

Name of Person Reporting WERLEIN, JR., EWING	Date of Report 08/01/2009
---	------------------------------

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544