

AO 10  
Rev. 1/2010

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2009**

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

<b>1. Person Reporting</b> (last name, first, middle initial) WERLEIN, JR., EWING	<b>2. Court or Organization</b> USDC/SO. DIST. TEXAS	<b>3. Date of Report</b> 07/26/2010
<b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) DISTRICT JUDGE - SENIOR STATUS	<b>5a. Report Type</b> (check appropriate type) <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b> 01/01/2009 to 12/31/2009
<b>7. Chambers or Office Address</b> 515 RUSK AVENUE, ROOM 11521 HOUSTON, TX 77002-2605	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	
<p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</p>		

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Co-Trustee	Trust No. 1
2. Director & Chairperson	The Methodist Hospital, Houston, Texas
3. Director	TMH Medical Office Bldgs Condominium Assoc.
4. As Chairperson of TMH Board, director ex officio w/vote of following:	Medvest Holdings, Inc.; Methodist Health Centers; Methodist International; (cont'd in Note (1) in Part VIII)
5. As Chairman of TMH Board, director ex officio w/o vote of following:	Diagnostic Center Hospital Corp. of Texas; Medvest Inc. (cont'd in Note (2) in Part VIII)
6. Executor	Estate No. 1
7. Trustee	Trust No. 2

**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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**FINANCIAL DISCLOSURE REPORT**  
Page 2 of 24

Name of Person Reporting <b>WERLEIN, JR., EWING</b>	Date of Report 07/26/2010
--	------------------------------

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

**A. Filer's Non-Investment Income**

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1.		
2.		
3.		
4.		

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.  
(Dollar amount not required except for honoraria.)*

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

**IV. REIMBURSEMENTS** *-- transportation, lodging, food, entertainment.  
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	The Methodist Hospital	09/23-24/2009	New York, NY	Meeting with Medical School Affiliate	Transportation, lodging, and meals
2.					
3.					
4.					
5.					

**FINANCIAL DISCLOSURE REPORT**

Page 3 of 24

Name of Person Reporting WERLEIN, JR., EWING	Date of Report 07/26/2010
---	------------------------------

**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

**FINANCIAL DISCLOSURE REPORT**

Page 4 of 24

Name of Person Reporting <b>WERLEIN, JR., EWING</b>	Date of Report <b>07/26/2010</b>
--	-------------------------------------

**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Halliburton com.	A	Dividend	K	T					
2. Halliburton com.	A	Dividend	K	T					
3. BP PLC, ADR	C	Dividend	L	T					
4. Exxon Mobil com.	D	Dividend	N	T					
5. Anadarko Petroleum Corp. com.	A	Dividend	K	T					
6. Wal-Mart Stores com.	A	Dividend	J	T					
7. Exxon-Mobil com.	B	Dividend	L	T					
8. Johnson & Johnson, com.	C	Dividend	M	T					
9. Conoco Phillips, com.	B	Dividend	L	T					
10. Texas Capital Bankshares, com.		None	L	T					
11. HCP Inc, com. f/k/a HealthCare Prop.	A	Dividend	J	T					
12. CNL Lifestyle Props Inc. units	A	Dividend	K	V					See note (3) in Part VIII
13. Hines REIT, units	A	Dividend	K	V					See note (3) in Part VIII
14. AT&T, com. (X)	A	Dividend	K	T					
15. Bristol-Myers Squibb, com. (X)	A	Dividend	J	T					
16. Burlington Northern Santa Fe, com. (X)	A	Dividend	K	T					
17. Cisco Systems, com. (X)		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

**FINANCIAL DISCLOSURE REPORT**

Page 5 of 24

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18. Comcast Corp. Class A (X)	A	Dividend	J	T					
19. Dean Foods Co., com. (X)		None	J	T					
20. Goodyear, com. (X)		None	J	T					
21. Hewlett Packard, com. (X)	A	Dividend	K	T					
22. Intel Corp., com. (X)	A	Dividend	K	T					
23. IBM, com. (X)	A	Dividend	L	T					
24. JP Morgan Chase, com. (X)	A	Dividend	K	T					
25. LSI Corp., com. (X)		None	J	T					
26. Pepsico, Inc., com. (X)	A	Dividend	K	T					
27. Target Corp., com. (X)	A	Dividend	K	T					
28. Treehouse Foods, com. (X)		None	J	T					
29. Zimmer Holdings, Inc., com. (X)		None	J	T					
30. MUTUAL FUNDS:									
31. Fidelity MM Fund	A	Dividend	J	T					
32. Fidelity Low Price Stock	C	Dividend	O	T					
33. Fidelity Contrafund	B	Dividend	N	T					
34. Fidelity Mid-Cap Stock	A	Dividend	N	T					

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**FINANCIAL DISCLOSURE REPORT**

Page 6 of 24

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35. Assetmark Large-Cap Value		None			Buy (add'l)	01/12/09	J		
36.					Sold	09/21/09	K		
37. Assetmark Large-Cap Growth		None			Buy (add'l)	01/12/09	J		
38.					Sold	09/21/09	K		
39. Assetmark Small/Mid-Cap Value		None			Sold	09/21/09	J		
40. Assetmark Small/Mid-Cap Growth		None			Sold	09/21/09	J		
41. Assetmark International		None			Buy (add'l)	01/12/09	J		
42.					Sold	09/21/09	K		
43. Assetmark Real Estate Securities		None			Buy (add'l)	01/12/09	J		
44.					Sold	09/21/09	J		
45. Assetmark Tax-Exempt	A	Dividend			Sold (part)	03/23/09	J		
46.					Sold	09/21/09	L	B	
47. American Century Tax-Free Bond	A	Dividend	K	T	Sold (part)	02/19/09	J		
48.					Buy (add'l)	04/15/09	J		
49.					Sold (part)	05/21/09	J	A	
50.					Buy (add'l)	08/10/09	J		
51.					Buy (add'l)	12/09/09	J		

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**FINANCIAL DISCLOSURE REPORT**  
Page 7 of 24

Name of Person Reporting <b>WERLEIN, JR., EWING</b>	Date of Report <b>07/26/2010</b>
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52. Loomis Sayles Bond Fund Retail	A	Dividend	K	T	Sold (part)	02/19/09	J		
53.					Buy (add'l)	04/15/09	J		
54.					Buy (add'l)	08/10/09	J		
55.					Buy (add'l)	12/09/09	J		
56. Thornburg Internat'l Value	A	Dividend	J	T	Buy (add'l)	02/19/09	J		
57.					Sold (part)	04/15/09	J	A	
58.					Sold (part)	05/21/09	J	A	
59.					Buy (add'l)	08/10/09	J		
60.					Buy (add'l)	12/31/09	J		
61. Federated Mun. Trust MM	A	Dividend			Closed	09/30/09	J		
62. Oakmark Fund	A	Dividend	J	T	Buy (add'l)	02/19/09	J		
63.					Sold (part)	04/15/09	J		
64.					Sold (part)	05/21/09	J		
65.					Sold (part)	08/10/09	J		
66.					Buy (add'l)	12/31/09	J		
67. Federated Mun. Tr. MM	A	Dividend			Closed	10/21/09	J		
68. Clipper Fund	A	Dividend	K	T	Sold (part)	02/19/09	J		

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**FINANCIAL DISCLOSURE REPORT**

Page 8 of 24

Name of Person Reporting

WERLEIN, JR., EWING

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07/26/2010

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69.					Sold (part)	04/15/09	J		
70.					Sold (part)	05/21/09	J		
71.					Sold (part)	08/10/09	J		
72.					Buy (add'l)	12/31/09	J		
73. Adelante U.S. Real Est. Secs.	A	Dividend			Buy (add'l)	02/19/09	J		
74.					Sold	04/15/09	J	A	
75. FMI Large Cap Fund	A	Dividend	K	T	Buy (add'l)	02/19/09	J		
76.					Sold (part)	04/15/09	J		
77.					Sold (part)	08/10/09	J		
78.					Buy (add'l)	12/31/09	K		
79. Harbor Internat'l Fund Investor Class	A	Dividend	K	T	Buy (add'l)	02/19/09	J		
80.					Sold (part)	04/15/09	J		
81.					Sold (part)	05/21/09	J		
82.					Buy (add'l)	12/31/09	J		
83. Harbor Capital Appreciation Fund		None	K	T	Sold (part)	02/19/09	J		
84.					Buy (add'l)	04/15/09	J		
85.					Buy (add'l)	05/21/09	J		

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**FINANCIAL DISCLOSURE REPORT**

Page 9 of 24

Name of Person Reporting

WERLEIN, JR., EWING

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86.					Sold (part)	08/10/09	J		
87.					Buy (add'l)	12/31/09	J		
88. Oakmark Global Select Fund Class I	A	Dividend	K	T	Buy (add'l)	02/19/09	J		
89.					Sold (part)	04/15/09	J		
90.					Sold (part)	05/21/09	J		
91.					Sold (part)	08/10/09	J		
92.					Buy (add'l)	12/31/09	J		
93. Pimco High Yield Fund Class D	A	Dividend	J	T	Sold (part)	02/19/09	J	A	
94.					Buy (add'l)	04/15/09	J		
95.					Sold (part)	05/21/09	J	B	
96.					Sold (part)	08/10/09	J	A	
97.					Buy (add'l)	12/11/09	J		
98. Touchstone Sands Capital Select		None	J	T	Sold (part)	02/19/09	J		
99.					Sold (part)	04/15/09	J		
100.					Sold (part)	05/21/09	J		
101.					Sold (part)	08/10/09	J		
102. Vanguard Prime MM Fund	A	Dividend	M	T					

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**FINANCIAL DISCLOSURE REPORT**

Page 10 of 24

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103. JP Morgan High Yield Bond Fund	A	Dividend	K	T	Buy	02/19/09	J		
104.					Buy (add'l)	04/15/09	J		
105.					Buy (add'l)	05/21/09	J		
106.					Sold (part)	08/10/09	J	A	
107.					Buy (add'l)	12/11/09	J		
108. Pimco Emerging Local Bond Fund	A	Dividend	K	T	Buy	08/10/09	J		
109.					Buy (add'l)	12/11/09	J		
110. Pimco Unconstrained Bond Fund Class D	A	Dividend	K	T	Buy	05/21/09	K		
111.					Buy (add'l)	08/10/09	J		
112.					Buy (add'l)	12/11/09	K		
113. SSGA Emerging Markets Fund	A	Dividend	K	T	Buy	05/21/09	J		
114.					Sold (part)	08/10/09	J	A	
115.					Buy (add'l)	12/31/09	J		
116. Prime Fund-Capital Reserves MM	A	Dividend	L	T	Open	09/30/09	M		
117. Nuveen Mun. Mkt. Oppor. Fund (X)	A	Dividend	J	T					
118. BONDS and U.S. TREASURY NOTES:									
119. Dallas, TX Ind. Sch. bonds	B	Interest			Redeemed	09/01/09	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

# FINANCIAL DISCLOSURE REPORT

Page 11 of 24

Name of Person Reporting

WERLEIN, JR., EWING

Date of Report

07/26/2010

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Frisco, TX AMBAC bonds	B	Interest	K	T					
121. Halifax Hosp. Med. Ctr. Fla. (X)	A	Interest	J	T					
122. Lower Colo. Riv. Auth. Tex. (X)		None	J	T					
123. Montgomery Co. Pa. Hghr. Ed. (X)	A	Interest	J	T					
124. Illinois Dev. Fin. Auth. (X)	A	Interest			Redeemed	11/15/09	J		
125. Texas St. Dept. HSG & CMTY Affairs (X)	A	Interest	J	T					
126. Meriden Conn. G10 Bonds (X)		None	K	T					
127. Mission TX Cons. Indpt. Sch. Dist. (X)	A	Interest	J	T					
128. Austin ISD Tex. U/T Ref. Ser. A (X)		None	K	T					
129. New Jersey St. Transn. TR FD Auth. Sys. Ser A (X)	A	Interest	K	T					
130. Univ Tex Perm Univ FD RFDG Ser. B (X)		None	K	T					
131. Pearland TX Indpt Sch Dist Ser A U/T B/EDD (X)	A	Interest	K	T					
132. ACCOUNTS IN FINANCIAL INST:									
133. Merrill Lynch Cash/Money Accts	A	Dividend	K	T					
134. Northern Trust Bank, Houston, check. acct.	A	Interest	K	T					
135. Bank of America, Houston, TX (Accounts)	A	Interest	N	T					
136. Encore Bank, Houston, CD	A	Interest			Redeemed	04/10/09	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

**FINANCIAL DISCLOSURE REPORT**

Page 12 of 24

Name of Person Reporting

WERLEIN, JR., EWING

Date of Report

07/26/2010

**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Intervest Bank, Clearwater, FL, CD	B	Interest			Redeemed	04/06/09	L		
138. Key Bank USA CD	C	Interest	L	T					
139. Comerica Bank, Houston (accts)	A	Interest	L	T					
140. Texas Capital Bank, Houston (acct)	A	Interest	J	T					
141. REAL ESTATE:									
142. Condo-Larimer Co., CO	E	Rent	N	W					
143. Working Interest-Cabot U., Andrews Co., TX	D	Royalty	K	W					
144. Royalty Interest, Ector Co., TX	A	Royalty	J	W					
145. Royalty Interest, Wheeler Co., TX	A	Royalty	J	W					
146. Royalty Interest, Martin Co., TX	A	Royalty	J	W					
147. Royalty Interest, Glasscock County, TX (X)	D	Rent	J	W					
148. Fractional int. in real property, Kerr County, TX (X)	A	Rent	J	W					
149. RETIREMENT & IRA ACCOUNTS:									
150. IRA Acct: John Hancock Ind. Ret. Annuity		None	K	V					See Note-Part VIII
151. IRA Acct: CGM Focus Fund	A	Dividend	L	T					
152. IRA ROLLOVER ACCOUNT: All entries that follow from Lines 155	E	Dividend	PI	T					
153. through 203 are under the discretionary		Interest							

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

**FINANCIAL DISCLOSURE REPORT**  
Page 13 of 24

Name of Person Reporting <b>WERLEIN, JR., EWING</b>	Date of Report <b>07/26/2010</b>
--	-------------------------------------

**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

154. management of a registered investment manager.									
155. --Pfizer Inc., com.									
156. --Altria Group, com.									
157. --Schwab MM Funds									
158. --Americredit Corp., com.					Sold	02/23/09	J		
159. --Comcast Corp. New Cl. A					Sold (part)	02/20/09	J		
160. --Consol. Energy, com.									
161. --Leucadia Natl Corp., com.									
162. --Mercury Genl Corp., com.									
163. --NVR Inc., com.									
164. --Capital One Fin. Corp., com.					Sold	02/20/09	J		
165. --Key Energy Serv., com.									
166. --RLI Corp., com.									
167. --Berkshire Hathaway CL B									
168. --Cintas Corp., com.									
169. --Amer. Eagle Outfitters New, com.									
170. --Gannett Co., com.					Sold	02/20/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

# FINANCIAL DISCLOSURE REPORT

Page 14 of 24

Name of Person Reporting

WERLEIN, JR., EWING

Date of Report

07/26/2010

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. --Home Depot, com.									
172. --Tyco Int'l Ltd., com.									
173. --Tower Group Inc., com.(fka Castlepoint Hldgs F, com.)									
174. --Coleman Cable Inc., com.									
175. --Johnson & Johnson, com.									
176. --Kraft Foods Inc., com.									
177. --Meruelo Maddux PPTYS Inc., com.					Sold	02/23/09	J		
178. --Sherwin Williams Co., com.									
179. --RAIT Financial Trust					Sold	03/18/09	J		
180. --Liberty Media Corp. CL A, com.					Sold (part)	02/20/09	J	B	
181.					Merged (with line 202)	11/25/09	K		See lines 202 and 203
182. --Annaly Cap. Mgmt REIT									
183. --Republic Svcs, com.									
184. --Boston Priv. Finl Hldg, com.									
185. --Horsehead Hldg Corp., com.									
186. --Illinois Tool Works, com.									
187. --Kohls Corp., com.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

# FINANCIAL DISCLOSURE REPORT

Page 15 of 24

Name of Person Reporting

WERLEIN, JR., EWING

Date of Report

07/26/2010

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. --Microsoft, com.									
189. --Myr Group, com.									
190. --Orion Marine Group, com.									
191. --Pinnacle Gas Res., com.					Sold	03/18/09	J		
192. --Stryker Corp., com.									
193. --Synovus Financial, com.									
194. --Wellpoint, Inc., com.									
195. --Philip Morris Intl, com.									
196. --Cablevision Sys., com.					Buy	02/20/09	K		
197. --Thermo Fish. Sc., com.					Buy	02/20/09	K		
198. --Procter & Gamble, com.					Buy	02/23/09	K		
199. --Kroger, com.					Buy	09/09/09	K		
200. --Northern O&G, com.					Buy	10/30/09	K		
201. --Amer. River Banksh., com.					Buy	12/07/09	J		
202. --Liberty Media Corp. A, com.						11/25/09	J		reorg. from line 180
203. --Direct TV Cl. A, com.						11/25/09	K		merger from line 180
204. TRUST NO. 1	E	Dividend			Distributed	07/09/09	P1		See Note in Part VIII

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

**FINANCIAL DISCLOSURE REPORT**

Page 16 of 24

Name of Person Reporting

WERLEIN, JR., EWING

Date of Report

07/26/2010

**VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)**

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A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

205. IRA ROLLOVER ACCT. NO. 2. All entries that follow from	G	Dividend	PI	T					
206. lines 210 thru 291 are under the full discretionary		Interest							
207. management of a registered investment manager.									
208. FEDERAL AGENCY BONDS, NOTES, AND MORTGAGES									
209. in IRA ROLLOVER ACCT #2:									
210. --Federal Home Ln Mtg Corp Notes due 03/09					Redeemed	03/15/09	L	A	
211. --Federal Natl Mtg Assoc Sub Notes due 02/11									
212. --Fed. Nat'l Mtg Assn. Callable Notes due 01/10									
213. --Fedi Natl Mtg Assoc. due 07/13					Redeemed	01/11/09	M		
214. --ML US Trea. Money Fd					Redeemed	02/03/09	N		
215. --U.S. Treasury Bill due 11/09					Buy	03/13/09	L		
216.					Redeemed	11/19/09	L		
217. --U.S. Treasury Bill due 12/09					Buy	05/28/09	L		
218.					Redeemed	12/17/09	L		
219. --U.S. Treasury Bill due 12/10					Buy	12/17/09	M		
220. CORPORATE BONDS/NOTES IN IRA ROLLOVER ACCT #2:									
221. --Chase Manhattan Corp. Subordinate Notes					Redeemed	02/15/09	L	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					



**FINANCIAL DISCLOSURE REPORT**

Page 17 of 24

Name of Person Reporting

WERLEIN, JR., EWING

Date of Report

07/26/2010

**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. --Goldman Sachs Group SR Unsub Notes Global					Redeemed	05/15/09	L		
223. --Citigroup Inc. Senior Notes									
224. --Target Corp. Notes									
225. --Proctor & Gamble Corp. Notes									
226. --AT&T Notes					Buy	02/06/09	M		
227. --Conoco Phillips Notes					Buy	02/10/09	M		
228. --Amgen Inc. Notes					Buy	02/17/09	M		
229. --Hewlett Packard Notes					Buy	02/25/09	M		
230. --Medtronic Inc. Notes					Buy	03/10/09	M		
231. --Procter & Gamble Notes					Buy	03/24/09	M		
232. --Wells Fargo MT Notes					Buy	12/17/09	M		
233. --Morgan Stanley Notes					Buy	12/17/09	M		
234. EQUITIES IN IRA ROLLOVER ACCT #2:									
235. --American Express, com.									
236. --BP PLC, ADR									
237. --ChevronTexaco, com.									
238. --KO, com.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

**FINANCIAL DISCLOSURE REPORT**  
Page 18 of 24

Name of Person Reporting <b>WERLEIN, JR., EWING</b>	Date of Report <b>07/26/2010</b>
--	-------------------------------------

**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
239. --Exxon Mobil, com.									
240. --GE, com.									
241. --INTC, com.									
242. --J.P. Morgan Chase, com.									
243. --JNJ, com.					Buy (add'l)	05/26/09	K		
244. --LLY, com.					Sold (part)	11/04/09	K		
245. --Merck, com.					Buy (add'l)	11/04/09	K		
246. --PEP, com.									
247. --WMT, com.									
248. --Walgreen, com.									
249. --McGraw-Hill, Inc., com.									
250. --Microsoft Corp., com.									
251. --Altria Group, com.									
252. --Bank of America, com.									
253. --Ameriprise Finl Inc., com.					Sold	05/26/09	J	A	
254. --Automatic Data Proc., com.									
255. --Emerson Elec. Co., com.					Sold	05/26/09	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

**FINANCIAL DISCLOSURE REPORT**  
Page 19 of 24

Name of Person Reporting <b>WERLEIN, JR., EWING</b>	Date of Report <b>07/26/2010</b>
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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. --HSBC Hldg PLC, com.									
257. --Occidental Pete Corp. Cal., com.									
258. --Caterpillar, Inc., com.									
259. --Halliburton Co., com.									
260. --Home Depot, Inc., com.									
261. --Praxair Inc., com.									
262. --Proctor & Gamble, com.									
263. --Target Corp., com.									
264. --Transocean, com.									
265. --United Technologies Corp., com.									
266. --Cisco Systems Inc., com.									
267. --Medtronic Inc., com.									
268. --Microchip Tech., Inc., com.									
269. --Patriot Coal Corp., com.									
270. --Peabody Energy Corp., com.									
271. --Texas Instruments, com.									
272. --Kraft Foods Inc., com.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

**FINANCIAL DISCLOSURE REPORT**

Page 20 of 24

Name of Person Reporting  
**WERLEIN, JR., EWING**

Date of Report  
**07/26/2010**

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273. --Abbott Labs, com.					Buy (add'l)	05/26/09	J		
274. --ABB Ltd., com.					Sold	11/04/09	J		
275. --Rio Tinto PLC, com.									
276. --Entergy Corp. New, com.									
277. --Exelon Corp., com.									
278. --Fomento Económico Mex., com.									
279. --Freeport. McMoran CPR & Gold, com.									
280. --Genl Dynamics Corp., com.									
281. --McDermott Intl, com.					Sold	05/26/09	J		
282. --McDonalds, com.									
283. --Nat'l Oilwell Varco, com.					Sold	05/26/09	J		
284. --Philip Morris Intl, com.									
285. --Total SA., com.									
286. --Becton Dickinson, com.					Buy	11/04/09	J		
287. CASH ACCOUNTS IN IRA ROLLOVER ACCT #2:									
288. --ML Bank USA RASP					Closed	02/03/09	M		
289. --ML Bank B&T FSB RASP					Closed	02/03/09	L		

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**FINANCIAL DISCLOSURE REPORT**

Page 21 of 24

Name of Person Reporting

WERLEIN, JR., EWING

Date of Report

07/26/2010

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290. --RF3 Money Fund					Open	02/04/09	L		
291. --DDG Money Fund					Open	02/04/09	N		
292. Vanguard Prime MM IRA Rollover	B	Dividend	N	T					
293. Vanguard Admiral Trsy MM IRA Rollover	B	Dividend	P1	T					
294. [REDACTED] Estate No. 1:	E	Int./Div.	P1	T	Open	07/28/09	P1		
295. --AT&T Inc., com.					Sold	12/18/09	M	E	
296. --Centerpoint Energy Inc., com.					Sold	12/18/09	K	D	
297. --ExxonMobil, com.									
298. --HSBC Hldg PLC Sp ADR					Sold	12/18/09	L	E	
299. --Southern Co., com.					Sold	12/18/09	L	D	
300. --Pfizer (fk/a Wyeth)					Sold	12/18/09	K	B	
301. --XCEL Energy, Inc., com.					Sold	12/18/09	L	D	
302. --Halifax Hosp. Med. Ctr. FL									
303. --New York City G/O Ser. E									
304. --Brevard Co. FL Hlth Fac.									
305. --New York St. Dorm Auth. Revs.									
306. --Abilene TX ARPT-CTFS Oblig.									

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**FINANCIAL DISCLOSURE REPORT**

Page 22 of 24

Name of Person Reporting  
**WERLEIN, JR., EWING**

Date of Report  
 07/26/2010

**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. --Citibank MM					Closed	12/02/09	J		
308. --Real Property, Harris County, TX				W					
309. --Real Property, Kerr County, TX				W					
310. --Fidelity MM					Open	12/10/09	J		
311. Trust No. 2:	A	Dividend	M	T	Open	12/16/09	M		
312. --Fidelity MM									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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**FINANCIAL DISCLOSURE REPORT**

Page 23 of 24

Name of Person Reporting

WERLEIN, JR., EWING

Date of Report

07/26/2010

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

(1) Part I POSITIONS, line 4, also the following subsidiaries: The Methodist Hospital Foundation; The Methodist Hospital, The Methodist Hospital Foundation, The Methodist Hospital Research Institute, TMH Health Care Group, and TMH Medical Office Buildings Condominium Association

(2) Part I POSITIONS, line 5, also the following subsidiaries: Methodist Willowbrook Medical Office Bldgs Condominium Association; Methodist Willowbrook Medical Office Bldgs II Condominium Association; San Jacinto Methodist-Alexander Condominium Association; San Jacinto Methodist Hospital; SJMH Condominium Association; The Methodist Hospital Condominium Association; and TMH Medical Office Buildings.

(3) Part VII, page 4, lines 12 and 13: The year-end values of CNL Lifestyle Props. Inc. units, and Hines REIT, which are real estate REITS that are not publicly traded, are derived from the issuers' estimates of value at year end.

(4) Part VII, page 12, line 150: The year-end value of IRA account in John Hancock Ind. Retirement Annuity, which is not publicly traded, was provided by John Hancock Life Ins. Co.

(5) Part VIII, page 15, line 204: Trust No. 1 was distributed to contingent beneficiaries after death of the primary beneficiary.

**FINANCIAL DISCLOSURE REPORT**

Page 24 of 24

Name of Person Reporting

WERLEIN, JR., EWING

Date of Report

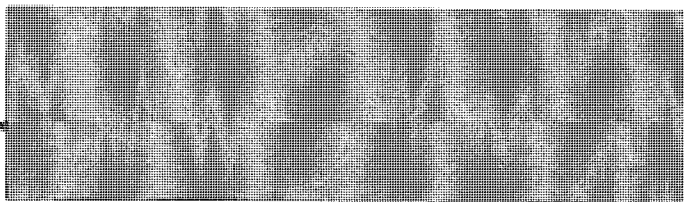
07/26/2010

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544