

# FINANCIAL DISCLOSURE REPORT

## FOR CALENDAR YEAR 2003

*Report Required by the Ethics  
in Government Act of 1978,  
(5 U.S.C. App., §§101-111)*

<b>1. Person Reporting</b> ( <i>Last name, first, middle initial</i> ) PÉREZ-GIMÉNEZ, JUAN M.	<b>2. Court or Organization</b> U.S.D.C. PUERTO RICO	<b>3. Date of Report</b> APRIL 14, 2004 (Amended: 8/5/04)
<b>4. Title</b> ( <i>Article III judges indicate active or senior status; magistrate judges indicate full- or part-time</i> ) U.S. DISTRICT JUDGE - ACTIVE	<b>5. Report Type</b> (check appropriate type) ___ Nomination, Date _____ ___ Initial <input checked="" type="checkbox"/> Annual ___ Final	<b>6. Reporting Period</b> 1/1/03 to 12/21/03
<b>7. Chambers or Office Address</b> FEDERAL BUILDING, ROOM CH-125 150 CARLOS CHARDÓN AVENUE SAN JUAN, PR 00918-1765	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b> Reviewing Officer _____ Date _____	

*IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

**I. POSITIONS.** (*Reporting individual only; see pp. 9-13 of Instructions.*)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1	<input checked="" type="checkbox"/> NONE (No reportable positions.)	
2	_____	_____
3	_____	_____

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 FINANCIAL DISCLOSURE OFFICE

**II. AGREEMENTS.** (*Reporting individual only; see pp. 14-16 of Instructions.*)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1	<input checked="" type="checkbox"/> NONE (No reportable agreements.)	
2	_____	_____
3	_____	_____

**III. NON-INVESTMENT INCOME.** (*Reporting individual and spouse; see pp. 17-24 of Instructions.*)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u> (yours, not spouse's)
1	<input checked="" type="checkbox"/> NONE (No reportable non-investment income.)		\$ _____
2	_____	_____	\$ _____
3	_____	_____	\$ _____
4	_____	_____	\$ _____
5	_____	_____	\$ _____

# FINANCIAL DISCLOSURE REPORT

Name of Person Reporting  
PEREZ-GIMÉNEZ, JUAN M.

Date of Report  
APRIL 14, 2004  
(Amended: 8/5/04)

## IV. REIMBURSEMENTS – transportation, lodging, food, entertainment. (Includes those to spouse and dependent children. See pp. 25-27 of Instructions.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>
<input checked="" type="checkbox"/>	NONE (No such reportable reimbursements.)	
1		
2		
3		
4		
5		
6		
7		

## V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of Instructions.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
<input type="checkbox"/>	NONE (No such reportable gifts.)		
1	CAPARRA COUNTRY CLUB	HONORARY MEMBERSHIP (ANNUAL DUES)	\$ 1,080.00
2	BANKER'S CLUB	HONORARY MEMBERSHIP (ANNUAL DUES)	\$ 750.00
3			\$
4			\$

## VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-33 of Instructions.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE*</u>
<input checked="" type="checkbox"/>	NONE (No reportable liabilities.)		
1			
2			
3			
4			
5			
6			

\*Value Codes: J=\$15,000 or less    K=\$15,001-\$50,000    L=\$50,001-\$100,000    M=\$100,001-\$250,000    N=\$250,001-\$500,000  
 O=\$500,001-\$1,000,000    P1=\$1,000,001-\$5,000,000    P2=\$5,000,001-\$25,000,000  
 P3=\$25,000,001-\$50,000,000    P4=\$50,000,001 or more

Name of Person Reporting <b>PÉREZ-GIMÉNEZ, JUAN M.</b>	Date of Report <b>APRIL 14, 2004</b> (Amended: 8/5/04)
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# FINANCIAL DISCLOSURE REPORT

## VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions *(Includes those of spouse and dependent children. See pp. 34-57 of Instructions.)*

A. Description of Assets (including trust assets)  <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt. Code1 (A-H)	Type (e.g., div., rent or int.)	Value Code2 (J-P)	Value Method Code3 (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date: Month- Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
<input type="checkbox"/> NONE (No reportable income, assets,									
1 INVERSIONES MISIJOS, INC. (Common Stock)	E	Div	O	U*					
2 IRA-Oriental Federal Saving Bank (Cash Equivalent)	C	Interest	L	T	Buy	4/1	J		
3 IRA-Oriental Federal Savings Bank (Cash Equivalent)	B	Interest	K	T	Buy	4/1	J		
4 PR PUBLIC FIN CORP (7%)	A	Interest	K	T	Partial Sales	4/23	J		
5 PR PUBLIC FIN CORP (7%)	A	Interest	K	T	Partial Sales	7/22	J		
6 UBS MONEY MARKET FUND*	A	Dividend	J	T					
7 P.R. HOME MTG. 6.30%	B	Interest	K	T					
8 P.R. HOME MTG. 6.10%	A	Interest	K	T					

1	Income/Gain Codes: (See Col. B1, D4)	A=\$1,000 or less F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
2	Value Codes: (See Col. C1, D3)	J=\$15,000 or less N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$50,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	
3	Value Method Codes: (See Col. C2)	Q=Appraisal U=Book value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting  PÉREZ-GIMÉNEZ, JUAN M.	Date of Report APRIL 14, 2004 (Amended: 8/5/04)
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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)**

PART VII, PAGE 1, LINE 1, COLUMN C (2) :

THE COMMON STOCKS OF INVERSIONES MISIJOS, INC., ARE NOT PUBLICLY TRADED SINCE THIS IS A [REDACTED] CORPORATION OWNED BY [REDACTED] MYSELF. AS SUCH, I WILL CONTINUE TO USE THE VALUE METHOD CODE U.

PART VII, PAGE 1, LINE 5 :

THESE OCCURRED DURING THE YEAR 2003 A NAME CHANGE FROM PAINE WEBBER TO UBS FINANCIAL SERVICES, INC., BUT THE INVESTMENT REMAINS THE SAME.

**X. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app., § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature: [REDACTED] \_\_\_\_\_ Date August 5, 2004

NOTE: [REDACTED] KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. App., § 104.)

**FILING INSTRUCTIONS:**

Mail signed original and 3 additional copies to:  
Committee on Financial Disclosure  
Administrative Office of the  
United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544

## FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2003

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<b>1. Person Reporting</b> ( <i>Last name, first, middle initial</i> ) PÉREZ-GIMÉNEZ, JUAN M.	<b>2. Court or Organization</b> U.S.D.C. PUERTO RICO	<b>3. Date of Report</b> APRIL 14, 2004
<b>4. Title</b> ( <i>Article III judges indicate active or senior status; magistrate judges indicate full- or part-time</i> )  U.S. DISTRICT JUDGE - ACTIVE	<b>5. Report Type</b> (check appropriate type) ___ Nomination, Date _____ ___ Initial <input checked="" type="checkbox"/> Annual    ___ Final	<b>6. Reporting Period</b> 1/01/03 to 12/31/03
<b>7. Chambers or Office Address</b> FEDERAL BUILDING, ROOM CH-125 150 CARLOS CHARDON AVENUE SAN JUAN, PR 00918-1765	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	

**IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

**I. POSITIONS.** (*Reporting individual only; see pp. 9-13 of Instructions.*)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
<input checked="" type="checkbox"/> NONE (No reportable positions.)	
1 _____	
2 _____	
3 _____	

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 FINANCIAL DISCLOSURE OFFICE

**II. AGREEMENTS.** (*Reporting individual only; see pp. 14-16 of Instructions.*)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
<input checked="" type="checkbox"/> NONE (No reportable agreements.)	
1 _____	
2 _____	

**III. NON-INVESTMENT INCOME.** (*Reporting individual and spouse; see pp. 17-24 of Instructions.*)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u>
<b>A. Filer's Non-Investment Income</b>		
<input checked="" type="checkbox"/> NONE (No reportable non-investment income.)		
1 _____		\$ _____
2 _____		\$ _____
3 _____		\$ _____

**B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)**

<input checked="" type="checkbox"/> NONE (No reportable non-investment income.)	
1 _____	
2 _____	

# FINANCIAL DISCLOSURE REPORT

Name of Person Reporting  
PÉREZ-GIMÉNEZ, JUAN M.

Date of Report  
APRIL 14, 2004

## IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment. (Includes those to spouse and dependent children. See pp. 25-27 of Instructions.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>
<input checked="" type="checkbox"/>	NONE (No such reportable reimbursements.)	
1		
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5		
6		
7		

## V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of Instructions.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
<input type="checkbox"/>	NONE (No such reportable gifts.)		
1	CAPARRA COUNTRY CLUB	HONORARY MEMBERSHIP (ANNUAL DUES)	\$ 1,080.00
2	BANKER'S CLUB	HONORARY MEMBERSHIP (ANNUAL DUES)	\$ 780.00
3			\$
4			\$

## VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-33 of Instructions.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE*</u>
<input checked="" type="checkbox"/>	NONE (No reportable liabilities.)		
1			
2			
3			
4			
5			

\* Value Code: I = \$0-\$50,000; II = \$50,001-\$100,000; III = \$100,001-\$250,000; IV = \$250,001-\$500,000; V = \$500,001-\$1,000,000; VI = \$1,000,001-\$5,000,000

# FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

PÉREZ-GIMÉNEZ, JUAN M.

Date of Report

APRIL 14, 2004

## VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-57 of Instructions.)

1-7	Description of Investment or Trust	Income Type	Frequency	Code	Transaction Type	Date			Value	Gain/Loss
						Month	Day	Year		
	<input type="checkbox"/> NONE (No reportable income, assets,									
1	Inversiones Misijos Inc., (Common Stock)	E Dividend	O	U*						
2	IRA -Oriental Federal Savings Bank (Cash Equivalent	C Interest	L	T	Buy	4/1	J			
3	IRA -Oriental Federal Savings Bank (Cash Equivalent	B Interest	K	T	Buy	4/1	J			
4	PR PUBLIC FIN CORP. (7%)				Partial Sales	4/23, 7/22	J			
5	UBS MONEY MARKET FUND*	A Dividend	J	T						
6	P.R. HOME MTG. 6.30%	B Interest	K	T						
7	P.R. INVEST. FUND 6.10%	A Interest	K	T						

Income/Gain Codes: (See Col. 10)	A-\$1,000 or less B-\$1,001-\$2,500 C-\$2,501-\$5,000 D-\$5,001-\$10,000 E-\$10,001-\$25,000 F-\$25,001-\$50,000 G-\$50,001-\$100,000 H-\$100,001-\$500,000 I-\$500,001-\$1,000,000 J-More than \$1,000,000	Transaction Codes: (See Col. 11)	O-Other U-Unknown V-Other W-Estimated	Value Method Codes: (See Col. 12)	C-Cost (real estate only) M-Cash/Market
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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)**

PART VII, PAGE 1, LINE 1, COLUMN C (2) :

THE COMMON STOCKS OF INVERSIONES MISIJOS, INC., ARE NOT PUBLICLY TRADED SINCE THIS IS A [REDACTED] CORPORATION OWNED BY MY [REDACTED] AND MYSELF. AS SUCH, I WILL CONTINUE TO USE THE VALUE METHOD CODE U.

PART VII, PAGE 1, LINE 5 :

THERE OCCURRED DURING THE YEAR 2003 A NAME CHANGE FROM PAINWEBBER TO UBS FINANCIAL SERVICES, INC., BUT THE INVESTMENT REMAINS THE SAME.

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app., § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature

Date

*April 14, 2004*

NOTE: [REDACTED] INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. App., § 104.)

## FILING INSTRUCTIONS

Committee on Financial Disclosure  
Administrative Office of the  
United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544