

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2007**

<b>1. Person Reporting</b> (last name, first, middle initial)  Moore, Karen N	<b>2. Court or Organization</b>  U.S. Court of Appeals-6th Cir.	<b>3. Date of Report</b>  05/14/2008
<b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)  U.S. Circuit Judge - Active	<b>5a. Report Type</b> (check appropriate type) <input type="checkbox"/> Nomination,                      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b>  01/01/2007 to 12/31/2007
<b>7. Chambers or Office Address</b>  Carl B. Stokes U.S. Courthouse 801 W. Superior Ave., Ste 21A Cleveland, Ohio 44113-1831	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	
<p><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</p>		

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

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 DISCLOSURE OFFICE

**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income** NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)* NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2007	Squire Sanders & Dempsey
2.	
3.	
4.	

**IV. REIMBURSEMENTS** – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)* NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Washington University School of Law	2/05 - 2/06	St. Louis, MO	Judge of Moot Court	Transportation, meals, lodging
2.	University of Pennsylvania Law School	2/08 - 2/09	Philadelphia, PA	Speaker at Conference	Transportation, meals, lodging
3.	American Constitution Society for Law & Policy	7/28 - 7/29	Washington, DC	Speaker at Conference	Transportation, meals, lodging
4.					
5.					

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Huntington Bank	B	Interest	K	T					
2. Key Bank	A	Interest	K	T					
3. Fidelity Spartan Ohio Muni Income (Tax-exempt)	A	Int./Div.			Buy	1/3	J		
4. " " "					Sale	1/22	K	A	
5. Nicholas Fund, Inc		None			Sale	5/15	M	D	
6. DWS Cash Investment Trust	A	Dividend	J	T					
7. DWS Managed Municipal Bonds	D	Dividend	M	T					
8. Dreyfus Municipal Money Market Fund	B	Dividend	K	T					
9. IRA Ohio Savings (CD) aka/Amtrust Bank [NOTE: See Pt VIII]		None			Ex out	1/19	J		
10. IRA T. Rowe Price New Horizons Fund	D	Dividend	M	T					
11. TIAA (Pension Fund)	E	Interest	N	T					
12. CREF (Pension Fund)		None	P1	T					
13. Vanguard Wellesley Income Fund (Retirement Fund)	C	Dividend	L	T					
14. Vanguard Windsor Fund (Retirement Fund)	D	Dividend	L	T					
15. Vanguard Wellington Fund (Retirement Fund)	D	Dividend	L	T					
16. Pension Plan--Dodge & Cox Stock Fund [NOTE: See Pt VIII]	E	Int./Div.	N	T	Buy		J		
17. Pension Plan--Baron Asset Fund [NOTE: See Pt VIII]	E	Int./Div.	O	T	Buy		J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Squire Sanders & Dempsey Capital Contribution Account		None	M	U					
19. Cash value group universal life ins., Mass. Mutual Life Ins.	D	Interest	N	T	Premium	01,07	J		
20. Pension Plan--Fidelity Capital Appreciation [See Pt VIII]	E	Int./Div.	N	T	Buy		J		
21. Pension Plan--Fidelity Diversified Int'l [NOTE: See Pt VIII]	E	Int./Div.	N	T	Buy		J		
22. Pension Plan--Legg Mason Value Trust Inst [See Pt VIII]	E	Int./Div.	N	T	Buy		J		
23. Huntington Bank	A	Interest	K	T					
24. Victory Tax-Free Money Market	B	Dividend	L	T					
25. National City Bank	B	Interest	L	T					
26. Vanguard Explorer	A	Dividend	J	T					
27. Vanguard Windsor	B	Dividend	J	T					
28. Vanguard Small-Cap Growth Index	A	Dividend	K	T					
29. T. Rowe Price Growth Stock	A	Dividend	K	T					
30. Fidelity Magellan		None			Sale	1/22	J	B	
31. IRA American Funds--Capital World Growth & Income CL A	B	Dividend	K	T	Ex in frm 9	1/25	J		
32. " " "					Buy	3/7	J		
33. IRA American Funds--Growth Fund of Am CL A	A	Dividend	J	T	Ex in frm 9	1/25	J		
34. " " "					Buy	3/7	J		

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35. IRA American Funds--Investment Co of Am CL A	B	Dividend	K	T	Ex in frm 9	1/25	J		
36. " " "					Buy	3/7	J		
37. IRA American Funds--Capital World Growth & Income CL A	C	Dividend	K	T	Buy	1/19	J		
38. IRA American Funds--Growth Fund of Am CL A	C	Dividend	L	T					
39. IRA American Funds--Investment Co. of Am CL A	C	Dividend	L	T					
40. Pension Plan--WFA Small Cap Val Z [NOTE: See Pt VIII]	E	Int./Div.	M	T	Buy		J		
41. American Funds--Capital Income Builder Fund CL A	D	Dividend	M	T					
42. Hartford Capital Appreciation Fund CL A	E	Dividend	M	T					
43. American Funds--Investment Co. of Am. CL A (X)	B	Dividend	K	T	Buy	1/26	K		
44. " " "					Buy	5/21	J		
45. American Funds--Fundamental Investors FD CL A (X)	B	Dividend	K	T	Buy	1/26	K		
46. " " "					Buy	5/21	J		
47. American Funds--Europacific Growth Fund CL A (X)	C	Dividend	K	T	Buy	1/26	K		
48. " " "					Buy	5/21	J		
49. Hartford Capital Appreciation Fund CL A (X)	D	Dividend	L	T	Buy	5/21	L		

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

PART VII, Column D(1): Ex out to = Exchange out to

PART VII, Column D(1): Ex in frm = Exchange in from

PART VII, Record 9, Column D(1): Ex out to 31, 33, 35

PART VII, Record 16, Column D(2): Regular purchases made; total for year listed in Column D(3)

PART VII, Record 17, Column D(2): Regular purchases made; total for year listed in Column D(3)

PART VII, Record 20, Column D(2): Regular purchases made; total for year listed in Column D(3)

PART VII, Record 21, Column D(2): Regular purchases made; total for year listed in Column D(3)

PART VII, Record 22, Column D(2): Regular purchases made; total for year listed in Column D(3)

PART VII, Record 40, Column D(2): Regular purchases made; total for year listed in Column D(3)

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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature \_\_\_\_\_  


**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544