

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2004**

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) <b>Forester, Karl S</b>	2. Court or Organization <b>United States District Court</b>	3. Date of Report <b>5/13/2005</b>
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) <b>Active Article III Judge</b>	5. Report Type (check appropriate type) <input type="radio"/> Nomination    Date <b>7/27/1988</b> <input type="radio"/> Initial <input checked="" type="radio"/> Annual <input type="radio"/> Final	6. Reporting Period <b>1/1/2004</b> to <b>12/31/2004</b>
7. Chambers or Office Address <b>P.O. Box 2165 Lexington, KY 40588</b>	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.  Reviewing Officer _____ Date _____	

**IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of filing instructions)

**NONE** - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. \_\_\_\_\_

**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of filing instructions)

**NONE** - (No reportable agreements.)

DATE

PARTIES AND TERMS

1. \_\_\_\_\_

**RECEIVED**  
**MAY 19 11 51 AM '05**  
**FINANCIAL DISCLOSURE OFFICE**

# FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Forester, Karl S

Date of Report

5/13/2005

## III. NON-INVESTMENT INCOME (Reporting individual and spouse; see pp. 17-24 of filing instructions)

### A. Filer's Non-Investment Income

NONE - (No reportable non-investment income.)

DATE

SOURCE AND TYPE

GROSS INCOME

(yours, not spouse's)

1.

### B. Spouse's Non-Investment Income (If you were married during any portion of the reporting year, please complete this section. Dollar amount not required except for honoraria.)

NONE - (No reportable non-investment income.)

DATE

SOURCE AND TYPE

1.

## IV. REIMBURSEMENTS - transportation, lodging, food, entertainment

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

NONE - (No such reportable reimbursements.)

SOURCE

DESCRIPTION

1.

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## V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

NONE - (No such reportable gifts.)

SOURCE

DESCRIPTION

VALUE

1.

## VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

NONE - (No reportable liabilities.)

CREDITOR

DESCRIPTION

VALUE CODE

1.

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## VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets)  Place "(O)" after each asset except from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
<input type="checkbox"/> NONE (No reportable income, assets, or transactions)									
1. Phoenix Hollister App. Fund Class A	D	Dividend	L	T	Exchange	4/20	L		Phoenix Small Cap Value Fund
2. Kaneb Services	A	Distribution	J	T					
3. Xanser Corp.	A	Dividend	J	T					
4. Wachovia Money mart Funds	A	Dividend	L	T					
5. Duke Power Co.	A	Dividend	J	T					
6. Income Fund of AM.	C	Dividend	M	T					
7. Investment Co. of AM.	C	Dividend	M	T					
8. Immunomedics Inc. Del.	A	Dividend	J	T					
9. Webster Fin. Corp.	A	Dividend	K	T					
10. Vine St. Trust Co.	A	Interest	J	T					
11. Central Bank & Trust Co.	A	Interest	J	T					
12. Van Kampen Focus Port Unit 251-Morgan Stanley Hi Tec 35	A	Dividend	K	T	Redeemed	2/23	K	A	
13. Van Kampen Focus Port Unit 264	A	Dividend	K	T	Redeemed	2/23	K	A	
14. Dryden Intl Equity Fund	A	Dividend	K	T					
15. S1 Corp.		None	L	T					
16. WebMD Corp.		None	J	T					
17. Lucent Technologies		None			Sell	2/20	L	A	
18. Agere Syst. Inc. Class A		None	J	T					

1. Income/Gain Codes:	A - \$1,000 or less	B - \$1,001-\$2,500	C - \$2,501-\$5,000	D - \$5,001-\$15,000	E - \$15,001-\$50,000
(See Columns D1 and D4)	F - \$50,001-\$100,000	G - \$100,001-\$1,000,000	H - \$1,000,001-\$5,000,000	I - \$5,000,001-\$25,000,000	J - More than \$25,000,000
2. Value Codes:	J - \$1,000 or less	K - \$1,001-\$50,000	L - \$50,001-\$100,000	M - \$100,001-\$250,000	N - \$250,001-\$500,000
(See Columns C1 and C2)	O - \$500,001-\$1,000,000	P - \$1,000,001-\$5,000,000	Q - \$5,000,001-\$25,000,000	R - \$25,000,001-\$50,000,000	S - \$50,000,001-\$250,000,000
3. Value Method Codes:	Q - Appraisal	R - Cost (Real Estate Only)	S - Assessed	T - Other/Arbitr	
(See Column C2)	W - Book Values	V - Other	W - Estimated		

**VII. INVESTMENTS and TRUSTS** — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset except from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
19. Agere Syst. Inc. Class B		None	J	T					
20. Dupree Mutual Funds KY Tax-Free Income Series	D	Dividend	M	T					
21. General Electric Co.	A	Dividend	K	T					
22. J.P. Morgan Chase Com.	A	Dividend			Sell	5/10	K	D	
23. Sun Microsystems, Inc.		None	K	T	Buy	4/2	J	A	
24. Cisco Systems, Inc.					Sell	2/4	K	E	
25. Microsoft Corp.	E	Dividend	M	T	Buy	2/10	L		
26.					Part sell	3/18	L		
27.					Buy	4/2	M		
28. DPL Inc.	B	Dividend	L	T					
29. Van Kampen Unit TRS 420 S&P Power Picks 2004			K	T	Buy	1/8	K		
30. Nokia			K	T	Buy	2/24	K		
31.					Sell	3/19	K		
32.					Buy	4/21	K		
33. Van Kampen Focus Port Unit 405 Morgan Stanley PRT Ser 30		None	K	T	Buy	2/23	K		
34. Consol Energy, Inc. (CNX)	A	Dividend	L	T	Buy	4/28	L		

1. Common Gain Codes: A - \$1,000 or less; B - \$1,001-\$2,500; C - \$2,501-\$5,000; D - \$5,001-\$15,000; E - \$15,001-\$50,000; F - \$50,001-\$100,000; G - \$100,001-\$1,000,000; H - \$1,000,001-\$5,000,000; I - \$5,000,001-\$25,000,000; J - More than \$25,000,000.

2. Value Codes: J - \$15,000 or less; K - \$15,001-\$50,000; L - \$50,001-\$100,000; M - \$100,001-\$500,000; N - \$500,001-\$1,000,000; O - \$1,000,001-\$5,000,000; P - \$5,000,001-\$25,000,000; Q - More than \$25,000,000.

3. Value Method Codes: Q - Appraisal; R - Cost (Real Estate Only); S - Assessment; T - Cash/Market; U - Fair Value; V - Other; W - Estimated.

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## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate Part of Report.)

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

5/13/05

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

### FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20543