

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2008**

<b>1. Person Reporting</b> (last name, first, middle initial)  Forester, Karl S.	<b>2. Court or Organization</b>  United States District Court	<b>3. Date of Report</b>  05/14/2009
<b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)  Senior Article III Judge	<b>5a. Report Type</b> (check appropriate type) <input type="checkbox"/> Nomination,                      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b>  01/01/2008 to 12/31/2008
<b>7. Chambers or Office Address</b>  P.O. Box 2165 Lexington, KY 40588	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	
<p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</p>		

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	_____	_____
2.	_____	_____
3.	_____	_____
4.	_____	_____
5.	_____	_____

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 DISCLOSURE OFFICE

**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	_____	_____
2.	_____	_____
3.	_____	_____

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

**A. Filer's Non-Investment Income**

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

**IV. REIMBURSEMENTS** – *transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Phoenix Small Cap Value Fund name change to Virtus Small Cap	A	Dividend	K	T					
2. Wachovia Moneymart Funds	A	Dividend	K	T					
3. Duke Power Co.	A	Dividend	J	T					
4. Income Fund of AM.	D	Dividend	L	T					
5. Investment Co. of AM.	D	Dividend	M	T					
6. Immunomedics Inc. Del.		None	J	T					
7. Webster Fin. Corp.	C	Dividend	K	T					
8. BB&T	A	Interest	J	T					
9. Central Bank & Trust Co.	A	Interest	J	T					
10. Dryden Int. Equity Fund	A	Dividend	K	T					
11. SI Corp.		None	L	T					
12. Hlth Corp		None	J	T					
13. Alcatel - Lucent ADR		None	J	T					
14. LSI Corp.		None	J	T					
15. Dupree Mutual Funds KY Tax-Free Income Series	D	Dividend	M	T					
16. General Electric Co.	B	Dividend	K	T					
17. DPL Inc.	B	Dividend	K	T					

1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000  
 (See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000  
 2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000  
 (See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000  
 3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market  
 (See Column C2) U = Book Value V = Other W = Estimated

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18. Van Kampen Investments S&P Powerpicks 2007		None			Redeemed	4/16	L	A	
19. Ciena Corp.		None	J	T					
20. Wachovia Corp.	A	Dividend	J	T					
21. Baron Select FDS Baron Partners FD		None			Sold	8/5	J	A	
22. Black Rock Funds Large Cap Value FD		None			Sold	8/5	J	A	
23. Bridge Way FD Inc. Ultra-Small Co. Tax Adv		None			Sold	8/5	J	A	
24. Diamond Hill Funds Small Cap FD CLA	A	Dividend	J	T					
25. Dodge & Coix Intl Stock Fund		None			Sold	8/5	J	A	
26. Goldman Sachs Tr Fill Square MMKT FND CLI	A	Dividend	J	T					
27. Hotchkis & Wiley Core Value FD CL-1		None			Sold	8/5	J	A	
28. Janus Invt Fd Mid Cap value FD Instl SHS		None			Sold	8/5	J	A	
29. Julius Baer Int. Equity Fund II		None			Sold	8/5	J	A	
30. Keeley Small Cap Valu Fund CL A		None			Sold	8/5	J	A	
31. Kinetics Small Cap Opportunities Fund - Inst. Cl.		None			Sold	8/5	J	A	
32. Munder Mid HP Core Growth Fund Class Y		None			Sold	8/5	J	A	
33. Nuveen Tradewinds Int'l Int. Value Fund CLR		None			Sold	8/5	J	A	
34. Nationwide Mut Fds Small Cap FD CL A		None			Sold	8/5	J	A	

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000  
 (See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 H1=\$1,000,001 - \$5,000,000 H2=More than \$5,000,000

2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000  
 (See Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000  
 P3=\$25,000,001 - \$50,000,000 P4=More than \$50,000,000

3. Value Method Codes Q=Appraisal R=Cost (Real Estate Only) S=Assessment T=Cash Market  
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Pioneer Cullen Value Fund CL Y		None			Sold	8/5	J	A	
36. SunAmerica Funds Focused Small Cap Growth CLA		None			Sold	8/5	J	A	
37. SSGA Funds - Int. Stock SEL		None			Sold	1/23	J	A	
38. Touchtone Instl Fd S TR Sands Cap Instl Growth		None			Sold	8/5	J	A	
39. CRM Mid Cap Value Fd Instl		None			Sold	8/5	J	A	
40. Wells Fargo Advantage Endeavor Select Fund		None			Sold	8/5	J	A	
41. LCGG Mason Value Trust TR Inc Nav Value Trust	A	Dividend	J	T	Buy	8/6	J		
42. Neuberger & Berman Small Cap 6-month Fd Investor Class	A	Dividend	J	T	Buy	8/6	J		
43. Harbor Small Co. Growth Fund Inv Cl.	A	Dividend	J	T	Buy	8/6	J		
44. Hartford Int. Growth Fund	A	Dividend	J	T	Buy	8/6	J		
45. Hotchkis & Wiley FDS Midcap Value Fd. Cl. I	A	Dividend	J	T	Buy	8/6	J		
46. Security Equity Fd. Midcap Value Sev Cl. A	A	Dividend	J	T	Buy	8/6	J		
47. Satuit Capital Micro-Cap Fund	A	Dividend	J	T	Buy	8/6	J		
48. Touchtone Large Cap Growth Fd. Cl. I	A	Dividend	J	T	Buy	8/6	J		
49. Blackrock Fds Intl Opportunities Instl U	A	Dividend	J	T	Buy	8/6	J		
50. Cambiar Opportunity Fd.	A	Dividend	J	T	Buy	8/6	J		
51. Diamond Hills Funds Small Cap Fd. Cl. A	A	Dividend	J	T	Buy	8/6	J		

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 (See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H11 = \$1,000,001 - \$5,000,000 H12 = More than \$5,000,000

2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000  
 (See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000  
 P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000

3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market  
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52. RS Investment Funds Emerging Markets Fd. A	A	Dividend	J	T	Buy	8/6	J		
53. John Hancock Classic Value Fund Cl 1	A	Dividend	J	T	Buy	8/6	J		
54. Harbor Intl Instl. Cl	A	Dividend	J	T	Buy	8/6	J		
55. Managers Time SQ M/C Grw-Pr	A	Dividend	J	T	Buy	8/6	J		
56. Am. Centy Mut Fds Am. Centy Vista Fd.	A	Dividend	J	T	Buy	8/6	J		
57. Tiaa-Cref Instl. Int. Equity Fd.		None			Buy	1/24	J		
58. "					Sold	8/5	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*



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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

A large black rectangular redaction box covers the signature area.

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

### FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544