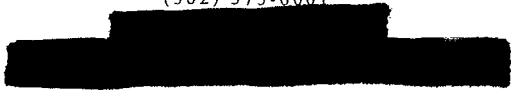


UNITED STATES COURT OF APPEALS  
FOR THE THIRD CIRCUIT

KENT A. JORDAN  
CIRCUIT JUDGE

844 N. KING STREET  
LOCKBOX 10  
WILMINGTON, DE 19801  
(302) 573-6001



May 31, 2007

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, DC 20544

RECEIVED  
2007 JUN -5 A 10: 58  
FINANCIAL  
DISCLOSURE OFFICE

Re: Calendar Year 2006 Filing

Dear Committee Members:

In accordance with the May 18, 2007 letter from Judge Smith to me, enclosed is an original and three (3) copies of my amended 2006 Financial Disclosure Report.

Please also note that Part IV, line 7, has been deleted as the expense was reimbursed by the United States government.

If you have any questions, or require any further information, please let me know.



KAJ:cas  
Enclosures

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2006**

<b>1. Person Reporting (last name, first, middle initial)</b>  Jordan, Kent A	<b>2. Court or Organization</b>  Third Circuit Court of Appeals	<b>3. Date of Report</b>  05/31/2007
<b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b>  Circuit Judge - active	<b>5a. Report Type (check appropriate type)</b> <input type="checkbox"/> Nomination,                      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final  <b>5b.</b> <input checked="" type="checkbox"/> Amended Report	<b>6. Reporting Period</b>  01/01/2006 to 12/31/2006
<b>7. Chambers or Office Address</b>  844 King Street Lock Box 10 Wilmington, DE 19801	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	
<p><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</p>		

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of instructions.)

NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	President	Richard S. Rodney Inn of Court
2.	Advisory Board	Georgetown University E-Discovery Institute
3.		
4.		
5.		

**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of instructions.)

NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

**RECEIVED**  
 2007 JUN -5 A 10: 58  
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**FINANCIAL DISCLOSURE REPORT**  
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<b>Name of Person Reporting</b> Jordan, Kent A	<b>Date of Report</b> 05/31/2007
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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of instructions.)*

**A. Filer's Non-Investment Income**

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1. 1/2006	Widener School of Law - teaching	\$ 7,000
2. 2/2006	Vanderbilt University - teaching	\$ 6,000
3. 9/2006	University of Pennsylvania School of Law	\$ 10,000
4.		
5.		

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.  
(Dollar amount not required except for honoraria.)*

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 1/06-5/06	State of Delaware - paralegal
2.	
3.	
4.	
5.	

**IV. REIMBURSEMENTS** *-- transportation, lodging, food, entertainment.  
(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. ABA and Colorado Bar Association	Aspen, CO - 1/4-6/06 Speaker at ABA IP Conference (transportation, lodging & meals)
2. Vanderbilt University	Nashville, TN - 2/10-17-06 Teach (transportation, lodging & meals)
3. Utah State Bar Assn.	Provo, UT - 3/24/06 Speaker at IP Lecture at BYU (transportation)
4. Case Western	Cleveland, OH - 3/30-31/06 Lecture and Judge on mock Markman Proceedings (transportation, lodging & meals)
5. University of Washington Law School	Narita, Japan - 4/19-27/06 Japan Patent Judges Seminar (transportation, lodging & meals)

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting	Date of Report
Jordan, Kent A	05/31/2007

6. Federal Circuit Bar Assn.	Albuquerque, NM - 6/28-7/1/06 Federal Circuit Bench & Bar (transportation, lodging & meals)
7. American Law Institute	Chicago, IL - 9/27-30/06 ALI-ABA Trial of a Patent Case (transportation, lodging & meals)
8. University of Richmond Law School	Richmond, VA - 10/5/06 Specialized Courts Seminar (transportation)
9. AIPLA	Washington, DC - 10/19/06 Annual Meeting (transportation)

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Name of Person Reporting

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**V. GIFTS.** *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

**VI. LIABILITIES.** *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

# FINANCIAL DISCLOSURE REPORT

Name of Person Reporting <b>Jordan, Kent A</b>	Date of Report <b>05/31/2007</b>
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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Brokerage Account #1									
2. Profund Mid-Cap		None	K	T	buy	3/24	K		
3.					sell	5/24	K		
4. Mutual Qualified Class A		None			sell	6/12	L	A	
5. Mutual Shares Class A	B	Dividend	K	T					
6. Janue Core Equity		None			sell	6/8	K	A	
7. Schwab Hedge Equity Fund	A	Dividend	K	T					
8. Schwab Premier Money Sweep Shares	A	Dividend	J	T					
9. Schwab Premier Equity Fund Select Shares		None	K	T	buy	3/29	K		
10.	A	Distribution	J	T	buy	12/18	J		
11. Rydex Series Trust OTC Fund		None	J	T	buy	1/19	J		
12.					sell	3/13	K		
13. Rydex Internet Fund		None	J	T	buy	8/18	J		
14.			J	T	buy	8/31	J		
15.		None			sell	12/1	K	A	
16. Gateway Index Plus Fund	A	Dividend	J	T					
17. UMB Scout Worldwide		None	J	T	buy	3/30	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

Jordan, Kent A

Date of Report

05/31/2007

**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					sell	6/12	J		
19. Rydex Large Cap Value		None	J	T	buy	8/3	J		
20.		None	J	T	buy	8/7	J		
21.		None	J	T	buy	12/7	J		
22. Direxion Developed Mkts Bull 2.0X Inv.			J	T	buy	8/7	J		
23.	B	Dividend	J	T	buy	11/30	J		
24. DireXion OTC Plus		None	K	T	buy	9/18	K		
25.			J	T	part. sale	11/29	J		
26. Rydex Novo Investors Trust	B	Dividend	J	T	buy	10/16	J		
27.			J	T	buy	11/14	J		
28. Brokerage Account #2					closed				
29. Rydex Series Trust OTC Fund		None			sell	3/13	J		
30. Schwab Hedge Equity Fund		None			sell	3/15	J		
31. Fidelity Investment - Delaware College Investment		None	J	T					
32. Fidelity Investment - Delaware College Investment		None	J	T					
33. Fidelity Investment - Delaware College Investment		None	J	T					
34. ING Direct (CD)	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	P4 = More than \$50,000,000
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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05/31/2007

**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. ING Direct #1 (Money Market Accts)	A	Interest	J	T					
36. ING Direct #2 (Money Market Accts)	A	Interest	K	T	opened				
37. Texico/Chevron Corp. Common Stock		None	J	T					
38. Texico/Chevron Corp. Common Stock		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	



<b>Name of Person Reporting</b> Jordan, Kent A	<b>Date of Report</b> 05/31/2007
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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

Jordan, Kent A

Date of Report

05/31/2007

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature  Date 5/31/07

NOTE: A  
AN CH

FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544