

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2008**

1. Person Reporting (last name, first, middle initial) Swain, Laura T.	2. Court or Organization US District Court - SDNY	3. Date of Report 05/13/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US District Judge (Active)	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address D.P. Moynihan U.S. Courthouse 500 Pearl Street New York, NY 10007	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board Member	Havens Relief Fund Society
2. Board Member	Federal Judges Association
3.	
4.	
5.	

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 5/95	Pension Plan of Debevoise & Plimpton LLP (formerly Debevoise & Plimpton Staff Pension Plan) (fmr law firm), no control
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Swain, Laura T.

Date of Report

05/13/2009

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2008	[REDACTED] - Salary and Bonus
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Federal Judges Association	5/4-5/08	Arlington, VA	Board Meeting	Airfare, Lodging and Food for self
2. Suffolk University	5/17-18/08	Boston, MA	Speak, Hon. Degree	Lodging, Food for self and family
3. Nat'l Conf. Bankr. Judges	9/24-26/08	Phoenix, AZ	Speak on Program	Airfare, Lodging and Food for self
4. Just the Beginning Foundation	9/26-28/08	Washington, DC	Attend Meeting & Program s	Lodging and Food for self and spouse
5. Federal Judges Association	12/2-3/08	Washington, DC	Board Meeting	Airfare, Lodging and Food for self

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Swain, Laura T.

Date of Report

05/13/2009

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting Swain, Laura T.	Date of Report 05/13/2009
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Barclays Bank PLC - Account	A	Interest	J	T					
2. HSBC Bank (frmly Republic Bank of NY) - account	A	Interest	K	T					
3. Charles Schwab Rollover IRA	D	Dividend	M	T					
4. - Dreyfus Appreciation Fund									
5. - Wells Fargo Adv. Income Plus (fmr Corp Bond Inv.) Fund									
6. - DWS Growth & Income Fund									
7. - Neuberger & Berman Socially Resp. Fund									
8. - Old Mutual Select Growth Fund									
9. - Neuberger & Berman Genesis Fund									
10. - TCW Select Equities Fund									
11. - Firsthand Technology Value Fund									
12. - FMI Focus Fund									
13. - Allianz RCMGlobal Technology Fund									
14. - GAMCO Growth Fund									
15. - Managers Bond Fund									
16. - Old Mutual Large Cap Growth Fund									
17. - Schwab Money Market Fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting Swain, Laura T.	Date of Report 05/13/2009
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18. [REDACTED] 401(k) Plan	A	Dividend	L	T					
19. - John Hancock Money Mkt Fund									
20. - John Hancock Equity Income Fund									
21. - John Hancock US Lg Cap Fund									
22. - John Hancock Emerg Sml Co Fund									
23. - John Hancock US Govt Secs Fund									
24. - John Hancock Strategic Bond Fund									
25. - John Hancock Int'l Core (fmr Stock) Fund									
26. - John Hancock All Cap Growth Fund									
27. - John Hancock Mid Cap Stock Fund									
28. [REDACTED] Profit Sharing Plan	A	Dividend	J	T					
29. Equitable Variable Life	A	Dividend	J	T					
30. - Multimanager Aggressive Equity Fund					Buy	6/6	J		
31. - EQ/Alliance Common Stock Fund					Buy	6/6	J		
32. -EQ/Alliance International Fund									
33. - EQ/Alliance Money Market Fund					Buy	6/6	J		
34. - EQ/Alliance Quality Bond Fund					Buy	6/6	J		

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FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting Swain, Laura T.	Date of Report 05/13/2009
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35. - Multimanager Aggressive Equity Fund					Buy	10/6	J		
36. - EQ/Alliance Common Stock Fund					Buy	10/6	J		
37. -EQ/Alliance International Fund									
38. - EQ/Alliance Money Market Fund					Buy	10/6	J		
39. - EQ/Alliance Quality Bond Fund					Buy	10/6	J		
40. Citibank interest bearing accounts X	A	Interest	K	T					

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Name of Person Reporting Swain, Laura T.	Date of Report 05/13/2009
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

(Part VII, line 28) The investments of this profit sharing plan are not participant-directed and the positions held by the plan are not reported in the statements issued to participants.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Swain, Laura T.

Date of Report

05/13/2009

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

A large black rectangular redaction covers the signature area.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544