

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2010**

<b>1. Person Reporting (last name, first, middle initial)</b> Stengel, Lawrence F.	<b>2. Court or Organization</b> US DISTRICT COURT/EDPA	<b>3. Date of Report</b> 07/13/2011
<b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b> ARTICLE III, ACTIVE	<b>5a. Report Type (check appropriate type)</b> <input type="checkbox"/> Nomination,      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b> 01/01/2010 to 12/31/2010
<b>7. Chambers or Office Address</b> Room 3809, U.S. Courthouse 601 Market Street Philadelphia, PA 19106	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b> Reviewing Officer _____ Date _____	
<b>IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</b>		

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	
2.	
3.	
4.	
5.	

**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2004	Pennsylvania State Employees Retirement System - Monthly annuity from vested retirement plan
2.	
3.	

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

**A. Filer's Non-Investment Income**

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1. 2010	Pennsylvania State Employee Retirement System - Fixed benefit from vested retirement plan	\$25,347.00
2. 2010	Franklin and Marshall College - Teaching	\$7,454.00
3.		
4.		

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2010	Lancaster County Career & Technology Center - Nursing Instructor
2.	
3.	
4.	

**IV. REIMBURSEMENTS** *- transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.					
2.					
3.					
4.					
5.					

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. IRA	E	Dividend	M	T					
2. IRA - Fidelity Treasury Fund (money market)	A	Interest	K	T					
3. IRA - GMAC Smartnote	A	Interest	J	T					
4. Moodys Corp	A	Dividend	J	W					
5. Nisource Inc.	A	Dividend	J	T					
6. E.I. DuPont DeMours	A	Dividend	J	T					
7. Proctor & Gamble	A	Dividend	J	T					
8. Sirius Satellite Radio		None			Sold	05/14/10	J	A	
9. Fidelity Convertible Securities		None			Sold	09/22/10	J	A	
10. IRA - Ariel Fund	A	Dividend	K	T	Buy	05/04/10	K		
11. IRA - SPDR Index Emerging M.E. of Africa	A	Dividend	J	T	Buy	05/14/10	J		
12. IRA - Matthews Asian Growth and Income Fund	A	Dividend	J	T	Buy	05/14/10	J		
13. IRA - Matthews India Fund	A	Dividend	J	T	Buy	05/14/10	J		
14.									
15.									
16.									
17.									

1. Income Gain Codes:      A = \$1,000 or less      B = \$1,001 - \$2,500      C = \$2,501 - \$5,000      D = \$5,001 - \$15,000      E = \$15,001 - \$50,000  
 (See Columns B1 and D4)      F = \$50,001 - \$100,000      G = \$100,001 - \$1,000,000      H = \$1,000,001 - \$5,000,000      I = More than \$5,000,000

2. Value Codes      J = \$15,000 or less      K = \$15,001 - \$50,000      L = \$50,001 - \$100,000      M = \$100,001 - \$250,000  
 (See Columns C1 and D3)      N = \$250,001 - \$500,000      O = \$500,001 - \$1,000,000      P1 = \$1,000,001 - \$5,000,000      P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes      Q = Appraisal      R = Cost (Real Estate Only)      S = Assessment      T = Cash Market  
 (See Column C2)      U = Book Value      V = Other      W = Estimated

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## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. The Proctor & Gamble, Moodys Corp., E.I. Dupont DeMours and Nisource, Inc. equities are owned by [REDACTED] I serve as [REDACTED] guardian on the accounts.
2. My 2009 Financial Disclosure Reports lists some assets which were sold prior to January 1, 2009. Those assets were listed in error caused, I believe, by a miscommunication between me and the person who handles this account for me. It is entirely possible I simply misread reports they provided to me. The assets in question are as follows:

Name Type Date Value Code Gain Code

North American Palladian Sold 1/24/06 J C  
Nuance Communications Sold 11/28/06 K D  
Liberty Media Holding Corp. Sold 11/2/07 J A  
Medtronic, Inc. Sold 10/22/07 J A  
Torchmark Corp. Sold 12/14/07 J A  
Nisource, Inc. Sold 8/15/08 J A  
Greenbrier Companies Sold 8/15/08 J A  
Zoltek Cos Inc. Sold 8/15/08 J A

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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Lawrence F. Stengel

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
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