

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2010

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McKENNA, LAWRENCE M.	2. Court or Organization Southern District of New York	3. Date of Report 08/02/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge (Senior)	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address United States District Court 500 Pearl Street (1640) New York, N.Y. 10007	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. ADMINISTRATOR

ESTATE [REDACTED]

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. _____
2. _____
3. _____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*


A. Filer's Non-Investment Income

NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.	_____	_____	_____
2.	_____	_____	_____
3.	_____	_____	_____
4.	_____	_____	_____

B. Spouse's Non-Investment Income – *If you were married during any portion of the reporting year, complete this section.*
(Dollar amount not required except for honoraria.)

NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	2010	Self-employed (lawyer) 
2.	_____	_____
3.	_____	_____
4.	_____	_____

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.*
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	_____	_____	_____	_____	_____
2.	_____	_____	_____	_____	_____
3.	_____	_____	_____	_____	_____
4.	_____	_____	_____	_____	_____
5.	_____	_____	_____	_____	_____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	_____	_____	_____
2.	_____	_____	_____
3.	_____	_____	_____
4.	_____	_____	_____
5.	_____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	_____	_____	_____
2.	_____	_____	_____
3.	_____	_____	_____
4.	_____	_____	_____
5.	_____	_____	_____

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CITIBANK (Checking)		None	K	T					
2. EMIGRANT SAVINGS BANK (Savings)	A	Interest	K	T					
3. UBS PAIINE WEBBER RET. MONEY FUND (now DEPOSIT ACCT))	A	Interest	K	T					
4. LORD ABBETT INCOME FUND CLASS C (FUND)	A	Interest			Sold	09/20/10	J	A	
5. LORD ABBETT GROWTH OPPORTUNITIES (FUND)		None			Sold	09/20/10	J	A	
6. ALTRIA (Common)		None	J	T					
7. IBM (Common)	A	Dividend	J	T	Sold	02/11/10	J	A	
8. BIOSONICS (Common)		None	J	T					
9. MCI WORLD (Common)		None	J	T					
10. SONORAN (Common)		None	J	T			J	A	
11. MERCK (Common) (Stock Invest. Plan)	A	Dividend	J	T	Sold	02/11/10	J	A	
12. CISCO SYSTEMS (Common)		None	J	T					
13. AVAYA (Common)		None	J	T					
14. ASTROPOWER (Common)		None	J	T					
15. MONSANTO (Common)	A	Dividend	J	T					
16. EASTMAN KODAK (Common) (Stock Inv. Plan)		None	J	T	Sold	10/11/10	J	A	
17. H.J. HEINZ (Common) (Stock Inv. Plan)		None	J	T	Sold	10/11/10	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

McKENNA, LAWRENCE M.

Date of Report

08/02/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. FEDEX (Common) (Stock Invest. Plan)	A	Dividend	J	T	Sold	10/11/10	J	A	
19. EXXON MOBIL (Common)	A	Dividend	J	T					
20. HONDA MOTORS (Common)	A	Dividend	J	T					
21. GOOGLE (Common)		None	K	T					
22. ALCATEL-LUCENT (ADR)		None	J	T					
23. WILLIAM BLAIR INT'L GROWTH FUND (FUND)	A	Dividend	J	T	Sold	09/20/10	J	A	
24. DAVIS NY VENTURE FUND (FUND)	A	Dividend	J	T	Sold	09/20/10	J	A	
25. T. ROWE PRICE VALUE FUND (FUND)	A	Dividend	J	T	Sold	09/20/10	J	A	
26. JANUS ADVISOR FORTY FUND (FUND)	A	Dividend	J	T					
27. GAMCO GROWTH FUND (FUND)	A	None	J	T	Sold	09/20/20	J	A	
28. DWS CORE PLUS INCOME FUND (FUND)	A	Dividend	J	T	Sold	9/20/10	J	A	
29. PIMCO HIGH YIELD FUND (FUND)	A	Dividend	J	T	Sold	9/20/10	J	A	
30. AMERICAN CENTURY INT'L (BOND)	A	Dividend	J	T	Sold	9/20/10	J	A	
31. ROYCE TOTAL RETURN FUND (FUND)	A	Dividend	J	T	Sold	9/20/10	J	A	
32. CITIBANK DEPOSIT PROGRAM	A	Interest	J	T	Sold	9/20/10	J	A	
33. SB MONEY FUNDS CASH PORT. (FUND)	A	Dividend	J	T	Sold	9/20/10	J	A	
34. DUPONT 4.50 PFD	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. FIRST ENERGY (Common)	A	Dividend	J	T					
36. KRAFT (Common)	A	Dividend	J	T					
37. LSI LOGIC (Common)		None	J	T					
38. DREYFUS PREMIER EMERGING MARKETS (Fund)	A	Dividend	J	T	Sold	09/20/10	J	A	
39. PIMCO TOTAL RETURN FUND (FUND)	A	Dividend	J	T	Sold	09/20/10	J	A	
40. PHILIP MORRIS (Common)	A	Dividend	J	T					
41. ALLIANZ 8.375% (BOND)	A	Dividend	K	T					
42. HARDING LOEVNER EMERGING MARKETS (FUND)	A	Dividend	J	T	Sold	09/20/10	J	A	
43. LORD ABBETT DEVELOPING GROWTH (FUND)	A	Dividend	J	T	Sold	09/20/10	J	A	
44. LORD ABBETT AFFILIATED (FUND)	A	Dividend	J	T	Sold	09/20/10	J	A	
45. CITIGROUP 8.50% (PFD)	A	Interest	K	T	Sold	09/20/10	K		
46. FIRST BANK PUERTO RICO (CD)	A	Interest	K	T	Sold	09/20/10	K	A	
47. CAPMARK BANK (CD)	A	Interest	K	T	Sold	09/20/10	K	A	
48. CITIGROUP, INC.	A	Interest	K	T	Sold	07/30/09	K	A	
49. ALABAMA POWER (BOND)	A	Interest	K	T	Sold	08/09/10	K	A	
50. ENTERGY TEXAS (BOND)	A	Interest	K	T	Sold	08/9/10	K	A	
51. STERLING SAV. BK. (CD)	A	Interest	K	T	Sold	08/9/10	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000	E=\$15,001 - \$50,000
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52. NORTSHORE COMNTY. BK. (CD)	A	Interest	K	T	Sold	08/9/10			
53. AM. ELEC. POWER (BOND)	A	Interest	K	T	Sold	08/09/10			
54. AM. EXP. FSB (CD)	A	Interest	J	T	Sold	8/9/10	J	A	
55. UBS PACE GOVT. FIXED INC. (FUND)	A	Interest	J	T					
56. UBS PACE INTER. FIXED INC. (FUND)	A	Interest	J	T					
57. UBS PACE STRATEGIC FIXED INC. (FUND)	A	Interest	J	T					
58. UBS PACE SMALL/MED VALUE (FUND)		None	J	T					
59. UBS PACE MONEY MARKET (FUND)	A	Interest	J	T					
60. UBS PACE HIGH YIELD (FUND)	A	Interest	J	T					
61. UBS PACE INT'L EQUITY (FUND)		None	J	T					
62. UBS PACE MUNI. FIXED INC. (FUND)	A	Interest	J	T					
63. GROWTH FUND OF AMERICA (FUND)		None	J	T	Sold	09/20/10	J	A	
64. IBM (Common)	A	Interest	J	T	Sold	2/1/10	J	A	
65. PACE STRATEGIC FIXED INC. (FUND)	A	Interest	J	T	Buy	09/20/10			
66. UBS SMALL/MED CO. GROWTH EQUITY FUND		None	J		Buy	09/20/10			
67. UBS LARGE CO. VALUE EQUITY FUND		None	J		Buy	09/20/10			
68. UBS INT'L EMERG. MARKETS EQUITY FUND		None	J		Buy	09/20/10			

1. Income Gain Codes: (See Columns B 1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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69. UBS GLOBAL FIXED INCOME FUND		None	J		Buy	09/20/10			
70. DOM. RES. INC. VA. BOND		None	K		Buy	08/12/10			
71. UBS BANK USA DEPOSIT ACCOUNT	A	Interest	K		Buy	09/20/10			
72. PACE INTL EMERGING MARKETS	A	Dividend	J	T	Buy	08/31/10			
73. PACE LARGE CO. VALUE (FUND)	A	Dividend	J	T	Buy	08/31/10			
74. PACE LARGE GO. GROWTH (FUND)	A	Dividend	J	T	Buy	08/31/10			
75.									
76.									
77.									
78.									
79.									
80.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

The estate listed in I.I has no assets.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature _____

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544