

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

| | | |
|--|---|---|
| 1. Person Reporting (Last name, First name, Middle initial) POLLAK, LOUIS H | 2. Court or Organization Eastern District of PA | 3. Date of Report 5/10/2004 |
| 4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior District Judge | 5. Report Type (check appropriate type) <input type="radio"/> Nomination, Date <input type="radio"/> Initial <input checked="" type="radio"/> Annual <input type="radio"/> Final | 6. Reporting Period 1/1/2003 to 12/31/2003 |
| 7. Chambers or Office Address United States Courthouse 601 Market Street, Suite 16613 Philadelphia, PA 19106 | 8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____ | |

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

NONE - (No reportable positions.)

| <u>POSITION</u> | <u>NAME OF ORGANIZATION/ENTITY</u> |
|-------------------------------|--|
| 1. Member of Council | American Law Institute (ALI) |
| 2. Member, Board of Directors | American Academy of Political and Social Science (AAPSS) |
| 3. | |

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

NONE - (No reportable agreements.)

| <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|-------------|--------------------------|
| 1. | |

DISCLOSED OFFICE
COURT REPORTER
LAW OFFICE

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income** **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPEGROSS INCOME
(yours, not spouse's)1.
_____**B. Spouse's Non-Investment Income** - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)) **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPE1.
_____**IV. REIMBURSEMENTS** -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

 NONE - (No such reportable reimbursements.)SOURCEDESCRIPTION

| | |
|---|--|
| 1. Order of Coif | Meeting - January 2-3, 2003, Washington, D.C. (travel, lodging, food) |
| 2. American Bar Association | Annual Meeting (receipt of award) - February 7-9, 2003, Seattle, Washington (travel, lodging, food) |
| 3. Tellus Institute | "Coronado Conference: Scientific Evidence and Public Policy," - March 12-14, 2003, San Diego, California (travel, lodging, food) |
| 4. American Academy of Political and Social Science (AAPSS) | Meeting - April 13-14, 2003, Washington, D.C. (travel, lodging, food) |
| 5. University of St. Louis Law School | Conference on Brown v. Board of Education - October 9-10, 2003, St. Louis, Missouri (travel, lodging, food) |
| 6. Educational Program (E.D. Pa. Retreat) | Retreat - October 15-17, 2003, St. Michael, Maryland (funded by U.S. Courts) |

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IV. REIMBURSEMENTS – transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

 NONE - (No such reportable reimbursements.)

| | <u>SOURCE</u> | <u>DESCRIPTION</u> |
|----|-----------------------------------|---|
| 7. | ALI | Council Meeting - October 23-24, 2003, New York, New York (travel, lodging, food) |
| 8. | FJC | Science for Judges - November 7-8, 2003, Brooklyn, New York (travel, lodging, food) |
| 9. | Third Circuit Judicial Conference | Conference - November 9-11, 2003, Philadelphia, PA (meals funded by U.S. Courts) |

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.) **NONE** - (No such reportable gifts.)

| <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|---------------|--------------------|--------------|
| 1. | | |

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.) **NONE** - (No reportable liabilities.)

| <u>CREDITOR</u> | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|-----------------|--------------------|-------------------|
| 1. | | |

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VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period | | C. Gross value at end of reporting period | | D. Transactions during reporting period | | | | |
|---|---|---|---|---|---|--------------------------------|---------------------------------|------------------------------------|---|
| | (1) Amount Code 1 (A-H) | (2) Type (e.g. div. rent. or int.) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e.g. buy, sell, merger, redemption) | If not exempt from disclosure | | | |
| | | | | | | (2) Date: Month - Day | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A- H) | (5) Identity of buyer/seller (if private transaction) |
| <input type="checkbox"/> NONE (No reportable income, assets, or transactions) | | | | | | | | | |
| 1. Partners Fund | A | Dividend | L | T | | | | | |
| 2. TIAA-CREF Annuity | F | Distribution | P1 | T | | | | | |
| 3. Citizens Bank (formerly Mellon) | A | Interest | K | T | | | | | |
| 4. Walnut Street Securities: | | | | | | | | | |
| 5. (1)Merck | A | Dividend | J | T | | | | | |
| 6. (2) Mercantile Mutual Fund | A | Dividend | J | T | | | | | |
| 7. Commonwealth Bank | A | Interest | J | T | | | | | |
| 8. Center Point Energy (formerly Reliant) | A | Dividend | J | T | | | | | |
| 9. Center Point Energy (formerly Reliant) | A | Dividend | J | T | | | | | |
| 10. MetLife | A | Dividend | K | T | | | | | |
| 11. Russell Funds - breakdown follows: | | | | | | | | | |
| 12. Diversified Equity | A | Dividend | J | T | | | | | |
| 13. Quantitative Equity | A | Dividend | J | T | | | | | |
| 14. Special Growth | A | None | K | T | | | | | |
| 15. Tax Managed Large Cap | A | Dividend | K | T | | | | | |
| 16. Emerging Markets | A | Dividend | J | T | | | | | |
| 17. International Securities | A | Dividend | K | T | | | | | |
| 18. Diversified Bond | B | Dividend | K | T | | | | | |

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000
 (See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000

2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000
 (See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000
 P3 = \$25,000,001-\$50,000,000 P4 = \$More than \$50,000,000

3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market
 (See Column C2) U = Book Value V = Other W = Estimated

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VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period | | C. Gross value at end of reporting period | | D. Transactions during reporting period | | | | |
|---|---|---|---|---|---|--------------------------------|---------------------------------|------------------------------------|---|
| | (1) Amount Code 1 (A -H) | (2) Type (e.g. div. rent. or int.) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e.g. buy, sell, merger, redemption) | If not exempt from disclosure | | | |
| | | | | | | (2) Date: Month - Day | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A- W) | (5) Identity of buyer/seller (if private transaction) |
| 19. Multistrategy Bond | B | Dividend | K | T | | | | | |
| 20. Real Estate Securities | A | Dividend | K | T | | | | | |

| | | | | | |
|--|---|--|---|--|-----------------------|
| 1. Income/Gain Codes: (See Columns B1 and D4) | A = \$1,000 or less F = \$50,001-\$100,000 | B = \$1,001-\$2,500 G = \$100,001-\$1,000,000 | C = \$2,501-\$5,000 H1 = \$1,000,001-\$5,000,000 | D = \$5,001-\$15,000 H2 = More than \$5,000,000 | E = \$15,001-\$50,000 |
| 2. Value Codes: (See Columns C1 and D3) | J = \$15,000 or less N = \$250,000-\$500,000 P3 = \$25,000,001-\$50,000,000 | K = \$15,001-\$50,000 O = \$500,001-\$1,000,000 | L = \$50,001-\$100,000 P1 = \$1,000,001-\$5,000,000 P4 = \$More than \$50,000,000 | M = \$100,001-\$250,000 P2 = \$5,000,001-\$25,000,000 | |
| 3. Value Method Codes (See Column C2) | Q = Appraisal U = Book Value | R = Cost (Real Estate Only) V = Other | S = Assessment W = Estimated | T = Cash/Market | |

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

Re VII: HSB (Hartford Steam Boiler) was mistakenly listed on 2002 Report and, accordingly, is not listed on this Report. The shares, which were worth very little, were liquidated, I think in 2001, the last year in which dividends (\$27) were received.

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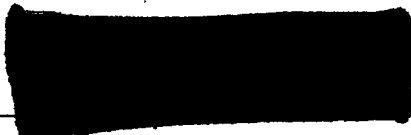
5/10/2004

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date May 10, 2004

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS**Mail signed original and 3 additional copies to:**

**Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544**