

AO 10  
Rev. 1/2010

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

<b>1. Person Reporting</b> (last name, first, middle initial) Battani, Marianne O.	<b>2. Court or Organization</b> USDC, Eastern Distict of MI	<b>3. Date of Report</b> 05/10/2010
<b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. District Judge, active	<b>5a. Report Type</b> (check appropriate type) <input type="checkbox"/> Nomination,                      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b> 01/01/2009 to 12/31/2009
<b>7. Chambers or Office Address</b> 231 West Lafayette Detroit, Michigan 48226	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b> Reviewing Officer _____ Date _____	
<p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</p>		

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Pretrial Skills Judge	Cooley Law School
2.	
3.	
4.	
5.	

RECEIVED  
 MAY 11 A 10:18  
 CLERK OF COURT  
 DISTRICT COURT  
 EASTERN DISTRICT OF MICHIGAN

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1982	State of Michigan Defined Benefit Plan(pension)
2. 1982	County of Wayne Defined Benefit Plan(pension)
3.	

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**III. NON-INVESTMENT INCOME.** (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

**A. Filer's Non-Investment Income**

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2009	Cooley Law School, compensation	\$1,200.00
2. 2009	Michigan Judges' Retirement System	\$36,311.16
3. 2009	Wayne County Retirement System	\$19,208.76
4.		

**B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.**  
(Dollar amount not required except for honoraria.)

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

**IV. REIMBURSEMENTS** - transportation, lodging, food, entertainment.  
(Includes those to spouse and dependent children: see pp. 25-27 of filing instructions.)

NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. FIDELITY INDIVIDUAL ACCOUNT									
2. -Fidelity Accounts(cash, cash equivalent)	A	Interest	L	T					
3. -Puritan	A	Dividend	J	T					
4. FIDELITY IRA ACCOUNT:	B	Dividend							
5. -US Treasury Security					Sold	12/21/09	K		
6. -Fidelity Contrafund					Sold (part)	02/20/09	K		
7.					Sold	12/08/09	J		
8. -Fidelity Equity Income II					Sold	12/08/09	J		
9. -Fidelity Fund					Sold	12/08/09	J		
10. -Fidelity Magellan Fund					Sold	12/08/09	J		
11. -Fidelity Puritan					Sold	12/08/09	J		
12. -Janus Fund					Sold	12/08/09	K		
13. -Fidelity Cash Reserves					Sold	12/21/09	L		
14. DEFERRED COMPENSATION 457:	B	Dividend							
15. -SSgA S&P Mid Cap					Sold	12/08/09	K		
16. -SSgA Russell 2000					Sold	12/08/09	K		
17. -Dodge Cox Stock Fund					Sold	12/08/09	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. -AllianceBernstein Int Val I					Sold	12/08/09	J		
19. -SSgA Bond Market Index Fund					Sold	12/08/09	K		
20. -Lord Abbett Mid Cap Value Fund					Sold	12/08/09	K		
21. -SSgA Emerging Markets					Sold	12/08/09	J		
22. -SSgA S&P 500 Index					Sold	12/08/09	J		
23. -SSgA Target Retirement 2010					Sold	12/08/09	J		
24. -PIMCOT Total Return					Sold	12/08/09	K		
25. EDWARD JONES IRA ACCOUNT	B	Dividend	N	T					
26. -Putnam Mid Cap Value Fund CI A									
27. -Putnam Intl Capital Opptys CI A					Sold	12/31/09	J		
28. -Capital Income Builder Fund CI B									
29. -Capital World Growth & Income Fund CI B									
30. -Euro Pacific Growth Fund CI B									
31. -Franklin Income Fund CI A					Buy (add'l)	12/28/09	J		
32. -Fundamental Investors Fund CI B									
33. -Hartford Capital Appreciation Fund CI A									
34. -American Balanced Fund CI A					Buy	12/28/09	K		

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	35. -American High Income Trust CI A					Buy	12/28/09	K	
36. -American International Growth & Income Fund CI A					Buy	12/28/09	J		
37. -American Mutual Fund CI A					Buy	12/28/09	K		
38. -Bond Fund of America CI A					Buy	12/28/09	K		
39. -Capital Income Builder Funds CI A					Buy	12/28/09	K		
40. -Capital World Bond Fund CI A					Buy	12/28/09	K		
41. -Capital World Growth & Income Fund CI A					Buy	12/28/09	K		
42. -Euro Pacific Growth Fund CI B					Buy	12/28/09	J		
43. -Franklin Small Cap Value Fund CI A					Buy	12/28/09	J		
44. -Fundamental Investors Fund CI A					Buy	12/28/09	K		
45. -Growth Fund of America CI A					Buy	12/28/09	J		
46. -Hartford Inflation Plus Fund CI C					Buy	12/28/09	J		
47. -Income Fund of America Fund CI A					Buy	12/28/09	K		
48. -Lord Abbett Core Fixed Income Fund CI C					Buy	12/28/09	J		
49. -Lord Abbett Short Duration Income Fund CI C					Buy	12/28/09	J		
50. -New Economy Fund CI A					Buy	12/28/09	J		
51. -New World Fund CI A					Buy	12/28/09	J		

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52. -Oppenheimer International Bond Fund Cl A					Buy	12/28/09	J		
53. -VanKampen Build America Bonds Income Trust Ser #20					Buy	12/23/09	K		
54. -US Treasury Bonds					Buy	12/21/09	K		
55. EDWARD JONES SINGLE ACCOUNT									
56. -Cash & money market funds	A	Dividend	J	T					
57. -Federated Strategic Income Fund Cl A	A	Dividend	K	T	Sold (part)	03/20/09	J		
58.					Buy (add'l)	12/28/09	J		
59. -Federated Strategic Value Fund A	A	Dividend			Sold	12/28/09	J		
60. -Federated MDT Balanced Fund Cl A	A	Dividend			Sold	12/28/09	J		
61. -Federated Market Opportunity Fund Cl A	A	Dividend			Sold (part)	03/20/09	J		
62.					Sold	12/28/09	J		
63. -Federated Kaufman Small Cap Fund Cl A		None	J	T	Buy	12/28/09	J		
64. -Federated Kaufman Fund Cl A	A	Dividend	J	T	Buy	12/28/09	J		
65. Chase Bank (cash and cash equivalent)	A	Interest	J	T					
66. MassMutual: whole life policy	A	Dividend	J	T					

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3. Value Method Codes (See Column C2)					

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

Lines 4 The Fidelity account was rolled over into the Edward Jones IRA Account on Line 25.

Line 14 The Deferred Compensation Account was rolled over into the Edward Jones IRA Account on Line 25.

Lines 26-33 I added the Class to the name of the fund.



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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature \_\_\_\_\_

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544