

**SELF INITIATED  
AMENDMENT**

*Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)*

AO 10  
Rev. 1/2008

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2007**

<b>1. Person Reporting</b> (last name, first, middle initial)  SCHROEDER, MARY M	<b>2. Court or Organization</b>  US COURT OF APPEALS - 9TH CIRCUIT	<b>3. Date of Report</b>  05/06/2008
<b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)  ACTIVE - CIRCUIT JUDGE	<b>5a. Report Type</b> (check appropriate type) <input type="checkbox"/> Nomination,                      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b>  01/01/2007 to 12/31/2007
<b>7. Chambers or Office Address</b>  U.S. COURTHOUSE, SUITE 610 401 W. WASHINGTON ST., SPC. 54 PHOENIX, AZ 85003-2156	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	
<p align="center"><b>IMPORTANT NOTES:</b> <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</i></p>		

**I. POSITIONS.** *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	COUNCIL MEMBER	AMERICAN LAW INSTITUTE
2.	TRUSTEE	██████████ TRUST #1
3.	ADVISORY BOARD MEMBER	LOWELL OBSERVATORY
4.		
5.		

**II. AGREEMENTS.** *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

**FINANCIAL DISCLOSURE REPORT**

Page 2 of 7

Name of Person Reporting

SCHROEDER, MARY M

Date of Report

05/06/2008

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income** NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)* NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2007	ARIZONA STATE UNIVERSITY - SALARY
2. 2007	ALEX E. SOLUTIONS, INC. (SHESHUNOFF INFORMATION SERVICES) - BOOK ROYALTY
3.	
4.	

**IV. REIMBURSEMENTS** *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)* NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	LAWYERS CLUB OF SAN DIEGO	5/11/07 - 5/12/07	SAN DIEGO, CALIFORNIA	KEYNOTE SPEAKER	TRANSPORTATION, FOOD, HOTEL
2.	UNIVERSITY OF MONTANA LAW SCHOOL	5/18/07 - 5/20/07	MISSOULA, MONTANA	SPEAKER	TRANSPORTATION, FOOD, HOTEL
3.	AMERICAN LAW INSTITUTE	10/17/07 - 10/19/07	NEW YORK, NEW YORK	SPEAKER/PRESENTER	TRANSPORTATION, FOOD, HOTEL
4.					
5.					

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

SCHROEDER, MARY M

Date of Report

05/06/2008

**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	ARIZONA CLUB	HONORARY MEMBERSHIP - DUES	\$ 1,020.00
2.			
3.			
4.			
5.			

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting <b>SCHROEDER, MARY M</b>	Date of Report 05/06/2008
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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. JP MORGAN CHASE ACCOUNTS	D	Interest	M	T					
2. WACHOVIA MYM FUND	A	Dividend	J	T					
3. LINCOLN NAT'L LIFE	A	Interest	J	T					
4. FRANKLIN FUND AZTXFR INC.	A	Int./Div.	K	T					
5. EQUITABLE 300+ STOCK FUND-IRA		None	L	T					
6. VALIC FIXED PLUS FUND	D	Interest	M	T	BUY MONTHLY		J		
7. VALIC MIDCAP INDEX		None	L	T	BUY MONTHLY		J		
8. VALIC STOCK INDEX		None	L	T	BUY MONTHLY		J		
9. VALIC INT'L GOV'T BOND		None	M	T	BUY MONTHLY		J		
10. VALIC SCIENCE & TECH.		None	K	T			J		
11. SAGUARO LTD. PTRNSHIP (MESA REAL EST.)		None	J	W	TERMINATED				
12. TIAA (RET. ANN.)	E	Interest	O	T		6/29	M		TRANSFER TO VALIC
13. AZ. ST. CREDIT UNION	A	Interest	K	T					
14. VALIC GOV'T SEC.		None	L	T	BUY MONTHLY		J		
15. VALIC INT'L GROW		None	K	T	BUY MONTHLY		J		
16. VALIC SMCAPEQ		None	J	T	BUY MONTHLY		J		
17. VALIC SHORT TERM FIXED	A	Interest	J	T		3/21	J		TRANSFER TO OTHER FUND

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting <b>SCHROEDER, MARY M</b>	Date of Report 05/06/2008
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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. VALIC SET RATE 7 YR.	D	Interest	N	T					
19. VALIC MYM		None	M	T		7/21			TRANSFER FROM TIAA

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

SCHROEDER, MARY M

Date of Report

05/06/2008

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

SCHROEDER, MARY M

Date of Report

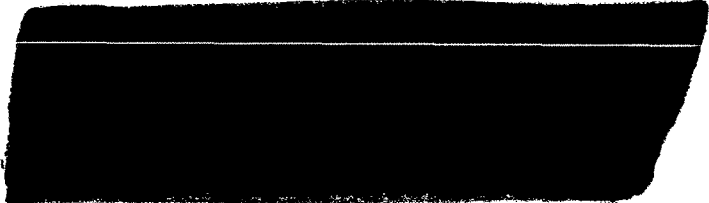
05/06/2008

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544



MARY M. SCHROEDER  
 JUDGE  
 UNITED STATES COURT OF APPEALS  
 FOR THE NINTH CIRCUIT

U.S. COURTHOUSE, SUITE 610  
 401 W. WASHINGTON ST., SPC 54  
 PHOENIX, AZ 85003-2156  
 (602) 322-7320



July 11, 2008

Ortrie D. Smith, Chair  
 Judicial Conference of the United States  
 Committee on Financial Disclosure  
 One Columbus Circle, N.E.  
 Washington, D.C. 20544

RECEIVED  
 2008 JUL 21 A 11:46  
 FINANCIAL  
 DISCLOSURE OFFICE

Re: Clarification of 2007 Disclosure Statement

Mr. Smith:

In response to your correspondence of June 24, 2008 requesting information regarding the assets of [REDACTED] Trust #1, please note that the following items from Part VII of my 2007 Disclosure Statement are all assets of [REDACTED] Trust #1:

- |         |                        |         |                        |
|---------|------------------------|---------|------------------------|
| Line 6  | VALIC FIXED PLUS FUND  | Line 14 | VALIC GOV'T SEC.       |
| Line 7  | VALIC MIDCAP INDEX     | Line 15 | VALIC INT'L GROW       |
| Line 8  | VALIC STOCK INDEX      | Line 16 | VALIC SMCAPEQ          |
| Line 9  | VALIC INT'L GOV'T BOND | Line 17 | VALIC SHORT TERM FIXED |
| Line 10 | VALIC SCIENCE & TECH.  | Line 18 | VALIC SET RATE 7 YR.   |
| Line 12 | TIAA (RET. ANN.)       | Line 19 | VALIC MYM              |
| Line 13 | AZ. ST. CREDIT UNION   |         |                        |

I believe this satisfies your request. Should you need further clarification, please do not hesitate to contact me.

Sincerely,



Mary M. Schroeder



**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2007**

*Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)*

<b>1. Person Reporting</b> (last name, first, middle initial)  SCHROEDER, MARY M	<b>2. Court or Organization</b>  US COURT OF APPEALS - 9TH CIRCUIT	<b>3. Date of Report</b>  05/06/2008
<b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)  ACTIVE - CIRCUIT JUDGE	<b>5a. Report Type</b> (check appropriate type) <input type="checkbox"/> Nomination,                      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b>  01/01/2007 to 12/31/2007
<b>7. Chambers or Office Address</b>  U.S. COURTHOUSE, SUITE 610 401 W. WASHINGTON ST., SPC. 54 PHOENIX, AZ 85003-2156	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	

**IMPORTANT NOTES:** *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	COUNCIL MEMBER	AMERICAN LAW INSTITUTE
2.	TRUSTEE	██████ TRUST #1
3.	ADVISORY BOARD MEMBER	LOWELL OBSERVATORY
4.		
5.		

**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

**RECEIVED**  
 2008 MAY -8 P 3:46  
 FINANCIAL DISCLOSURE OFFICE

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

SCHROEDER, MARY M

Date of Report

05/06/2008

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income** NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)* NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2007	ARIZONA STATE UNIVERSITY - SALARY
2. 2007	ALEX E. SOLUTIONS, INC. (SHESHUNOFF INFORMATION SERVICES) - BOOK ROYALTY
3.	
4.	

**IV. REIMBURSEMENTS** *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)* NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	LAWYERS CLUB OF SAN DIEGO	5/11/07 - 5/12/07	SAN DIEGO, CALIFORNIA	KEYNOTE SPEAKER	TRANSPORTATION, FOOD, HOTEL
2.	U.S. COURTS	5/13/07 - 5/16/07	SAN FRANCISCO, CALIFORNIA	SPEAKER	TRANSPORTATION, FOOD, HOTEL
3.	UNIVERSITY OF MONTANA LAW SCHOOL	5/18/07 - 5/20/07	MISSOULA, MONTANA	SPEAKER	TRANSPORTATION, FOOD, HOTEL
4.	AMERICAN LAW INSTITUTE	10/17/07 - 10/19/07	NEW YORK, NEW YORK	SPEAKER/PRESENTER	TRANSPORTATION, FOOD, HOTEL
5.					

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

SCHROEDER, MARY M

Date of Report

05/06/2008

**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)* NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	ARIZONA CLUB	HONORARY MEMBERSHIP - DUES	\$ 1,020.00
2.			
3.			
4.			
5.			

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)* NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SCHROEDER, MARY M

Date of Report

05/06/2008

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)
1. JP MORGAN CHASE ACCOUNTS	D	Interest	M	T					
2. WACHOVIA MYM FUND	A	Dividend	J	T					
3. LINCOLN NAT'L LIFE	A	Interest	J	T					
4. FRANKLIN FUND AZTXFR INC.	A	Int./Div.	K	T					
5. EQUITABLE 300+ STOCK FUND-IRA		None	L	T					
6. VALIC FIXED PLUS FUND	D	Interest	M	T	BUY MONTHLY		J		
7. VALIC MIDCAP INDEX		None	L	T	BUY MONTHLY		J		
8. VALIC STOCK INDEX		None	L	T	BUY MONTHLY		J		
9. VALIC INT'L GOV'T BOND		None	M	T	BUY MONTHLY		J		
10. VALIC SCIENCE & TECH.		None	K	T			J		
11. SAGUARO LTD. PTRNSHIP (MESA REAL EST.)		None	J	W	TERMINATED				
12. TIAA (RET. ANN.)	E	Interest	O	T		6/29	M		TRANSFER TO VALIC
13. AZ. ST. CREDIT UNION	A	Interest	K	T					
14. VALIC GOV'T SEC.		None	L	T	BUY MONTHLY		J		
15. VALIC INT'L GROW		None	K	T	BUY MONTHLY		J		
16. VALIC SMCAPEQ		None	J	T	BUY MONTHLY		J		
17. VALIC SHORT TERM FIXED	A	Interest	J	T		3/21	J		TRANSFER TO OTHER FUND

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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Name of Person Reporting  
**SCHROEDER, MARY M**

Date of Report  
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18. VALIC SET RATE 7 YR.	D	Interest	N	T					
19. VALIC MYM		None	M	T		7/21			TRANSFER FROM TIAA

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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**FINANCIAL DISCLOSURE REPORT**

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05/06/2008

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting	Date of Report
SCHROEDER, MARY M	05/06/2008

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

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Signature



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**FILING INSTRUCTIONS**

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Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544