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Rev. 1/2010

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2009**

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SCHROEDER, MARY M.	2. Court or Organization US COURT OF APPEALS - 9TH CIRCUIT	3. Date of Report 4/27/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) ACTIVE - CIRCUIT JUDGE	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address U.S. COURTHOUSE, SUITE 610 401 W. WASHINGTON ST., SPACE 54 PHOENIX, AZ 85003-2156	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. COUNCIL MEMBER	AMERICAN LAW INSTITUTE
2. TRUSTEE	TRUST #1
3. ADVISORY BOARD MEMBER	LOWELL OBSERVATORY
4. PRESIDENT-ELECT	ARIZONA WOMEN'S FORUM
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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Schroeder, Mary M.

FINANCIAL DISCLOSURE REPORT
Page 2 of 9

Name of Person Reporting
SCHROEDER, MARY M.

Date of Report
4/27/2010

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	ARIZONA STATE UNIVERESITY - SALARY
2. 2009	SHESHUNOFF INFORMATION SERVICES - BOOK ROYALTY
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

NONE (No reportable reimbursements.)

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	UNIVERSITY OF THE PACIFIC	10/5/2008 - 10/7/2008	SACRAMENTO, CA	SPEAKING EVENT	TRANSPORTATION, FOOD, HOTEL
2.	INTERNATIONAL JUDICIAL ACADEMY	4/5/2009 - 4/10/2009	STRASBOURG, FRANCE	ATTENDANCE	TRANSPORTATION, FOOD, HOTEL
3.	UNIVERSITY OF CALIFORNIA	4/17/2009 - 4/19/2009	SACRAMENTO, CA	MOOT COURT	TRANSPORTATION, FOOD, HOTEL
4.	LEGAL MOMENTUM	4/21/2009 - 4/24/2009	WASHINGTON, D.C.	SPEAKING EVENT	TRANSPORTATION, FOOD, HOTEL
5.	ARIZONA WOMEN'S FORUM	5/17/2009 - 5/24/2009	HONG KONG SAR CHINA	ATTENDANCE	TRANSPORTATION, FOOD, HOTEL

FINANCIAL DISCLOSURE REPORT
Page 3 of 9

Name of Person Reporting SCHROEDER, MARY M.	Date of Report 4/27/2010
---	------------------------------------

6.	AMERICAN LAW INSTITUTE	10/21/2009 - 10/24/2009	NEW YORK, NY	ATTENDANCE	TRANSPORTATION, FOOD, HOTEL
7.	AMERICAN LAW INSTITUTE	12/2/2009 - 12/4/2009	PHILADELPHIA, PA	ATTENDANCE	TRANSPORTATION, FOOD, HOTEL

FINANCIAL DISCLOSURE REPORT
Page 4 of 9

Name of Person Reporting
SCHROEDER, MARY M.

Date of Report
4/27/2010

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

NONE (No reportable gifts.)

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

NONE (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT
Page 5 of 9

Name of Person Reporting SCHROEDER, MARY M.	Date of Report 4/27/2010
---	------------------------------------

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. JP MORGAN CHASE ACCOUNTS	B	Interest	M	T					
2. WACHOVIA MYM FUND	A	Dividend			Sold	4/7/2009	K		
3. LINCOLN NAT'L LIFE	A	Interest	J	T					
4. FRANKLIN FUND AZTXFR INC.	A	Int./Div.	K	T					
5. EQUITABLE 300+ STOCK FUND-IRA		None	K	T					
6. TRUST #1	E	Int./Div.	P1	T					
7. - AZ ST. CREDIT UNION ACCOUNTS									
8. - VALID FIXED PLUS FUND					Buy	01/09/09	J		
9. - VALIC MIDCAP INDEX					Buy	01/09/09	J		
10. - VALIC MIDCAP INDEX					Sold	06/26/09	L		
11. - VALIC STOCK INDEX (LARGE CAP)					Buy	01/09/09	J		
12. - VALIC STOCK INDEX (LARGE CAP)					Sold	06/26/09	M		
13. - VALIC INT'L GOVT. BOND					Buy	01/09/09	J		
14. - VALIC INT'L GOVT. BOND					Sold	06/26/09	M		
15. - VALIC SCIENCE TECH					Sold	06/26/09	K		
16. - VALIC GOVT. SEC					Buy	01/09/09	J		
17. - VALIC GOVT SEC.					Sold	06/26/09	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT
Page 6 of 9

Name of Person Reporting SCHROEDER, MARY M.	Date of Report 4/27/2010
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - VALIC SMCAPEQ					Buy	01/09/09	J		
19. - VALIC SMCAPEQ					Sold	06/26/09	J		
20. - TIAA TRADITIONAL (RET. ANN)									
21. - CREF STOCK					Buy	07/01/09	J		
22. - CREF GROWTH					Buy	07/01/09	J		
23. - CREF EQUITY INDEX					Buy	07/01/09	M		
24. - TCINTL EQ. RET.					Buy	07/01/09	J		
25. - TCINTL EQ. RET.					Buy	08/13/09	K		
26. - TC.MIDCAP VAL. RET					Buy	07/01/09	K		
27. - FST AM. MIDCAP GWTI					Buy	07/01/09	M		
28. - CREF INTL LINKED BOND					Buy	07/01/09	M		
29. - CREF INTL LINKED BOND					Buy	08/01/09	M		
30. - CREF INTL LINKED BOND					Sold	08/27/09	L		
31. - GOLDMAN SACHS GOV. INC. FD					Buy	07/01/09	L		
32. - GOLDMAN SACHS GOV. INC. FD					Buy	08/01/09	L		
33. - GOLDMAN SACHS GOV. INC. FD					Buy	10/1/09	L		
34. - T-C HIGH YIELD RTMT					Buy	08/27/09	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT
Page 7 of 9

Name of Person Reporting SCHROEDER, MARY M.	Date of Report 4/27/2010
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35. - VALIC SET RATE 7 YR									
36. - VALIC MYM									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	I = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

SCHROEDER, MARY M.

Date of Report

4/27/2010

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

IN PART VII:

1. 2008 ITEM #15 DUPLICATED ITEM #11
2. 2008 ITEM #17 - ACCOUNT CLOSED PRIOR YEAR
3. 2008 ITEM # 13 IS NOW TIAA TRDITIONAL (RET. ANN.)
4. 2009 ITEM #8 - PURCHASED BI-WEEKLY UNTIL 7/09
5. 2009 ITEM #9 - PURCHASED BI-WEEKLY UNTIL 7/09
6. 2009 ITEM #11 - PURCHASED BI-WEEKLY UNTIL 7/09
7. 2009 ITEM #13 - PURCHASED BI-WEEKLY UNTIL 7/09
8. 2009 ITEM #16 - PURCHASED BI-WEEKLY UNTIL 7/09
9. 2009 ITEM #18 - PURCHASED BI-WEEKLY UNTIL 7/09
10. 2009 ITEM #21 - PURCHASED BI-WEEKLY AFTER 7/09
11. 2009 ITEM #22 - PURCHASED BI-WEEKLY AFTER 7/09
12. 2009 ITEM #24 - PURCHASED BI-WEEKLY AFTER 7/09
13. 2009 ITEM #26 - PURCHASED MONTHLY AFTER 7/09
14. 2009 ITEM #27 - PURCHASED BI-WEEKLY AFTER 7/09

FINANCIAL DISCLOSURE REPORT
Page 9 of 9

Name of Person Reporting SCHROEDER, MARY M.	Date of Report 4/27/2010
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature _____

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:
Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544