

AO 10  
Rev. 1/2010

### FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Murphy, Michael R.	2. Court or Organization U.S.C.A., 10th Circuit	3. Date of Report 05/11/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge, active	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address Bennett Federal Bldg., Rm. 5438 125 South State Street Salt Lake City, UT 84138-1181	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.  Reviewing Officer _____ Date _____	
<b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

RECEIVED  
MAY 17 11:09 AM '10

**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1. See VIII	Utah Judicial Retirement
2. _____	_____
3. _____	_____

Murphy, Michael R.

**FINANCIAL DISCLOSURE REPORT**  
Page 2 of 7

Name of Person Reporting <b>Murphy, Michael R.</b>	Date of Report 05/11/2010
---	------------------------------

**III. NON-INVESTMENT INCOME.** (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

**A. Filer's Non-Investment Income**

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 8-12/2009	Annuity - State of Utah judicial retirement plan. Monthly payments made since August 2009. (Amount show is a monthly distribution.) (See Section VIII)	\$3,562.32
2.		
3.		
4.		

**B. Spouse's Non-Investment Income** - If you were married during any portion of the reporting year, complete this section.  
(Dollar amount not required except for honoraria.)

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

**IV. REIMBURSEMENTS** -- transportation, lodging, food, entertainment.  
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions )

NONE (No reportable reimbursements.)

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	American Bankruptcy Institute	01/22/2009 to 01/24/2009	Denver, CO	Educational Conference - Speaker/mediator	travel, lodging & food
2.	University of Alabama School of Law	04/07/2009 to 04/09/2009	Birmingham, AL	Moot Court Competition	travel/transportation
3.					
4.	American Councils for International Education	10/14/2009 to 10/16/2009	Washington, DC	Orientation Program - hosting international judges for Open World Program	transportation, lodging & food
5.					

**FINANCIAL DISCLOSURE REPORT**  
Page 3 of 7

Name of Person Reporting  
**Murphy, Michael R.**

Date of Report  
05/11/2010

**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

**FINANCIAL DISCLOSURE REPORT**  
Page 4 of 7

Name of Person Reporting <b>Murphy, Michael R.</b>	Date of Report <b>05/11/2010</b>
---	-------------------------------------

**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-II)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo IRA Acct. No. 2	A	Interest			Closed	12/07/09	J	A	
2. - WF Money Market FD	A	Dividend	J	T					
3. - CREF (U. of U.)	C	Dividend	K	T					
4. - CREF (Rowland)	D	Dividend	K	T					
5. Wells Fargo NA Checking Acct	A	Interest	K	T					
6. Wells Fargo IRA Account	D	Int./Div.	N	T	Distributed (part)	12/30/09	K		
7. - Wells Fargo Money Mkt #645	A	Interest			Distributed (part)	4/02/09	J		
8.					Closed	6/4/09	J		
9. - U. S. Treas. Note dtd 2/15/2002 due 2/15/2012	B	Interest	K	T					
10. - 1 Shares S&P Global 100	B	Dividend	K	T	Sold (part)	2/5/09	J		
11.									
12. - Vanguard - Emerging Market Fund VWO Int'l Equity	A	Dividend	K	T	Sold (part)	2/5/09	J		
13.					Sold (part)	12/08/09	J		
14. - 1 Share S&P 500 Growth Index 1VW	B	Dividend	L	T	Sold (part)	2/5/09	J	A	
15.					Sold (part)	12/11/09	J	B	
16. - Diamond Trust Series 1 DIA	A	Dividend			Sold	1/12/09	K	C	
17. - 1 Share S&P 500 Value Index 1VE	B	Dividend	K	T	Sold (part)	2/5/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

**FINANCIAL DISCLOSURE REPORT**  
Page 5 of 7

Name of Person Reporting <b>Murphy, Michael R.</b>	Date of Report 05/11/2010
---	------------------------------

**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.									
19. - 1 Shares INC MSCI EMU Index EZU ██████████	B	Dividend	K	T	Sold (part)	2/5/09	J		
20.									
21. - 1 Shares TR Small Cap 600 Index IJR ██████████	A	Dividend	K	T	Sold (part)	2/5/09	J		
22.									
23. - Midcap SPDR MDY ██████████	A	Dividend	K	T	Sold (part)	2/5/09	J	A	
24.									
25. - S&P Depository Receipts (x) ██████████ SPY	A	Dividend	K	T	Buy	1/8/09	K		
26.					Sold (part)	2/5/09	J		
27.					Sold (part)	12/11/09	J	B	
28. Vanguard-Prime Money Market Fund	B	Dividend			Closed	12/11/09	K	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

**FINANCIAL DISCLOSURE REPORT**

Page 6 of 7

Name of Person Reporting	Date of Report
Murphy, Michael R.	05/11/2010

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

Part III: Non-Investment Income

As of the date of my resignation from the Third District Court, State of Utah, in October 1995, I had accumulated nine years in the state judicial retirement plan. In February 2009 I transferred funds from the Wells Fargo IRA to purchase an additional year, bringing my total years to ten. That qualified me to receive benefits when I reached the age of 62 in August 2009. This is an annuity type plan. In August 2009, I began receiving monthly payments from Utah State Judicial Retirement Plan, for a total of \$16,293.24 received in 2009.

**FINANCIAL DISCLOSURE REPORT**

Page 7 of 7

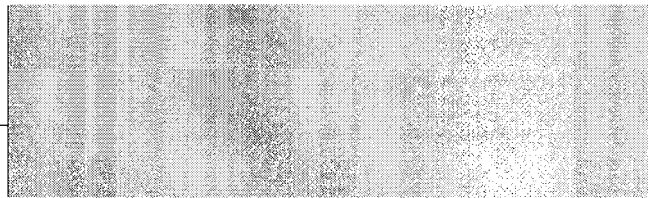
Name of Person Reporting	Date of Report
Murphy, Michael R.	05/11/2010

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544