AO 10 Rev. 1/2012

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2011

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Freudenthal, Nancy D.	District Court - Wyoming	5/14/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	01/01/2011 to 12/31/2011
7. Chambers or Office Address		
Office of Judge Freudenthal 2120 Central Ave. Cheyenne, WY 82001		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Representative	UW Art Museum National Advisory Board
2.	Trustee	Trust #1
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1. 2003	State of Wyoming Retirement Plan with former employer, no control, agreement signed in 2003.
2.	
3.	

Name of Person Reporting	Date of Report
Freudenthal, Nancy D.	5/14/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	Part 2011	State of Wyoming - salary
2.	Part 2011	University of Wyoming - salary
3.	Part 2011	Crowell & Moring - salary
4.	Part 2011	Arch Coal, Inc. - director income

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Wyoming State Bar	May 2011	Jackson, WY	Women's Legal Forum-Panel participant	transportation, lodging, food
2.	University of Utah	September 2011	Salt Lake City, UT	Attend seminars at the University of Utah	transportation, lodging, food
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.	Cheyenne Family YMCA	Family membership	$583.00
2.	David and Peggy Sokol	Barbecue	$1,200.00
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Security State Bank	Mortgage on Property in Iowa City, Iowa	L
2.	Oregon Trail Bank	Construction Loan	N
3.	MassMutual	Loan against life insurance policy	M
4.			
5.			

Name of Person Reporting	Date of Report
Freudenthal, Nancy D.	5/14/2012

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Meridian Trust FCU cash account #1	A	Interest	J	T					
2. Ameriprise cash equivalents	A	Int./Div.	K	T					
3. INDZX	A	Dividend	J	T					
4. INUTX	A	Dividend	J	T					
5. RiverSource Life Annuity	A	Int./Div.	M	T					
6. RiverSource Life Annuity IRA	A	Int./Div.	M	T					
7. RiverSource Life Annuity IRA	A	Int./Div.	N	T					
8. Deferred Comp BGI LifePath Ind. 2035 Fund M		None	J	T					
9. Mass Mutual Life Insurance	C	Dividend	M	T					
10. ING Life Insurance	B	Interest	K	T					
11. Stifel Nicolaus Cash Equivalents (Trust #1)	A	Interest	J	T					
12. BMY Stock (Trust #1)	A	Dividend	J	T					
13. GMR Stock (Trust #1)		None	J	T					
14. GE Stock (Trust #1)	A	Dividend	J	T					
15. HD Stock (Trust #1)	A	Dividend	J	T					
16. INTC Stock (Trust #1)	A	Dividend	J	T					
17. MSFT Stock (Trust #1)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Freudenthal, Nancy D.	5/14/2012

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. PEP Stock (Trust #1)	A	Dividend	J	T					
19. SLE Stock (Trust #1)	A	Dividend	J	T					
20. TWC Stock (Trust #1)		None			Merged (with line 21)	01/03/11	J		
21. TWX Stock (Trust #1)	A	Dividend	J	T					
22. YUM Stock (Trust #1)	A	Dividend	J	T					
23. MMM Stock (Trust #1)	A	Dividend	J	T					
24. BGR Mutual Fund (Trust #1)	B	Dividend	K	T					
25. EOI Mutual Fund (Trust #1)	B	Dividend	J	T					
26. EOS Mutual Fund (Trust #1)	B	Dividend	J	T					
27. EXG Mutual Fund (Trust #1)	A	Dividend	J	T					
28. ETJ Mutual Fund (Trust #1)	C	Dividend	K	T					
29. KYE Mutual Fund (Trust #1)	B	Dividend	K	T					
30. Amer Funds Capital World Growth & Inc Fund CL A-Trust #1	A	Dividend	J	T					
31. FT Templeton Growth A (Trust #1)	A	Dividend	K	T					
32. Hartford Capital Appreciation Fund CL A (Trust #1)	A	Dividend	J	T					
33. Lord Abbett Developing Growth Fund CL A (Trust #1)	B	Dividend	K	T					
34. Thornburg Value Fund A (Trust #1)		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q = Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U = Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting	Date of Report
Freudenthal, Nancy D.	5/14/2012

VII. INVESTMENTS and TRUSTS *– income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. DOM investment (Trust #1)	A	Dividend	J	T					
36. UNTS Claymr Delta GLBL Shipping Ser 8 (Trust #1)	A	Dividend	J	T					
37. UNTS Pathfinders treasury & Growth Stock Series 31 (Trust #1	B	Dividend	J	T					
38. FT Templeton Global Bond A (Trust #1)	A	Dividend	K	T					
39. CRP securities (Trust #1)	B	Dividend	J	T					
40. RBSPRM Securities (Trust #1)		None	J	T					
41. RBSPRQ Securities (Trust #1)		None	J	T					
42. WFCPRJ Securities (Trust #1)	B	Dividend	K	T					
43. property, Cody, Park County, Wyoming (Trust #1)		None	M	S					
44. Rental property, Cody, Park County, Wyoming (Trust #1)	C	Rent	K	S					
45. North Carolina HSG Fin Agy Bonds (Trust #1)	A	Int./Div.	J	T					
46. WCDA Housing Bonds (Trust #1)	A	Int./Div.	K	T					
47. Vectren Utility Holdings Bonds (Trust #1)	B	Dividend			Sold	10/28/11	K		
48. BXP stock (Trust #1)	A	Int./Div.			Redeemed	10/28/11	J	D	
49. XOM stock (Trust #1)	A	Int./Div.			Sold	10/28/11	J	C	
50. FCX stock (Trust #1)	A	Int./Div.			Sold	10/28/11	J	D	
51. HBAN stock (Trust #1)	A	Int./Div.			Redeemed	10/28/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Freudenthal, Nancy D.	5/14/2012

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
52. PFE stock (Trust #1)	A	Int./Div.			Redeemed	10/28/11	J		
53. CAIBX mutual fund (Trust #1)	B	Dividend	K	T	Sold (part)	10/28/11	K		
54. FTIAX mutual fund (Trust #1)	A	Int./Div.			Sold	10/25/11	K		
55. FITAX mutual fund (Trust #1)	A	Int./Div.	J	T					
56. FKINX mutual fund (Trust #1)	B	Dividend	J	T					
57. AMECX mutual fund (Trust #1)	A	Int./Div.	J	T	Sold (part)	10/28/11	J		
58. Edward Jones Money Market (Trust #1)	A	Interest	J	T					
59. Meridian Trust FCU cash account #2	A	Interest	J	T					
60. Active Diversified Alternatives MOD, IRA	D	Int./Div.	N	T					
61. HFLAX (Trust #1)	B	Int./Div.	K	T					
62. Casper WY Cmnty Col. Rev Bonds (Trust #1)	A	Interest	J	T					
63. Oregon Trail Bank cash account		None	J	T					
64. Shoshone Title Common Stock (Trust #1)	A	Dividend			Redeemed	6/2/11	J	B	
65. Residential Property, Iowa City, Johnson County, IA		None			Sold	5/27/11	M		Robert & Laura Bonner
66. Fidelity Investments (X)	A	Interest	J	T					
67. Arch Coal, Inc. Retirement Account (X)	A	Int./Div.	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting	Date of Report
Freudenthal, Nancy D.	5/14/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part V, #7 - I have received an opinion from the Judicial Ethics Committee allowing receipt and use of this membership gift.
Part VII, #11 - The trust's investor moved from UBS to Stifel Nicolaus, taking the trust with him. This Stifel Nicolaus cash account was previously found on line 27, as a UBS Bank Acct.
Part VII, #13 - This stock is still held but company took Ch. 11 Bankruptcy.
Part VII, #20 - TWC stock underwent a reverse split of 1:3 as of March 27, 2009. My records show ownership of TWC stock at the end of the 2010 year, with no TWC stock showing thereafter. So a January 3, 2011 date was attributed.
Part VII, #43 - The value of the property located in Cody, Park County, Wyoming has been estimated using the Park County Assessor's 2012 estimate of fair market value of $190,425.
Part VII, #44 - The value of the rental property located in Cody, Park County, Wyoming has been estimated using the Park County Assessor's 2012 estimate of fair market value of $42,072.
Part VII, #66-67 - These lines represent accounts/investments associated with [redacted] new (2011) employment or duties.

Name of Person Reporting	Date of Report
Freudenthal, Nancy D.	5/14/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Nancy D. Freudenthal

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544