

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK  
UNITED STATES COURTHOUSE  
500 PEARL STREET  
NEW YORK, NY 10007-1312

NAOMI REICE BUCHWALD  
UNITED STATES DISTRICT JUDGE

212-805-0194

July 1, 2009

Honorable Bobby R. Baldock, Chair  
Committee on Financial Disclosure  
Administrative Office of the  
United States Courts  
One Columbus Circle, NE  
Suite 2-301  
Washington, DC 20544

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DISCLOSURE OFFICE

**Re: 2008 Calendar Year Financial Disclosure Report**

Dear Judge Baldock:

In response to your letter of June 11, 2009, this is to inform you that [REDACTED] is a partner at the law firm of Kelley, Drye & Warren. If you need any further information, please let me know.

Very truly yours,

[REDACTED]  
Naomi Reice Buchwald  
United States District Judge

enclosures

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2008**

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

<b>1. Person Reporting</b> (last name, first, middle initial)  BUCHWALD, NAOMI R.	<b>2. Court or Organization</b>  SOUTHERN DISTRICT OF NEW YORK	<b>3. Date of Report</b>  05/14/2009
<b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)  U.S. DISTRICT JUDGE (Active)	<b>5a. Report Type</b> (check appropriate type) <input type="checkbox"/> Nomination,                      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b>  01/01/2008 to 12/31/2008
<b>7. Chambers or Office Address</b>  UNITED STATES COURT HOUSE 500 PEARL STREET -- ROOM 2270 NEW YORK, NEW YORK 10007	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	

**IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

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**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

BUCHWALD, NAOMI R.

Date of Report

05/14/2009

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income** NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

**B. Spouse's Non-Investment Income** - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)* NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2008	Partner at law firm
2.	
3.	
4.	

**IV. REIMBURSEMENTS** - *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)* NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

BUCHWALD, NAOMI R.

Date of Report

05/14/2009

**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

BUCHWALD, NAOMI R.

Date of Report

05/14/2009

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. SEE ATTACHED RIDER									
2.									
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1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

**FINANCIAL DISCLOSURE REPORT**  
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**Name of Person Reporting**

BUCHWALD, NAOMI R.

**Date of Report**

05/14/2009

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

BUCHWALD, NAOMI R.

Date of Report

05/14/2009

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544

**2008 Financial Disclosure Statement**

Part VII: Investments and Trusts

	A. Description	B. Income		C. Value		(D) Transactions During Year			
		(1) Amt	(2) Type	(1) Code	(2) Method	(1)	(2)	(3)	(4)
1	Citibank Accounts	C	Interest	N	T				
2	Citibank (IRA) 1	A	Interest	K	T				
	- Citifund Cash Reserves Class N								
3	Citibank (IRA) 2	A	Interest	O	T				
		E	Dividends						
	- Citifund Cash Reserves Class N								
	- Analogue Devices (Common)								
	- Applied Materials (Common)								
	- Time Warner (Common)								
	- Intel (Common)								
	- Palm Inc (Common)								
	- International Rectifier (Common)								
	- Microsoft (Common)								
	- Sun Microsystems (Common)								
	- CMGI (Common)								
4	JPMorgan Chase Accounts	D	Interest	N	T				
5	Schwab Account								
	NY Muni Money Mkt	C	Dividends	M	T				
6	Bank of America	B	Dividends	J	T				
	Columbia Cash Reserves (formerly Prime Reserves)								
7	Treasury Direct Account	E	Interest	O	T				



3	Time Warner	A	Dividends	K	T	Bought	5/6	N	-
4	Common Stock (also in IRA)					Partial Sold	8/26	N	-
<del>10</del>						Bought	8/27	N	-
11						Partial Sold	8/27	N	B
12	Applied Materials	A	Dividends	K	T	Bought	4/18	M	-
13	Common Stock (IRA)					Sold	5/1	M	C
14						Bought	7/16	M	-
15						Sold	7/16	M	A
16	Blount	-	None	J	T				
17	Common Stock								
18	intel	D	Dividends	M	T	Bought	1/2	M	-
19	Common Stock (also in IRA)					Partial Sold	1/9	M	-
20						Bought	2/4	N	-
21						Bought	2/12	O	-
22						Partial Sold	2/12	O	D
23						Partial Sold	2/19	N	-
24						Bought	3/31	M	-
25						Partial Sold	4/1	M	D
26						Bought	4/2	N	-
27						Partial Sold	4/2	N	B
28						Bought	5/12	M	-
29						Partial Sold	5/12	M	A
30						Bought	5/19	M	-
31						Bought	6/19	N	-
32						Bought	6/24	N	-
33						Partial sold	6/24	N	-
34						Bought	7/8	N	-
35						Bought	7/14	P1	-
36						Partial Sold	7/14	P1	-
37						Bought	7/16	M	-
38						Partial Sold	7/16	N	B
39						Bought	8/11	N	-
40						Partial Sold	8/11	M	E
41						Partial Sold	8/12	M	C
42						Bought	8/13	N	-
43						Partial Sold	8/14	M	B
44						Partial Sold	9/22	M	-
45						Bought	9/25	O	-
46						Partial Sold	9/29	O	-
47						Bought	10/2	N	-

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Bought 10/6 M -  
 Partial Sold 10/6 O -  
 Bought 10/7 N -  
 Partial Sold 10/7 M -  
 Bought 10/8 M -  
 Partial Sold 10/8 N B  
 Bought 10/9 M -  
 Partial Sold 10/9 N -  
 Bought 10/10 M -  
 Partial Sold 10/10 M A  
 Bought 10/14 L -  
 Partial Sold 10/28 L -  
 Bought 11/11 M -  
 Partial Sold 11/11 M A

62

Analogue Devices B Dividends K T  
 Common Stock (IRA)

63

CMGI Common Stock (IRA) - None J T

64  
65

International Rectifier K T Bought 5/2 K -  
 Common Stock (IRA) Sold 5/19 K B

66  
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75

Microsoft B Dividends M T Bought 5/6 M -  
 Common Stock (IRA) Partial Sold 5/7 M B  
 Sold 5/8 L B  
 Bought 5/16 M -  
 Sold 5/16 M -  
 Bought 10/10 N -  
 Partial Sold 10/10 N C  
 Sold 10/13 L D  
 Bought 10/14 M -  
 Sold 10/24 M -

76

Palm Inc. - None J T  
 Common Stock (IRA)

77

Sun Microsystems - None J T  
 Common Stock (IRA)

78  
79  
80

Yahoo - None Bought 5/1 L -  
 Common Partial Sold 5/2 L C  
 Sold 5/6 J -

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Cisco  
Common

- None

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Ebay  
Common Stock

- None

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Bought 5/16 N -  
Sold 5/16 N -  
Bought 5/19 M -  
Bought 5/28 O -  
Sold 5/28 O -  
Bought 8/11 M -  
Sold 8/11 M B  
Bought 8/21 L -  
Sold 8/21 M B  
Bought 10/17 M -  
Sold 10/28 L -

Sold 1/9 O -  
Bought 5/7 M -  
Bought 5/13 M -  
Partial Sold 5/13 M A  
Sold 5/16 M -  
Bought 5/19 M -  
Sold 5/28 M -  
Bought 5/29 M -  
Sold 7/14 M -  
Bought 7/16 M -  
Sold 8/5 M D

Bought 2/7 N -  
Bought 2/19 L -  
Sold 2/19 N -  
Bought 4/18 N -  
Bought 4/21 M -  
Sold 4/30 O -  
Bought 5/2 N -  
Partial Sold 5/2 M A  
Bought 5/13 N -  
Sold 5/13 M C  
Bought 5/16 N -  
Sold 5/16 N -  
Bought 5/19 M -  
Bought 5/28 N -  
Sold 5/28 O -  
Bought 5/29 O -  
Bought 6/16 N -  
Partial Sold 6/16 M A  
Sold 6/18 M -

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Bought 6/19 O -  
 Partial Sold 6/24 M -  
 Bought 6/25 M -  
 Sold 7/2 O -  
 Bought 7/16 O -  
 Partial Sold 7/16 N C  
 Bought 7/29 O -  
 Partial Sold 7/29 N C  
 Bought 7/30 N -  
 Bought 8/1 M -  
 Partial Sold 8/1 M A  
 Bought 8/4 N -  
 Bought 8/5 O -  
 Sold 8/5 P1 -  
 Bought 8/6 O -  
 Partial Sold 8/6 N B  
 Bought 8/7 O -  
 Sold 8/7 O D

Valerio  
 Common Stock

- None

Sold 1/9 N -

**A. Description**                      **C. Income**                      **D. Value**                      **Transactions During Year**  
 (1) Amt                      (2) Type (1) Code (2) Method      (1)      (2)      (3)      (4)

Amazon.com  
 Common

- None

Bought 1/3 P1 -  
 Partial Sold 1/4 O -  
 Sold 1/9 O -  
 Bought 1/9 N -  
 Bought 1/18 N -  
 Partial Sold 1/18 K -  
 Bought 2/4 M -  
 Bought 2/8 N -  
 Partial Sold 2/8 N B  
 Bought 2/13 N -  
 Partial Sold 2/13 N D  
 Bought 2/14 N -  
 Partial Sold 2/15 N -  
 Bought 2/19 N -  
 Partial Sold 2/19 P1 -  
 Bought 2/21 O -  
 Partial Sold 2/22 N C

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Sold	2/29	N	-
Bought	4/10	M	-
Sold	4/18	M	D
Bought	4/21	N	-
Partial Sold	4/24	L	-
Bought	4/29	N	-
Sold	4/29	O	B
Bought	5/1	M	-
Partial Sold	5/1	N	B
Sold	5/2	M	-
Bought	5/2	N	-
Partial Sold	5/28	N	D
Bought	5/29	O	-
Bought	6/18	N	-
Partial Sold	6/18	N	C
Partial Sold	6/19	N	D
Partial Sold	6/20	M	-
Sold	6/24	N	D
Bought	6/25	M	-
Sold	7/2	M	-
Bought	7/8	O	-
Sold	7/8	O	D
Bought	7/11	M	-
Bought	7/16	M	-
Partial Sold	7/16	N	C
Bought	8/5	M	-
Sold	8/5	N	D
Bought	8/6	O	-
Partial Sold	8/6	M	B
Bought	8/7	M	-
Bought	8/8	O	-
Partial Sold	8/8	O	D
Bought	8/13	M	-
Sold	8/14	M	E
Bought	8/15	N	-
Bought	8/18	M	-
Partial Sold	8/19	N	-
Bought	8/20	O	-
Partial Sold	8/22	M	D
Sold	8/26	O	-
Bought	8/27	O	-
Partial Sold	9/9	K	-
Partial Sold	9/11	M	-

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Partial Sold	9/15	N	-
Bought	9/23	M	-
Partial Sold	9/29	M	-
Bought	10/6	M	-
Bought	10/7	M	-
Bought	10/8	M	-
Partial Sold	10/8	M	D
Bought	10/9	N	-
Partial Sold	10/9	O	D
Bought	10/10	L	-
Bought	10/13	M	-
Partial Sold	10/13	L	C
Bought	10/16	M	-
Bought	10/17	L	-
Partial Sold	10/17	L	-
Bought	10/20	M	-
Partial Sold	10/20	M	B
Partial Sold	10/23	N	-
Sold	10/24	L	-
Bought	11/6	M	-
Sold	11/7	M	D
Bought	11/11	N	-
Sold	11/11	N	D
Bought	11/12	L	-
Sold	11/12	L	A
Bought	11/14	L	-
Sold	11/14	L	-
Bought	11/17	M	-
Sold	11/17	M	-
Bought	11/18	M	-
Sold	11/20	M	-
Bought	11/25	L	-
Sold	11/28	L	-
Bought	12/1	L	-
Sold	12/1	L	B
Bought	12/4	M	-
Sold	12/4	M	C
Bought	12/8	M	-
Sold	12/8	M	B
Bought	12/10	M	-
Sold	12/10	M	C
Bought	12/11	M	-
Sold	12/12	M	C

242					Bought	12/22	L	-
243					Sold	12/29	L	A

244	Apple Computer	-	None		Bought	11/10	N	-
245	Common Stock				Sold	11/10	N	C
246					Bought	11/11	N	-
247					Sold	11/11	N	A
248					Bought	11/17	M	-
249					Partial Sold	11/17	M	-
250					Sold	11/18	L	-
251					Bought	11/25	M	-
252					Sold	11/25	M	B
253					Bought	11/28	O	-
254					Sold	11/28	O	C
255					Bought	12/1	M	-
256					Sold	12/1	M	B
257					Bought	12/2	N	-
258					Sold	12/2	N	C
259					Bought	12/4	M	-
260					Sold	12/5	M	C
261					Bought	12/11	M	-
262					Sold	12/11	M	C
263					Bought	12/12	M	-
264					Sold	12/12	M	B

265	Google	-	None	M	T	Bought	1/23	O	-
266	Common Stock					Sold	1/23	O	E
267						Bought	2/4	N	-
268						Sold	2/8	O	E
269						Bought	2/11	O	-
270						Sold	2/11	O	D
271						Bought	2/14	O	-
272						Partial Sold	2/14	M	-
273						Partial Sold	2/15	L	-
274						Bought	2/21	N	-
275						Partial Sold	2/21	N	A
276						Bought	2/22	O	-
277						Partial Sold	2/22	O	D
278						Bought	2/25	O	-
279						Bought	2/26	N	-
280						Bought	2/27	O	-
281						Partial Sold	2/27	O	D
282						Bought	2/28	N	-

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Partial Sold	3/3	P1	-
Bought	3/31	M	-
Partial Sold	3/31	M	B
Bought	4/2	M	-
Partial Sold	4/2	M	B
Bought	4/17	M	-
Partial Sold	4/17	M	F
Bought	4/24	N	-
Partial Sold	4/24	M	E
Bought	4/29	O	-
Partial Sold	4/29	O	D
Bought	4/30	O	-
Partial Sold	4/30	O	D
Sold	5/1	L	D
Bought	5/12	P1	-
Partial Sold	5/12	O	C
Bought	5/13	N	-
Partial Sold	5/13	M	B
Sold	5/14	O	D
Bought	5/14	O	-
Bought	5/16	O	-
Partial Sold	5/16	P1	D
Bought	5/28	O	-
Sold	5/28	P1	-
Bought	11/14	O	-
Sold	11/14	O	D
Bought	11/18	M	-
Sold	11/18	M	B
Bought	11/19	M	-
Bought	11/20	M	-
Sold	11/20	N	-
Bought	11/25	N	-
Sold	11/25	N	B
Bought	11/28	M	-
Sold	11/28	M	A
Bought	12/4	M	-
Sold	12/4	M	B
Bought	12/5	M	-
Sold	12/5	M	B
Bought	12/11	N	-
Sold	12/11	N	D
Bought	12/12	M	-
Sold	12/12	M	-



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Rambus  
Common Stock

Research-in-Motion  
Common Stock

- None

- None

L T

Bought	12/19	N	-
Partial Sold	12/30	M	-
Bought	11/28	K	-
Sold	11/28	K	-
Bought	8/11	O	-
Bought	8/13	N	-
Partial Sold	8/13	N	B
Partial Sold	8/19	O	-
Bought	8/22	M	-
Sold	8/26	M	-
Bought	8/27	O	-
Sold	8/27	O	A
Bought	8/28	N	-
Partial Sold	9/9	K	-
Partial Sold	9/15	M	-
Sold	9/22	M	-
Bought	9/25	L	-
Sold	9/29	K	-
Bought	11/10	M	-
Sold	11/10	M	A
Bought	11/11	M	-
Partial Sold	11/11	L	A
Sold	11/18	M	-
Bought	11/20	M	-
Sold	11/20	M	-
Bought	11/25	L	-
Sold	11/25	L	A
Bought	11/28	M	-
Sold	11/28	M	C
Bought	12/26	L	-