

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2010**

*Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)*

<b>1. Person Reporting (last name, first, middle initial)</b>  BUCHWALD, NAOMI R.	<b>2. Court or Organization</b>  SOUTHERN DISTRICT OF NEW YORK	<b>3. Date of Report</b>  5/6/2011
<b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b>  U.S. DISTRICT JUDGE (Active)	<b>5a. Report Type (check appropriate type)</b> <input type="checkbox"/> Nomination,                      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b>  01/01/2010 to 12/31/2010
<b>7. Chambers or Office Address</b>  UNITED STATES COURT HOUSE 500 PEARL STREET -- ROOM 2270 NEW YORK, NEW YORK 10007	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	
<b>IMPORTANT NOTES:</b> <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</i>		

**I. POSITIONS.** *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	_____	_____
2.	_____	_____
3.	_____	_____
4.	_____	_____
5.	_____	_____

**II. AGREEMENTS.** *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	_____	_____
2.	_____	_____
3.	_____	_____

**FINANCIAL DISCLOSURE REPORT**

Page 2 of 6

Name of Person Reporting

BUCHWALD, NAOMI R.

Date of Report

5/6/2011

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

**A. Filer's Non-Investment Income**

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2010	Partner at law firm of Kelley, Drye & Warren LLP
2.	
3.	
4.	

**IV. REIMBURSEMENTS** *-- transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

**FINANCIAL DISCLOSURE REPORT**

Page 3 of 6

Name of Person Reporting

BUCHWALD, NAOMI R.

Date of Report

5/6/2011

**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

# FINANCIAL DISCLOSURE REPORT

Page 4 of 6

Name of Person Reporting <b>BUCHWALD, NAOMI R.</b>	Date of Report <b>5/6/2011</b>
---	-----------------------------------

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)	
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)	

1. SEE ATTACHED RIDER									
2.									
3.									
4.									
5.									
6.									
7.									
8.									
9.									
10.									
11.									
12.									
13.									
14.									
15.									
16.									
17.									

1. Income Gain Codes: A = \$1,000 or less; B = \$1,001 - \$2,500; C = \$2,501 - \$5,000; D = \$5,001 - \$15,000; E = \$15,001 - \$50,000  
 (See Columns B1 and D4) F = \$50,001 - \$100,000; G = \$100,001 - \$1,000,000; H1 = \$1,000,001 - \$5,000,000; H2 = More than \$5,000,000  
 2. Value Codes: J = \$15,000 or less; K = \$15,001 - \$50,000; L = \$50,001 - \$100,000; M = \$100,001 - \$250,000  
 (See Columns C1 and D3) N = \$250,001 - \$500,000; O = \$500,001 - \$1,000,000; P1 = \$1,000,001 - \$5,000,000; P2 = \$5,000,001 - \$25,000,000  
 P3 = \$25,000,001 - \$50,000,000; P4 = More than \$50,000,000  
 3. Value Method Codes: Q = Appraisal; R = Cost (Real Estate Only); S = Assessment; T = Cash Market  
 (See Column C2) U = Book Value; V = Other; W = Estimated

**FINANCIAL DISCLOSURE REPORT**  
Page 5 of 6

Name of Person Reporting BUCHWALD, NAOMI R.	Date of Report 5/6/2011
--	----------------------------

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

**FINANCIAL DISCLOSURE REPORT**

Page 6 of 6

Name of Person Reporting

BUCHWALD, NAOMI R.

Date of Report

5/6/2011

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **NAOMI R. BUCHWALD**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544



9.	Applied Materials	A	Div	K	T	Bought 1/14	M	-
10.	Common Stock (IRA)					Sold 1/14	M	A
11	Blount Common Stock	-	None	J	T			
12	Intel	E	Div	N	T	Bought 1/8	M	-
13	Common Stock (IRA)					Sold 1/8	M	B
14						Bought 2/1	O	-
15						Bought 2/10	O	-
16						Partial Sold 2/10	O	C
17						Bought 2/11	N	-
18						Partial Sold 2/11	O	E
19						Bought 2/12	P1	-
20						Partial Sold 2/12	P1	D
21						Bought 2/16	N	-
22						Partial Sold 2/16	O	E
23						Bought 3/19	P1	-
24						Partial Sold 3/19	P1	C
25						Partial Sold 3/29	K	C
26						Sold 3/31	N	E
27						Bought 4/8	N	-
28						Partial Sold 4/8	M	A
29						Sold 4/9	M	A
30						Bought 4/22	O	-
31						Sold 4/23	O	B
32						Bought 4/26	O	-
33						Partial Sold 5/7	O	-
34						Bought 5/21	N	-
35						Bought 5/28	M	-
36						Bought 6/4	P1	-
37						Partial Sold 6/4	P1	-
38						Bought 6/8	M	-
39						Partial Sold 6/8	M	B
40						Bought 6/9	M	-
41						Sold 6/9	N	-
42						Bought 6/11	M	-
43						Sold 6/11	N	B
44						Bought 6/15	O	-
45						Sold 6/15	O	D



46  
 47  
 48  
 49  
 50  
 51  
 52  
 53  
 54  
 55  
 56  
 57  
 58  
 59  
 60  
 61  
 62  
 63  
 64  
 65  
 66  
 67  
 68  
 69  
 70  
 71  
 72  
 73  
 74  
 75  
 76  
 77  
 78  
 79  
 80

Bought 6/16 O -  
 Sold 6/16 O C  
 Bought 6/18 P1 -  
 Sold 6/29 P1 -  
 Bought 6/30 O -  
 Bought 7/1 M -  
 Bought 7/6 N -  
 Partial Sold 7/6 P1 D  
 Bought 7/7 P1 -  
 Partial Sold 7/7 P1 D  
 Sold 7/8 M -  
 Bought 7/9 M -  
 Sold 7/9 M A  
 Bought 7/16 M -  
 Sold 7/16 M A  
 Bought 7/19 P1 -  
 Sold 7/19 P1 D  
 Bought 7/20 P1 -  
 Sold 7/20 P1 D  
 Bought 7/21 O -  
 Bought 7/22 P1 -  
 Partial Sold 7/22 P1 C  
 Bought 7/23 O -  
 Bought 8/3 O -  
 Partial Sold 8/3 N -  
 Bought 8/6 N -  
 Partial Sold 8/6 N B  
 Sold 9/8 P1 -  
 Bought 10/22 M -  
 Sold 10/25 M A  
 Bought 11/11 L -  
 Sold 11/11 L A  
 Bought 11/17 M -  
 Sold 11/18 M A  
 Bought 11/21 N -

81 Analogue Devices B Div L T  
 Common Stock (IRA)  
 82 International Rectifier - None L T  
 Common Stock (IRA)

83	Microsoft	C	Div	M	T	Bought	2/10	M	-
84	Common Stock (IRA)					Sold	2/10	M	A
85						Bought	2/12	N	-
86						Sold	2/12	N	C
87						Bought	7/7	O	-
88						Sold	7/7	O	B
89						Bought	7/9	N	-
90						Sold	7/9	N	A
91						Bought	7/19	O	-
92						Sold	7/19	O	B
93						Bought	7/21	O	-
94						Bought	7/22	O	-
95						Partial Sold	7/22	P1	D
96						Bought	7/26	O	-
97						Sold	7/26	O	C
98						Bought	7/27	N	-
99						Sold	7/27	N	A
100						Bought	7/28	N	-
101						Sold	7/28	N	A
102						Bought	8/3	N	-
103						Sold	8/3	N	A
104						Bought	8/6	P1	-
105						Sold	8/6	P1	C
106						Bought	9/20	N	-
107						Partial Sold	10/15	M	A
108						Sold	10/26	N	B
109						Bought	10/29	L	-
110						Sold	10/29	L	A
111						Bought	11/3	M	-
112						Sold	11/12	M	-
113						Bought	11/17	M	-
114						Sold	11/17	M	A
115	Palm Inc. Common Stock (IRA)					Exchanged in Merger	7/1	J	-
116	Sun Microsystems Common Stock (IRA)					Sold to Oracle	1/26	J	-
117	Google	-	None	K	T	Bought	1/5	N	-
118	Common Stock					Sold	1/5	N	A
119						Bought	1/8	O	-
120						Sold	1/8	O	B

121  
 122  
 123  
 124  
 125  
 126  
 127  
 128  
 129  
 130  
 131  
 132  
 133  
 134  
 135  
 136  
 137  
 138  
 139  
 140  
 141  
 142  
 143  
 144  
 145  
 146  
 147  
 148  
 149  
 150  
 151  
 152  
 153  
 154  
 155  
 156  
 157  
 158  
 159  
 160  
 161  
 162  
 163

Bought	1/11	N	-
Sold	1/11	N	B
Bought	1/12	N	-
Bought	1/15	N	-
Partial Sold	1/15	N	-
Bought	1/22	N	-
Partial Sold	1/22	N	B
Sold	1/26	N	-
Bought	1/27	N	-
Bought	2/17	N	-
Bought	2/18	M	-
Partial Sold	2/18	M	A
Bought	3/5	M	-
Partial Sold	3/5	M	A
Bought	3/9	N	-
Partial Sold	3/9	N	A
Bought	3/19	O	-
Sold	3/19	P1	E
Bought	3/22	N	-
Sold	3/24	N	A
Bought	3/29	N	-
Bought	3/30	N	-
Sold	3/30	O	B
Bought	4/7	N	-
Sold	4/7	N	B
Bought	4/16	N	-
Sold	4/20	N	C
Bought	4/21	O	-
Bought	4/26	N	-
Sold	5/7	O	-
Bought	5/19	N	-
Partial Sold	5/19	M	B
Bought	5/20	M	-
Bought	5/21	M	-
Partial Sold	5/21	M	A
Bought	5/28	N	-
Partial Sold	5/28	N	B
Bought	6/4	O	-
Sold	6/4	P1	E
Bought	9/16	N	-
Partial Sold	9/16	N	C
Sold	9/24	N	E
Bought	9/24	N	-

164  
 165  
 166  
 167  
 168  
 169  
 170  
 171  
 172  
 173  
 174  
 175  
 176  
 177  
 178

Bought 10/15 L -  
 Partial Sold 10/15 N E  
 Bought 10/19 N -  
 Bought 10/21 N -  
 Bought 10/25 M -  
 Bought 10/29 N -  
 Bought 11/3 N -  
 Partial Sold 11/3 O D  
 Partial Sold 11/3 N D  
 Bought 11/10 N -  
 Partial Sold 11/10 M A  
 Bought 11/12 N -  
 Sold 11/16 P1 -  
 Bought 11/18 O -  
 Sold 12/10 O C

179 Amazon - None N T  
 Common Stock  
 180  
 181  
 182  
 183  
 184  
 185  
 186  
 187  
 188  
 189  
 190  
 191  
 192  
 193  
 194  
 195  
 196  
 197  
 198  
 199  
 200  
 201  
 202  
 203  
 204  
 205

Bought 1/4 O -  
 Bought 1/20 M -  
 Bought 1/22 N -  
 Partial Sold 1/22 O -  
 Partial Sold 1/27 N -  
 Sold 1/29 N D  
 Bought 2/1 M -  
 Bought 2/17 M -  
 Partial Sold 3/8 M D  
 Bought 3/9 M -  
 Partial Sold 3/9 M A  
 Bought 3/19 P1 -  
 Sold 3/19 P1 E  
 Bought 3/22 O -  
 Bought 3/23 M -  
 Partial Sold 3/23 M A  
 Bought 3/24 N -  
 Partial Sold 3/25 M E  
 Bought 3/26 N -  
 Partial Sold 3/26 N A  
 Partial Sold 3/29 N A  
 Partial Sold 3/30 N D  
 Bought 4/9 N -  
 Bought 4/12 M -  
 Partial Sold 4/21 O F  
 Bought 4/22 M -  
 Partial Sold 4/22 O F

206  
 207  
 208  
 209  
 210  
 211  
 212  
 213  
 214  
 215  
 216  
 217  
 218  
 219  
 220  
 221  
 222  
 223  
 224  
 225  
 226  
 227  
 228  
 229  
 230  
 231  
 232  
 233  
 234  
 235  
 236  
 237  
 238  
 239  
 240  
 241  
 242  
 243  
 244  
 245  
 246  
 247  
 248

Bought	4/23	O	-
Partial Sold	4/23	N	D
Partial Sold	4/26	O	E
Bought	5/6	M	-
Sold	5/7	M	-
Bought	5/19	N	-
Bought	5/20	M	-
Bought	5/28	O	-
Partial Sold	5/28	O	C
Bought	6/8	O	-
Partial Sold	6/8	M	A
Bought	6/9	M	-
Partial Sold	6/9	M	-
Bought	6/16	O	-
Partial Sold	6/16	P1	E
Bought	6/17	O	-
Partial Sold	6/17	N	B
Bought	6/18	M	-
Sold	6/18	O	D
Bought	6/21	O	-
Partial Sold	6/29	N	-
Sold	6/30	N	-
Bought	9/16	M	-
Bought	9/24	M	-
Partial Sold	9/24	M	A
Bought	10/15	N	-
Partial Sold	10/15	O	E
Bought	10/19	N	-
Partial Sold	10/20	M	B
Bought	10/21	M	-
Sold	10/21	O	C
Bought	10/26	N	-
Sold	10/26	N	B
Bought	10/27	O	-
Sold	11/5	O	D
Bought	11/5	N	-
Sold	11/8	N	C
Bought	11/9	O	-
Bought	11/10	N	-
Partial Sold	11/10	N	C
Bought	11/11	N	-
Partial Sold	11/11	N	A
Bought	11/16	M	-

249				Sold	11/16	O	-
250				Bought	12/10	N	-
251				Bought	12/13	O	-
252				Bought	12/27	M	-

A. Description		C. Income	D. Value		Transactions During Year				
		(1) Amt	(2) Type	(1) Code	(2) Method	(1)	(2)	(3)	(4)
253	Apple Computer	-	None		Bought	1/26	N	-	
254	Common Stock				Partial Sold	1/26	M	A	
255					Sold	1/27	M	B	
256					Bought	6/4	O	-	
257					Partial Sold	6/4	O	-	
258					Bought	6/8	M	-	
259					Partial Sold	6/8	M	A	
260					Bought	6/9	N	-	
261					Partial Sold	6/9	N	-	
262					Sold	6/16	N	D	
263					Bought	9/24	N	-	
264					Sold	9/24	N	--	
265	Cisco	-	None		Bought	2/10	L	-	
266	Common Stock				Sold	2/11	L	A	
267					Bought	4/6	N	-	
268					Sold	4/7	N	C	
269					Bought	4/8	N	-	
270					Sold	4/8	N	B	
271					Bought	4/15	N	-	
272					Sold	4/15	N	B	
273					Bought	5/21	M	-	
274					Sold	5/21	M	A	
275					Bought	6/15	O	--	
276					Bought	6/16	O	-	
277					Partial Sold	6/16	P1	D	
278					Sold	6/17	N	-	
279					Bought	6/17	O	-	
280					Sold	6/18	O	E	
281					Bought	6/18	O	-	
282					Bought	6/28	M	-	
283					Sold	6/29	O	-	
284					Bought	6/30	M	-	
285					Bought	7/1	O	-	

286				Partial Sold	7/1	N	B
287				Bought	7/2	M	-
288				Partial Sold	7/2	M	A
289				Bought	7/6	M	-
290				Partial Sold	7/6	N	C
291				Sold	7/7	M	D
292				Bought	7/19	P1	-
293				Partial Sold	7/19	N	B
294				Sold	7/20	O	C
295				Bought	7/21	O	-
296				Sold	7/22	O	E
297				Bought	7/27	O	-
298				Sold	7/28	O	D
299				Bought	7/28	O	-
300				Sold	8/2	O	D
301				Bought	8/6	P1	-
302				Sold	8/6	P1	-
303				Bought	10/25	L	-
304				Bought	11/1	L	-
305				Sold	11/2	M	-
306				Bought	11/11	K	-
307				Sold	11/11	K	A
308							
309	IBM	-	None	Bought	7/19	M	-
310	Common Stock			Sold	7/20	M	A
311				Bought	10/19	M	-
312				Sold	10/21	M	B
313				Bought	10/21	M	-
314				Bought	10/26	M	-
315				Sold	10/26	N	B
316	Research-in-Motion	-	None	Bought	1/4	M	-
317	Common Stock			Sold	2/10	M	B
318				Bought	10/20	N	-
319				Partial Sold	10/20	M	C
320				Bought	10/21	L	-
321				Sold	10/21	N	C
322				Bought	10/26	M	-
323				Partial Sold	10/27	L	B
324				Sold	10/29	L	C
325				Bought	11/3	M	-
326				Sold	11/3	M	A
327				Bought	11/4	L	-

328					Sold	11/10	L	B	
329					Bought	11/11	L	-	
330					Sold	11/11	L	A	
331					Bought	12/27	M	-	
332					Sold	12/27	M	A	
333					Bought	12/28	M	-	
334					Sold	12/31	M	A	
335	Yahoo	-	None	L	T	Bought	7/19	N	-
336	Common Stock					Bought	7/20	M	-
337					Partial Sold	7/20	O	C	
338					Bought	8/2	N	-	
339					Partial Sold	8/2	N	A	
340					Partial Sold	8/3	M	A	
341					Bought	11/2	L	-	
342					Partial Sold	11/2	K	A	
343					Partial Sold	11/3	K	A	
344					Bought	11/4	K	-	
345					Partial Sold	11/5	K	A	
346					Partial Sold	11/9	K	C	