

August 1, 2011

Bobby R. Baldock, Chair
Judicial Conference of the United States
Committee on Financial Disclosure
One Columbus Circle, N.E.
Washington, D.C. 20544

Re: My Calendar Year 2010 Filing

Dear Mr. Baldock:

This is in response to your letter dated July 25, 2011 concerning my 2010 filing.

With respect to the substantive changes inquired about on page 2, I notice that the four items questioned all relate to stock holdings in the charitable remainder trust of which I am only an income beneficiary. For as many years as I can remember, I have entered information with respect to that trust in the same way. I do not report the gross value at the end of reporting period (Column C) because, as stated in the Additional Information Or Explanations section, assets in that trust do not belong to me and are not properly included in my financial portfolio.

I report income from the charitable remainder trust in Column B because my wife and I receive income from that trust but I submit it would be inappropriate to report the gross value of stocks added to that trust during the relevant calendar year because the value of those stocks is not part of my estate. Thus, I respectfully suggest that the Form be accepted as is because otherwise it would misrepresent my financial standing.

I have noted, as you suggest on page 1 of your letter, that in preparing future reports I am to include all reportable information in Parts I - VII rather than in part VIII. My Judicial Assistant will help me to report the information properly in the future.

If you or somebody at the AO would like to discuss the matter further with me, please call me at 617-748-9247.

Very truly yours,

Nathaniel M. Gorton

NMG/seh

Gorton, Nathaniel M.

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2010**

1. Person Reporting (last name, first, middle initial) Gorton, Nathaniel M.	2. Court or Organization District Court - Massachusetts	3. Date of Report 05/09/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. District Court - Active	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <hr/> 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address United States District Court 1 Courthouse Way, Suite 3110 Boston, MA 02210	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. See Attachment #1	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1. August 19	Slade Gorton & Co., Inc. (Closely held seafood business) corporate clerk/secretary, director, custodian, trustee and accounting duties	\$10,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. New York Intellectual Property Lawyers Association				reimbursement for lodging and travel by train from Boston to NYC to attend annual N.Y.I.P.L.A. dinner 3/25/10 at Waldorf Astoria
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
		Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1.	SG Seafood Holdings, Inc. (a MA corporation) (common stock)	G		N	Q					See Section VIII
2.	Fidelity Cash Reserves (individual)	A	Dividend	J	T					
3.	Fidelity Money Market Accounts (POA, trustee)(Att#1, ¶4)	A	Dividend	L	T					
4.	Charitable Remainder Trust	E	Int./Div.							See Section VIII
5.	Costco Wholesale Corp.					Sold	02/02/10	J	B	
6.	Proctor & Gamble Co.					Sold	02/02/10	J	C	
7.	A T & T, Inc.					Buy	02/04/10	J		
8.	JPM Chase Cap					Buy	02/04/10	J		
9.	Procter & Gamble Co.					Sold	02/04/10	J	C	
10.	Pepsico, Inc.					Sold	02/04/10	J	B	
11.	A T & T, Inc.					Sold	06/21/10	J		
12.	Eaton Vancr Corp.					Sold	07/01/10	J		
13.	Cisco Systems Inc.					Buy	11/12/10	J		
14.	HCP, Inc.					Buy	12/13/10	J		
15.	Exelon Corp					Sold	12/13/10	J		
16.	Boston Financial Management, Inc. IRA		None	PI	T					
17.	N Y Telephone					Sold	01/15/10	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code I (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Anadarko Petroleum Corp.					Sold	02/02/10	J	A	
19. Emerson Electric Co.					Sold	02/02/10	J	B	
20. Johnson & Johnson					Sold	02/02/10	J	C	
21. Staples, Inc.					Sold	02/02/10	J	C	
22. Walgreen Co.					Sold	02/02/10	J	A	
23. A T & T, Inc.					Buy	02/04/10	J		
24. General Electric Capital Corp.					Buy	02/04/10	J		
25. JPM Chase Cap.					Buy	02/04/10	J		
26. Wells Fargo Capital					Buy	02/04/10	J		
27. General Electric Capital Corp.					Buy (add'l)	02/05/10	J		
28. Wells Fargo Capital					Buy (add'l)	02/05/10	J		
29. Chubb Corp.					Buy	02/08/10	J		
30. Staples, Inc.					Sold (part)	02/08/10	K	D	
31. Eaton Vance Corp.					Sold	07/07/10	K		
32. Netapp, Inc.					Buy	10/01/10	J		
33. Novartis Cap Corp.					Buy	10/05/10	K		
34. HSBC Holdings PLC ADR					Buy	10/08/10	K		

- | | | | | | |
|--|--|--|--|--|-------------------------|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000 | D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | Q = Appraisal
U = Book Value | R = Cost (Real Estate Only)
V = Other | S = Assessment
W = Estimated | T = Cash Market | |
| 3. Value Method Codes
(See Column C2) | | | | | |

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. Automatic Data Processing, Inc.					Sold	10/08/10	K	D	
36. Amer Express Cr Mtn					Buy	10/20/10	K		
37. Novo-Nordisk AS ADR-B					Sold	11/12/10	K	D	
38. Citrix System, Inc.					Sold	11/12/10	J	B	
39. Boston Financial Management, Inc. revocable trust	B	Int./Div.	L	T					See Section VIII
40. Northern Trust Corp.					Buy	04/07/10	J		
41. United Parcel Service, Inc.					Buy	04/07/10	J		
42. United Technologies Corp.					Buy	04/07/10	J		
43. Air Products & Chemicals, Inc.					Buy	04/07/10	J		
44. Peabody Energy Corp.					Buy	06/02/10	J		
45. Boston Financial Mangement, Inc. revocable trust	B	Int./Div.	L	T					See Section VIII
46. Peabody Energy Corp.					Buy	06/02/10	J		
47. Bank of America	A	Interest	J	T					

1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000
 F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000
2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000
 N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000
 P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000
3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market
 U = Book Value V = Other W = Estimated

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 1 - During 2010 Slade Gorton & Co., Inc., a Massachusetts corporation ("the Company") changed its corporate structure by creating a new holding company, SG Seafood Holdings, Inc. ("Holdings"). The reporting party owns the same proportion of stock in Holdings as he had owned shares in the Company. Income of the reporting party reported in Section VII is attributable to his pro rata share of the income of Holdings which is taxed as an S corporation whereby all corporate income is deemed passed through and taxable to the individual stockholders whether or not such income is, in fact, distributed. The value of the stock holdings is appraised biennially by a certified financial analyst.

Line 4 - in 1997 the reporting party [redacted] funded a charitable remainder trust of which they are the income beneficiaries for life but in which they retain no right to principal.

Lines 4, 16, 39 and 45 - The assets listed on these lines (and the transactions noted in the lines that follow) are managed by Boston Financial Management, Inc., Boston, MA and consist of stocks and bonds in publicly held companies and equity holdings based on indices listed in Attachment #2.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Nathaniel M. Gorton**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

Financial Disclosure Report
Nathaniel M. Gorton
May 1, 2011
Attachment #1

I. Positions

<u>Position</u>	<u>Name of Organization/Entity</u>
1. Stockholder, Clerk/Secretary and Director	Slade Gorton & Co., Inc., Boston, MA (closely held [REDACTED] seafood business) a MA corporation and successor of Rocky Bay Trust, a MA Business Trust, which formerly owned all of the stock of the Corporation
2. Clerk and Director	Cambridge Animal Aid, Inc. Floral City, FL (non-profit animal aid organization managed by reporting party's [REDACTED])
3. Member of the Corporation	The New England Home for Little Wanderers, Boston, MA (non-profit, private child welfare agency)
NOTE: None of the beneficiaries of the following trusts and accounts of which the reporting party is a trustee (or holds a power of attorney) is a dependent of the reporting party and neither the reporting party nor his spouse has any beneficial interest in or control over the disposition of assets of such trusts and accounts.	
4. Power of Attorney/Trustee	Fidelity money market accounts (for all stockholders of Slade Gorton & Co., Inc., all of whom [REDACTED] [REDACTED] of reporting party)

5. Trustee

Irrevocable Trust (created in connection with the estate plan of the reporting party's

[REDACTED]

6. Trustee

1989 Irrevocable Trust and Irrevocable Sub S Trust (both created in connection with the estate plan of reporting

party's [REDACTED]

Financial Disclosure Report
Nathaniel M. Gorton
May 1, 2011
Attachment #2

All of the listed holdings are of common stock unless otherwise noted.

Abbott Labs (stocks & bond)	IBM Int'l Group (bond)
Air Products & Chemicals, Inc.	JPM Chase Capital (pfd)
American Express Co. (stocks & bond)	Johnson & Johnson
Anadarko Pete Co.	Lowes Companies, Inc.
A T & T, Inc.	National Rural Utilities (bond)
Automatic Data Processing, Inc.	Netapp, Inc.
Avalonbay Communities, Inc.	Nextera Energy Corp. *
Avon Products, Inc. (bond)	Nike, Inc.
Chubb Corp.	Novartis Capital Corp. (bond)
Cisco Corp.	Northern Trust Corp.
Citrix Systems, Inc.	Novo-Nordisk AS ADR-B
Coca Cola Co.	Peabody Energy Corp.
Costco Wholesale Corp.	Pepsico, Inc.
CVS Corp.	Pitney Bowes, Inc. (bond)
Devon Energy Corp.	Powershares Global Water Portfolio
Dodge & Cox FDS Intl Stk Fund	Procter & Gamble Co.
Emerson Electric Co.	RPM, Inc. (Ohio)
Exelon Corp.	Royce Fund Penn Mut Inv
Exxon-Mobile Corp.	SBC Communications, Inc. (bond)
Fedex Corp.	Sigma Aldrich Corp.
Federal Home Loan Bank (bond)	SPDR Gold Trust
Federal Home Loan Mortgage Corp. (bond)	Stryker Corp.
Financial Select Sector SPDR/ETF	Target Corp.
General Electric Capital Corp. (pfd)	Teva Pharmaceutical Industries, Ltd.
Georgia Power Co. (bond)	United Parcel Service, Inc.
Google Inc.	United Technologies Corp.
HCP, Inc.	Vodafone Group, Inc.
HSBC Holdings PLC ADR	Walgreen Company
Hewlett Packard Co. (bond)	WalMart Stores, Inc. (bond)
	Wells Fargo Capital (pfd)
	Western Union Co.

Equity holdings based on indices:

- IShares, Inc. Morgan-Stanley Capital Int'l (MSCI) Pacific Rim ex-Japan Index
- IShares, Inc. MSCI Emerging Market Index
- IShares, Inc. Russell 2000 Index
- IShares Tr EAFE Index

*on 6/3/10 FPL Group, Inc. changed its corporate name to Nextera Energy Corp.